

Black holes as brains:

Neural networks with  
area law entropy

Gia Dvali

LMU-MPI & NYU

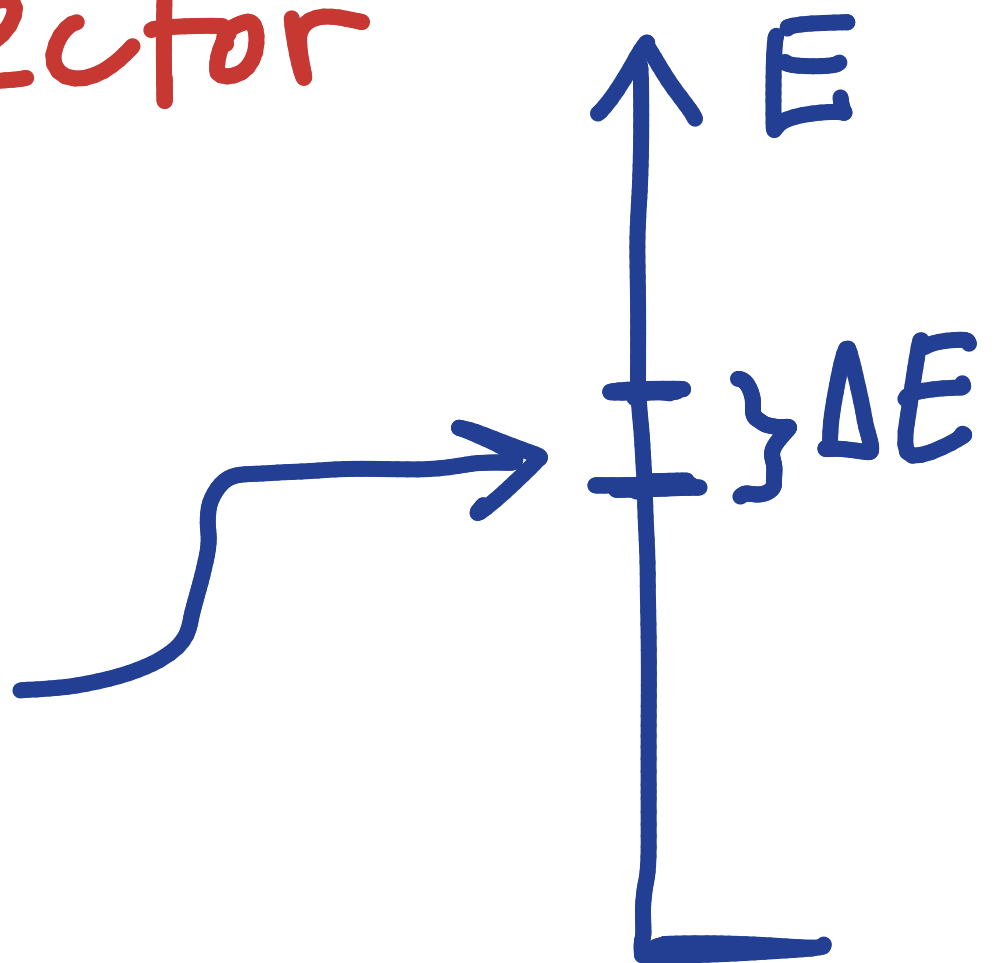
arXiv: 1801.03918  
1712.02233  
1711.09079

# Enhanced capacity of memory storage:

Number of distinct patterns  $N_E$  that can be stored within energy gap  $\Delta E$ .

## Pattern vector

$$\begin{bmatrix} n_1 \\ n_2 \\ \vdots \\ n_M \end{bmatrix}$$



Motivation: Black holes,  
human brains, AI, ...

Understanding capacity of  
memory storage in black  
holes in language of neural  
networks

and

Understanding enhanced  
capacity of memory storage  
in neural networks in the  
language of Quantum

Field.

Describing neural network  
as quantum field:

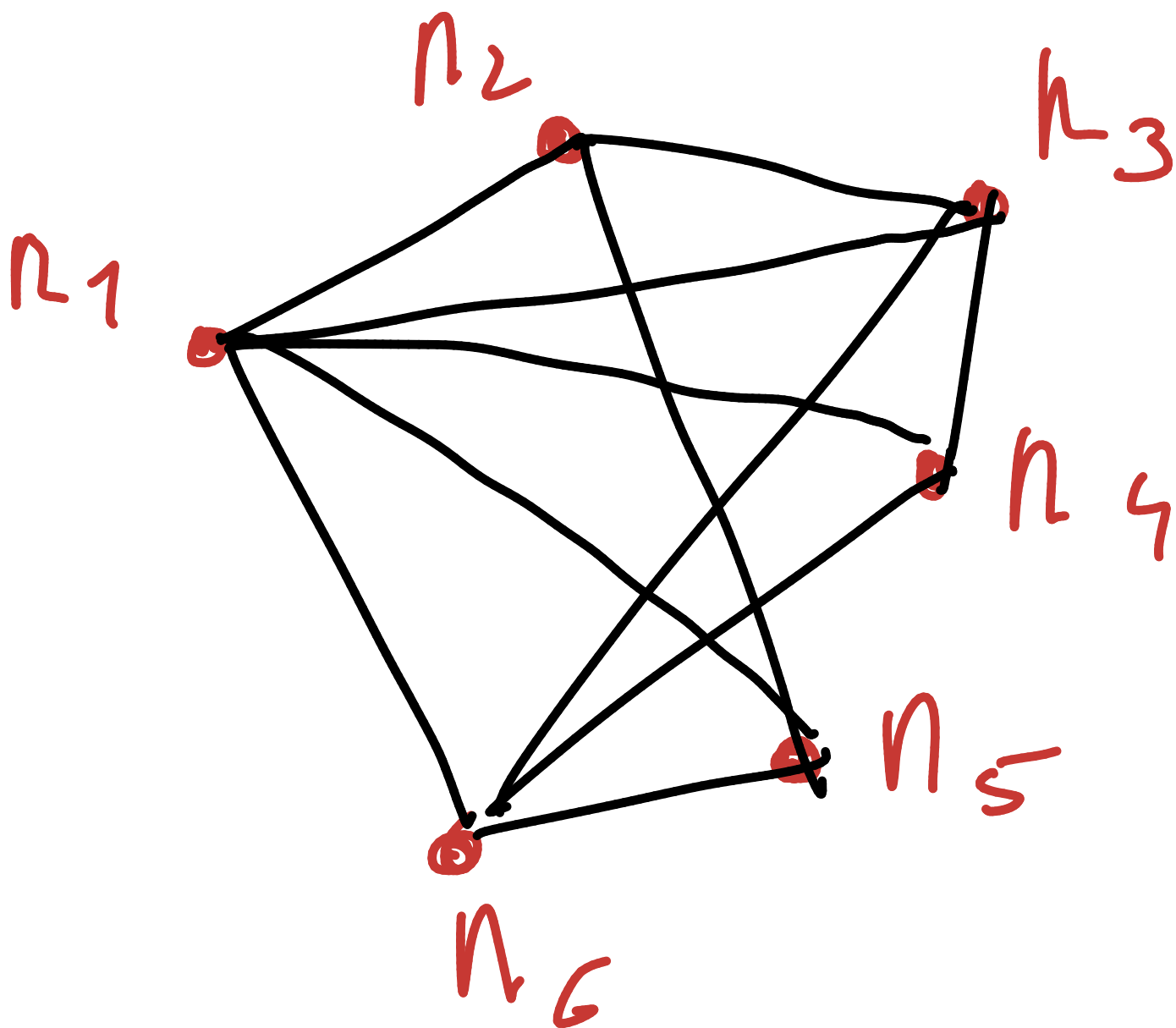
Enhanced memory state  
of a neural network



Critical state of large  
micro-state entropy of  
a quantum field.

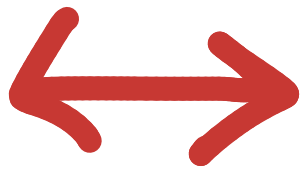
Framework: Effective description

System is described by effective degrees of freedom,  $\hat{n}_k$  ( $\hat{a}_k^\dagger, \hat{a}_k$ ), and their interactions



# Dictionary:

Quantum field



Neural network

Momentum mode



Neuron

$$\hat{n}_k \equiv \hat{a}_k^\dagger \hat{a}_k$$

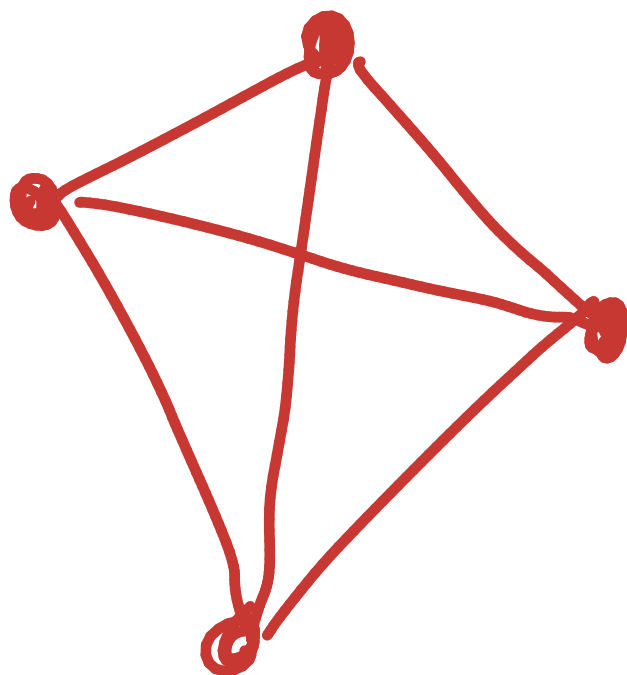
$$\hat{a}_k^\dagger |0\rangle = |1_k\rangle$$

Hamiltonian interactions between modes

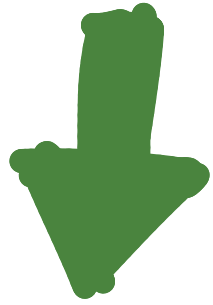


Synaptic connections

$$\hat{H}_{int} = \sum_{k,j} d_{kj} \hat{n}_k \hat{n}_j$$



Excitation level of  
neuron  $n_k$



Occupation number  
of  $k$ -th mode

$$n_k = \langle \hat{n}_k \rangle$$

$$\hat{\Psi}(x) = \sum_{\mathbf{k}} Y_{\mathbf{k}}(x) \hat{a}_{\mathbf{k}}$$

Complete set of harmonics.

This introduces a sense of geometry and locality in neural network

$$\hat{H}_{int} = \int \hat{\Psi}^\dagger \hat{\Psi}^\dagger \Psi \Psi$$

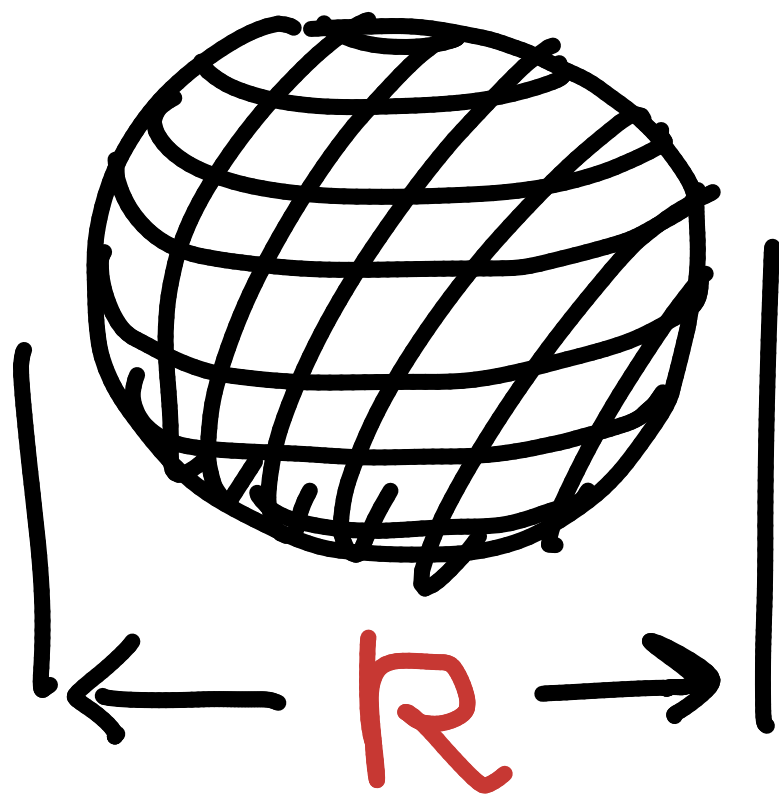
Local in position space



Lesson from black  
holes:

Bekenstein entropy

$$S = \frac{R^2}{L_P^2}$$



This implies  
number of patterns

$$\Delta N_P = e^S \text{ per energy}$$

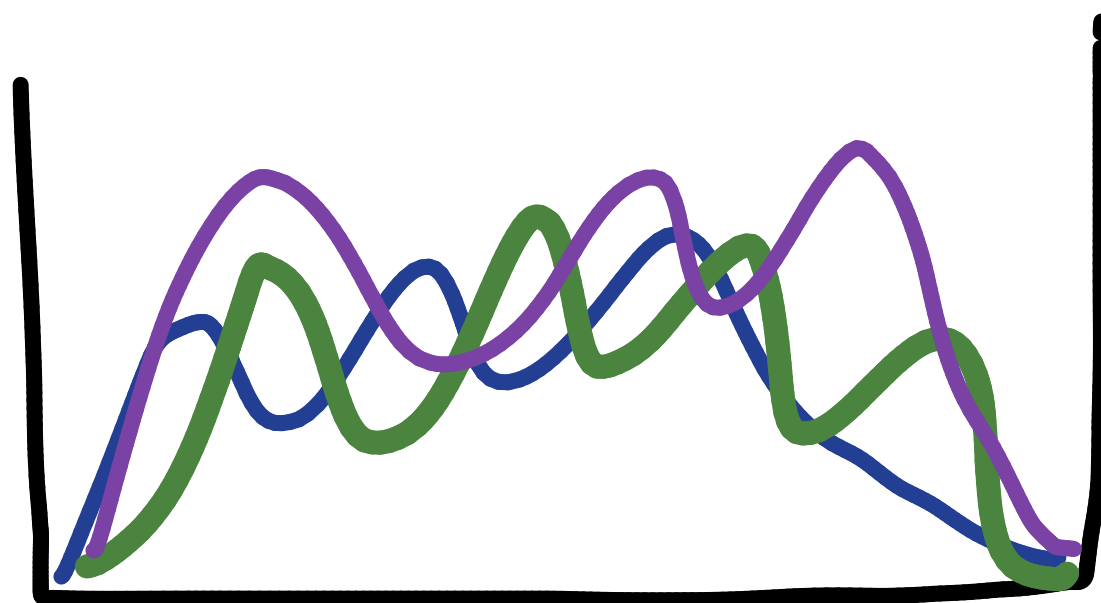
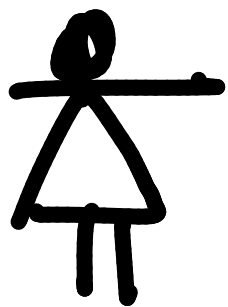
$$\text{gap } \Delta E = \frac{\hbar}{R} !$$

We shall not speculate about how black hole manages this.

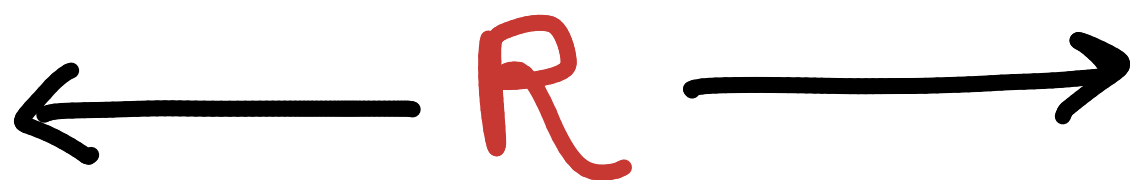
Our task:

Using well-known properties of black hole, design a neural network (equivalently a quantum field) with similar properties.

# Alice and Bob



around



vacuum

$|0\rangle =$  empty box

Pattern storage is very costly in energy.

e.g.  $|0\rangle \rightarrow |1\rangle$

$(0, 0, \dots, 0) \rightarrow (1, 0, \dots, 0)$

$$\Delta E \sim \frac{\hbar}{R} !$$

After the particle number becomes

$$N \sim \frac{1}{\alpha_{gr}} = \frac{R^2}{L_p^2}$$

a black hole is formed

$$M_{BH} = \frac{R^2}{L_p^2} \frac{\hbar}{R}$$

and memory capacity becomes huge

Elementary gap:

$$\Delta E \sim \frac{\hbar}{R} \frac{1}{N} !$$

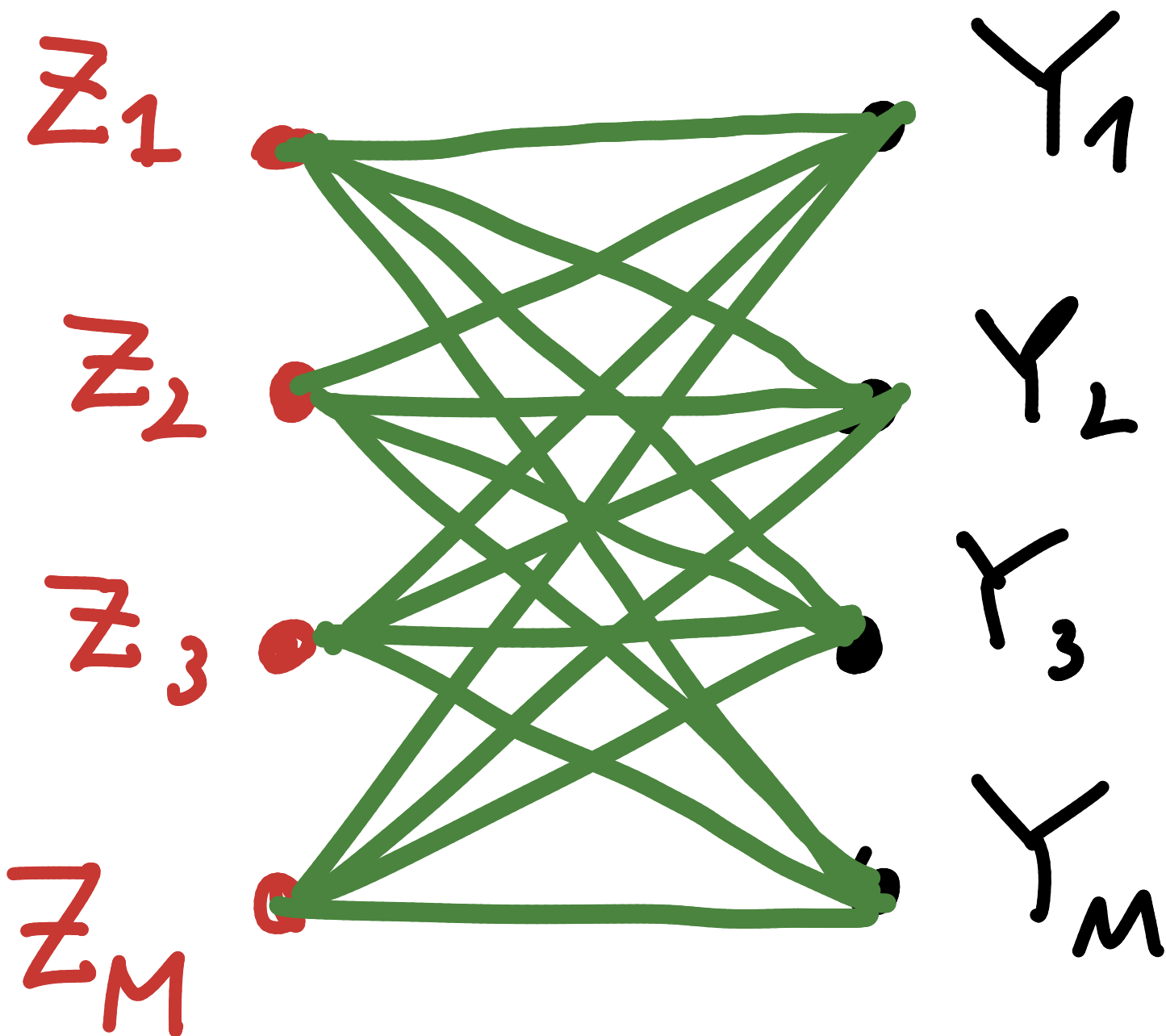
Bob wants to achieve the same in neural network:

- ① Design a network with gravity-like synaptic connections;
- ② Look for the states with lowest momentum modes occupied to

$$N \sim \frac{1}{(\text{coupling strength})}$$

First the essence:

$$\hat{H} = E_\alpha \hat{Z}_\alpha + E_j \hat{Y}_j + g_{\alpha j} \hat{Z}_\alpha \hat{Y}_j$$



Effective threshold  
for  $Y$ -neurons:

$$E_j^i = E_j - g_{j\alpha} Z_\alpha$$

Thus, around the state

$$Z_\alpha = (\bar{g}^{-1})_{\alpha j} E_j$$

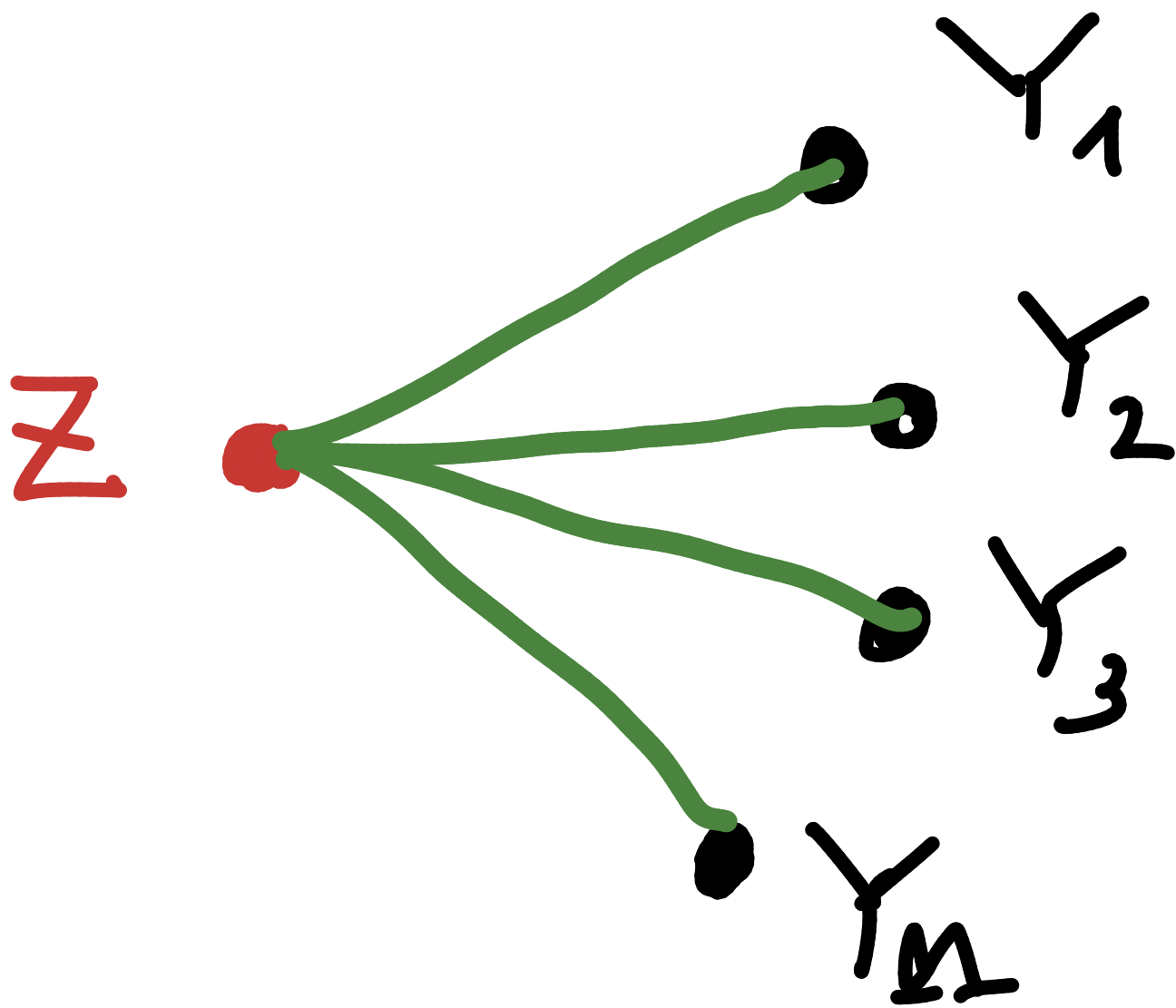
the  $Y$ -neurons become  
gates and memory  
storage capacity is  
exponentially large

$$N_P \sim Y_{MAX}^M$$

In the presence of a symmetry, extra degeneracy appears.

e.g.,

$$\hat{H} = (\hat{E} - \hat{Z}g)\hat{Y}$$





Gravity-like network  
with area low entropy

$$\hat{H} = E_k \hat{a}_k^\dagger \hat{a}_k + \hat{a}_k^\dagger \hat{W}_{kr} \hat{a}_r$$

where

$$\hat{W}_{kr} =$$

$$= \frac{E_k E_r}{2\Omega} \left[ 3 - \frac{E_k E_r}{E_*} \right] \sum_{s,q}$$

$$C_{skqr} \hat{a}_s^\dagger \hat{a}_q$$

$$C_{\sigma kqr} = \int d^d \Omega Y_s^* Y_k^* Y_q Y_r$$

Harmonics on  $S_d$  ↗

$$Y_k \equiv Y_{k_1 \dots k_d}$$

$$|k_1| \leq k_2 \leq \dots \leq k_d = 0, 1, \dots, \infty$$

$$\Delta Y_k = - \frac{k_d(k_d + d - 1)}{R^2} Y_k$$



Laplace on  $S_d$ .

Eigenvalue degeneracy

$$N_k \sim (k_d)^{d-1}$$

# Quantum field description of network

$$\hat{\psi} = \sum_{\mathbf{k}} \gamma_{\mathbf{k}} \hat{a}_{\mathbf{k}}$$

Hamiltonian:

$$\hat{H} = \int_{S_d} -\hat{\psi}^\dagger \Delta \hat{\psi} - \frac{3}{2\Lambda} (\hat{\psi}^\dagger \Delta \hat{\psi}^\dagger) \cdot (\hat{\psi} \Delta \hat{\psi}) + \frac{1}{2\Lambda \epsilon_*^2} (\hat{\psi}^\dagger \Delta^2 \hat{\psi}^\dagger) (\hat{\psi} \Delta^2 \hat{\psi})$$

$\Lambda, \epsilon_* \leftarrow$  parameters

The state of enhanced  
memory capacity:

$$\langle \hat{a}_0^\dagger \hat{a}_0 \rangle = N_0 = \frac{\Lambda}{\epsilon_*} \Rightarrow 1$$

Double-scaling limit

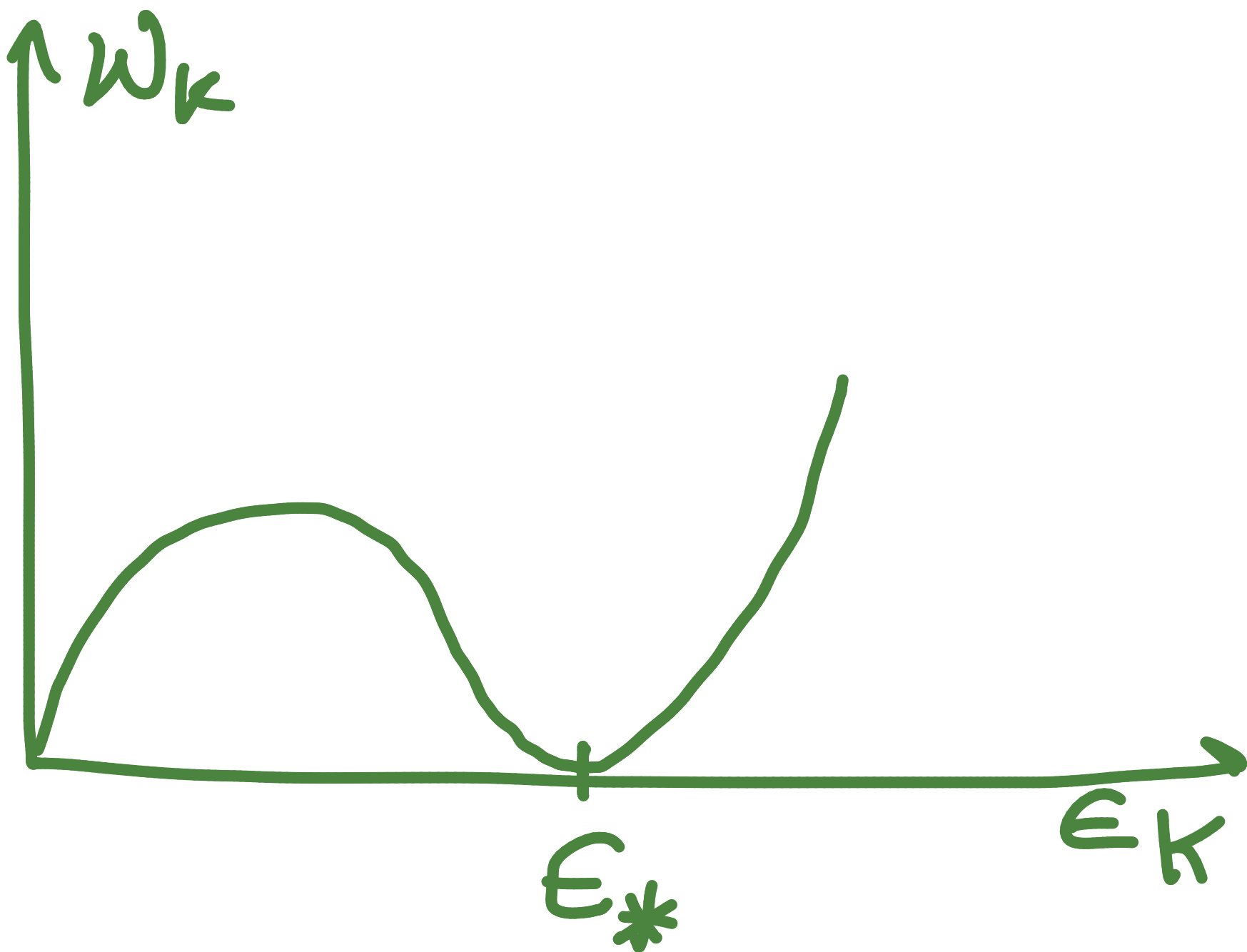
$$N_0 \rightarrow \infty, \quad \frac{N_0}{\Lambda} = \text{finite}$$

modes  $\epsilon_k = \epsilon_*$

are exactly gapless!

$$\hat{H} = \sum_{\mathbf{k}} \omega_{\mathbf{k}} \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{k}} + O\left(\frac{1}{\sqrt{N}}\right)$$


$$\omega_{\mathbf{k}} = \frac{\epsilon_{\mathbf{k}}}{2} \left( \frac{\epsilon_{\mathbf{k}}}{\epsilon_*} - 1 \right)^2 \left( \frac{\epsilon_{\mathbf{k}}}{\epsilon_*} + 2 \right)$$



# Number of gapless modes

$$N_{\text{gapless}} = k_*^{d-1} =$$

$$= \left( \frac{R}{L_*} \right)^{d-1}$$


$$L_* \equiv \sqrt{E_*^{-1}}$$

$N_{\text{gapless}} \propto \text{Area of } S_{d-1}!$

Patterns can be stored  
in micro-states obtained  
by exciting gapless modes,

say up to

$$\langle \hat{a}_{k_*}^\dagger a_{k_*} \rangle < n$$

$$N_p = n^{N_{\text{gapless}}}$$

$$\downarrow$$

$$\text{Entropy} \sim \left( \frac{R}{L_*} \right)^{d-1} \ln(n)$$

# Summary:

\* A neural network with most naive gravity-like synaptic connections exhibits a state of sharply enhanced memory storage capacity.

\* In such a state some neurons become effectively gapless and can store exponentially large number of patterns within narrow energy gap.



⊛ The phenomenon has a smooth classical limit.

⊛ Neural network can be mapped on a quantum field. The state of high memory capacity is then translated as a critical state of enhanced micro-state entropy of some gapless modes.

⊛ For spherical symmetry the systems exhibits area law entropy.

\* This gives an explicit microscopic realization of "holography":

$$N_{\text{qaplen}} \propto \text{Area of } S_{d-1}$$

\* There are many open interesting questions

