

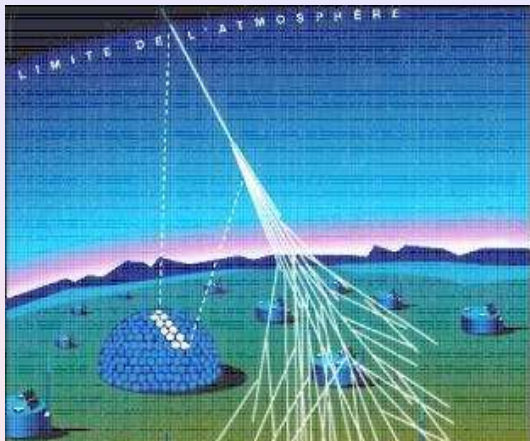
# CR composition & secondary production

Sergey Ostapchenko  
Frankfurt Institute for Advanced Studies

Sources of Galactic cosmic rays  
Paris, December 7–9, 2016

arXiv: 1608.07791, 1601.06567, 1402.508

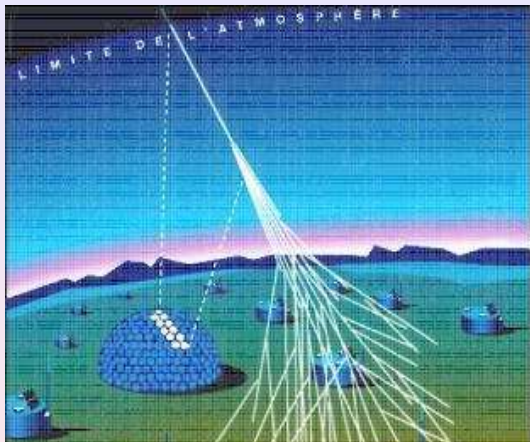
# Cosmic ray studies with Extensive Air Shower technique



ground-based observations

- primary CR energy  $\iff$  charged particle density at ground
- CR composition  $\iff$  muon density  $\rho_{\mu}$  at ground

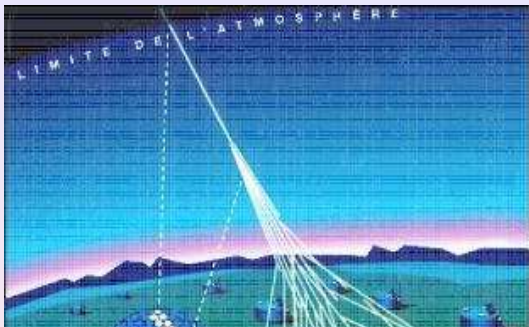
# Cosmic ray studies with Extensive Air Shower technique



measurements of EAS fluorescence light

- primary CR energy  $\iff$  integrated light
- CR composition  $\iff$  shower maximum position  $X_{\max}$

# Cosmic ray studies with Extensive Air Shower technique



CR composition studies – most dependent on interaction models

- e.g. predictions for  $X_{\max}$ : **on the properties of the primary particle interaction** ( $\sigma_{p\text{-air}}^{\text{inel}}$ , forward particle spectra)
  - $\Rightarrow$  most relevant to LHC studies of  $pp$  collisions
- predictions for muon density: on secondary particle interactions (cascade multiplication); mostly on  $N_{\pi\text{-air}}^{\text{ch}}$ 
  - $\Rightarrow$  **small potential influence of 'new physics'**

# Astrophysical studies with high energy $\gamma$ -rays, $\bar{p}$ -s & $\nu$ -s

- e.g. inferring primary CR spectra from observed  $\gamma$ -ray fluxes
  - involve spectra of  $\pi^0$  (also  $\eta$ ) for  $pp$ ,  $pA$  &  $AA$  collisions
- spectra of antiprotons:  
for constraining CR acceleration & propagation models
  - also background for indirect searches of dark matter
- production of  $\nu$ -s in  $pp$  &  $pA$  ( $AA$ ) collisions:  
for calculations of astrophysical neutrino fluxes
  - also for estimating the atmospheric neutrino background

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  - also for estimating the atmospheric neutrino background
- I'll not discuss these here  
(see e.g. arXiv:1206.4705, 1405.3797, 1406.0035, 1502.04158)

## 1 QGSJET-II-04 [SO, 2011]

- original ideas: QGS model [Kaidalov & Ter-Martirosyan, 1982]  
→ QGSJET [Kalmykov & SO, 1993, 1997] → QGSJET-II [SO, 2006]
- theoretically most advanced: e.g. microscopic treatment of nonlinear effects (Pomeron-Pomeron interaction diagrams)
- ⇒ strong predictive power (minimal number of parameters)

# Cosmic ray interaction models

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## 2 EPOS-LHC [Pierog, Karpenko, Katzy, Yatsenko & Werner, 2015]

- VENUS [Werner, 1993]  $\rightarrow$  NEXUS [Drescher, Hladik, SO, Pierog & Werner, 2001]  $\rightarrow$  EPOS [Werner, Liu & Pierog, 2006]
- more phenomenological (e.g. parametrized saturation effects)
  - $\Rightarrow$  larger parameter freedom
- additional theoretical mechanisms (e.g. energy-momentum sharing at the amplitude level, hydrodynamics for final states)
- generally better description of existing data (e.g.  $p_t$  spectra)

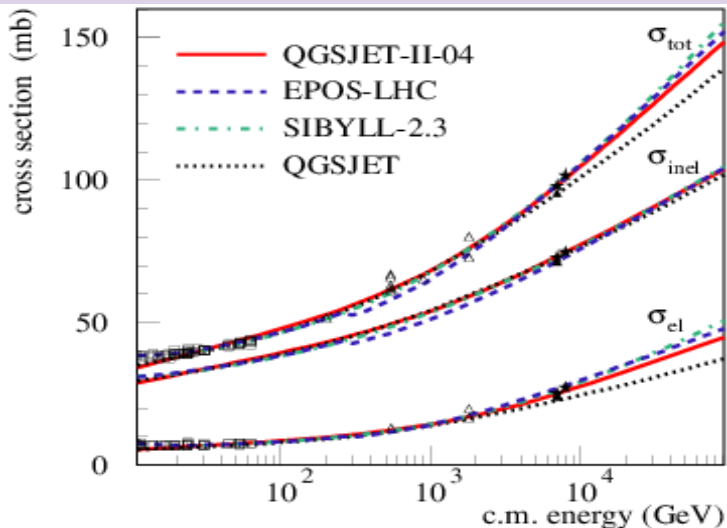


# Cosmic ray interaction models

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- 3 **SIBYLL-2.3** [*Riehn, Engel, Fedynitch, Gaisser & Stanev, 2015*]
  - SIBYLL-1.7 [*Fletcher, Gaisser, Lipari & Stanev, 1994*]  
 $\rightarrow$  SIBYLL-2.1 [*Ahn, Engel, Gaisser, Lipari & Stanev, 2009*]
  - relatively simple ('minijet' approach)
  - differs from QGSJET-II & EPOS in many important aspects
  - has similarities to models used at LHC (e.g. PYTHIA)

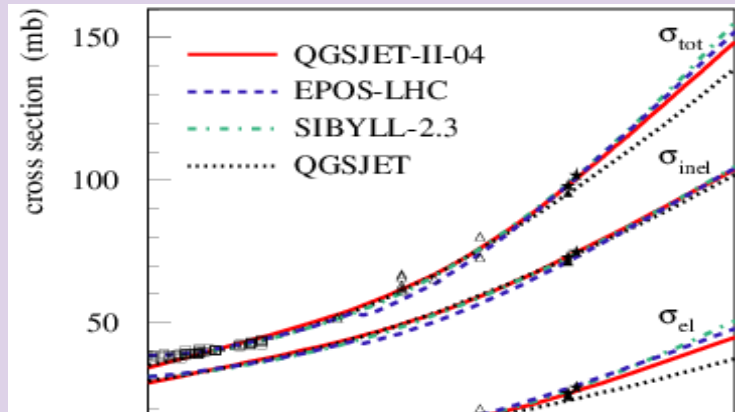
# All the models: updated with Run 1 data of LHC

Very similar high energy extrapolations for  $\sigma_{pp}$  for all the models



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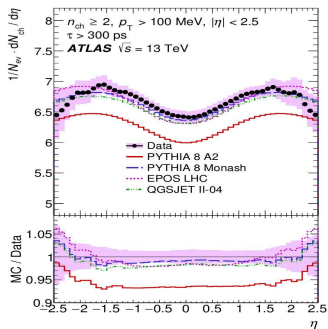
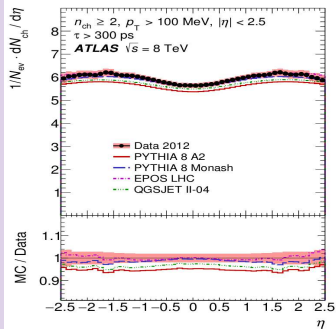


NB: old QGSJET model - outdated physics-wise (> 20 years old)

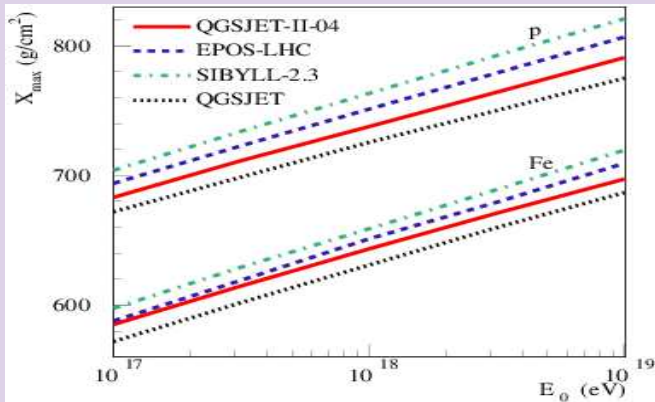
- yet agrees with LHC data on  $\sigma_{pp}^{tot/inel}$  & particle production
- $\Rightarrow$  used here to study 'potential' range of model uncertainties

# All the models: updated with Run 1 data of LHC

$dn_{pp}^{\text{ch}}/d\eta$  of ATLAS ( $\sqrt{s} = 8$  and 13 TeV): CR models o.k.

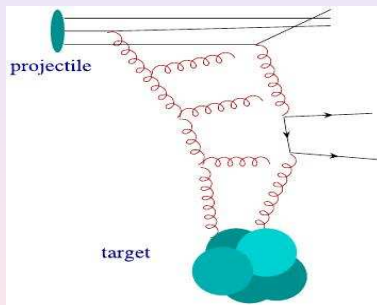


# Model predictions for $X_{\max}$ : yet large differences



# Hadronic interactions: qualitative picture

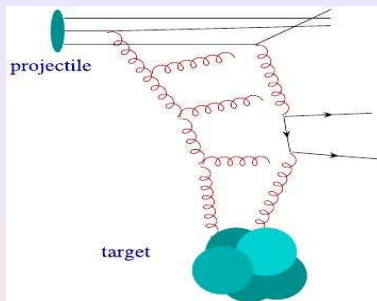
- QCD-inspired: interaction mediated by parton cascades



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for inclusive high  $p_t$  spectra

# Hadronic interactions: qualitative picture

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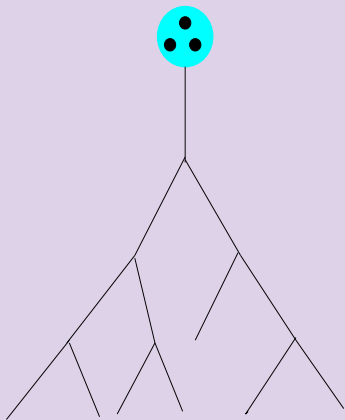
## What is beyond and why the models are different?

- nonperturbative (low  $p_t$ ) parton cascades
- multiple scattering
- nonlinear effects (interactions between parton cascades)
- **constituent parton Fock states**  
(initial conditions for parton cascades)

# Hadronic interactions: nonperturbative Fock states

## 1. (Implicitly) always same nonperturbative Fock state (typical for models used at colliders, also SIBYLL model)

- multiple parton cascades originate from the same initial parton state
- multiple scattering has small impact on forward spectra
  - new branches emerge at small  $x$   
( $G(x, q^2) \propto 1/x$ )
- $\Rightarrow$  Feynman scaling & limiting fragm. for forward production
- higher  $\sqrt{s} \Rightarrow$  more abundant central particle production
- forward & central production – decoupled from each other
  - (decreasing number of cascade branches for increasing  $x$ )

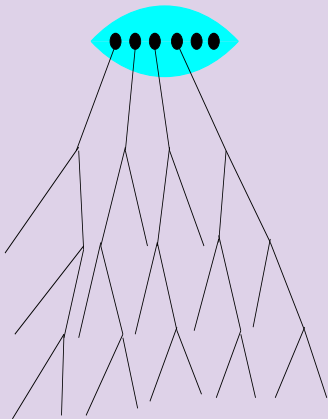




# Hadronic interactions: nonperturbative Fock states

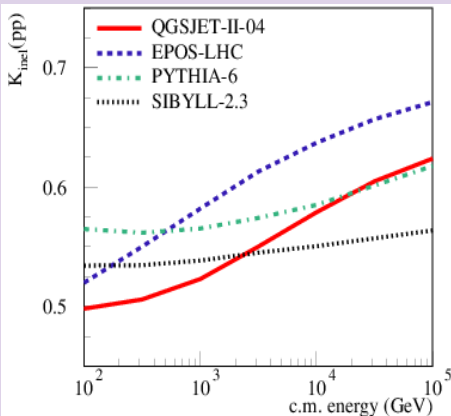
## 2. $p = \sum$ of multi-parton Fock states [EPOS & QGSJET(-II)]

- many cascades develop in parallel (already at nonperturbative stage)
- higher  $\sqrt{s} \Rightarrow$  larger Fock states come into play:  $|qqq\rangle \rightarrow |qqq\bar{q}q\rangle \rightarrow \dots |qqq\bar{q}q\dots\bar{q}q\rangle$ 
  - $\Rightarrow$  softer forward spectra (energy sharing between constituent partons)
- forward & central particle production - strongly correlated
  - e.g. more activity in central detectors  $\Rightarrow$  larger Fock states  $\Rightarrow$  softer forward spectra



# Why of importance for EAS predictions (e.g. for $X_{\max}$ )?

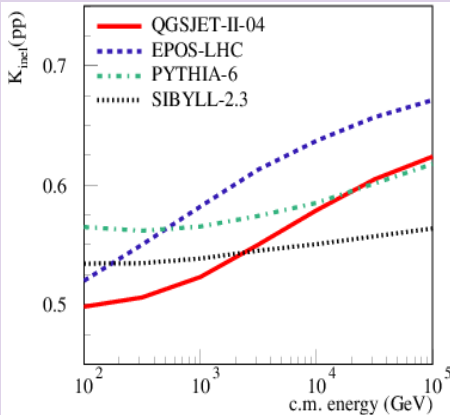
Main cause: energy-dependence of the nucleon 'inelasticity'



- SIBYLL & PYTHIA: **weak  $\sqrt{s}$ -dependence of  $K_{pp}^{\text{inel}}$** 
  - for increasing  $\sqrt{s}$ , only central production enhanced
- smaller  $K^{\text{inel}}$   $\Rightarrow$  stronger 'leading particle' effect
- $\Rightarrow$  slower shower development (larger  $X_{\max}$ )

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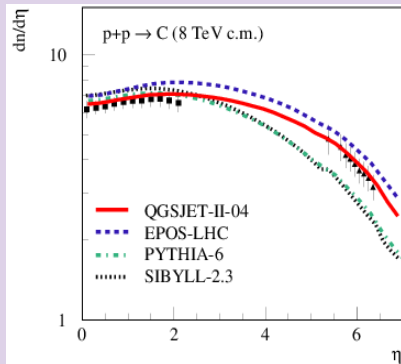


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- 
- **strong energy rise of  $K_{pp}^{\text{inel}}$  in EPOS & QGSJET-II:**  
due to energy-momentum sharing in multiparton Fock states  
(less energy left for the proton 'remnants')

# Why of importance for EAS predictions (e.g. for $X_{\max}$ )?

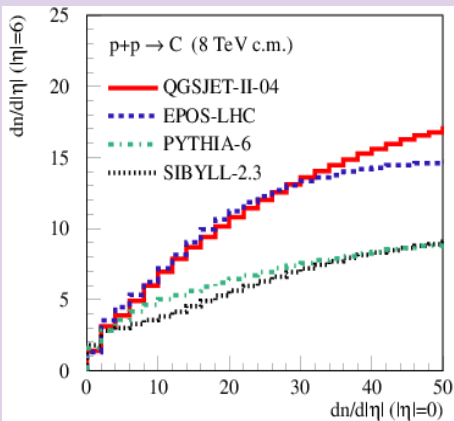
Test: combined CMS-TOTEM data on  $dN_{\text{ch}}/d\eta$

- flatter  $dN_{\text{ch}}/d\eta$  of EPOS & QGSJET-II agrees with data
- SIBYLL & PYTHIA – disfavored



# Why of importance for EAS predictions (e.g. for $X_{\max}$ )?

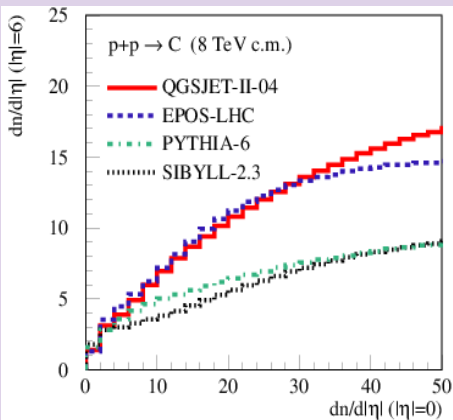
Crucial test: cross-correlation of  $dN_{pp}^{\text{ch}}/d|\eta|$  in CMS & TOTEM



- strong correlation for QGSJET-II & EPOS (apart from the tails of the  $N^{\text{ch}}$  distributions)
- twice weaker correlation for SIBYLL & PYTHIA

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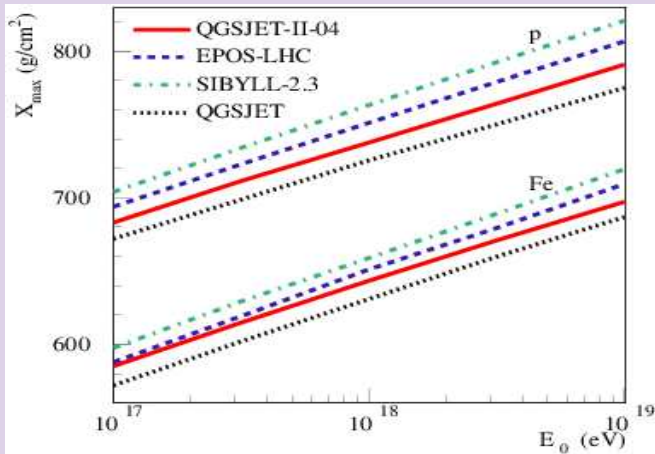


- strong correlation for QGSJET-II & EPOS (apart from the tails of the  $N^{\text{ch}}$  distributions)
- twice weaker correlation for SIBYLL & PYTHIA

- if strong correlation confirmed:  
final disproof of SIBYLL predictions for EAS

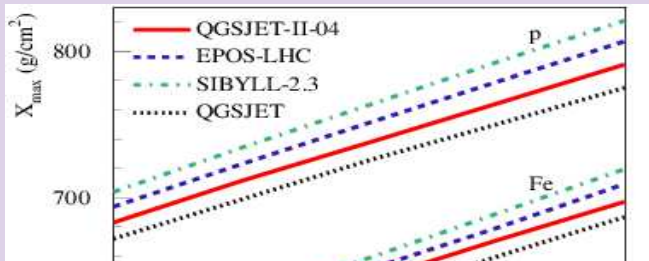
# Relevance of the inelastic diffraction

Why different  $X_{\max}$  predictions for the other three models?



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Model differences concerning the treatment of diffraction?

- predictions for  $X_{\max}$  depend on  $\sigma_{p\text{-air}}^{\text{inel}}$ ,  $\sigma_{p\text{-air}}^{\text{diffr}}$ ,  $K_{p\text{-air}}^{\text{inel}}$ 
  - $\sigma_{pp}^{\text{tot/el}}$  can be reliably extrapolated thanks to LHC studies
  - $\sigma_{pp}^{\text{diffr}}$  impacts recalculation from  $pp$  to  $pA$  ( $AA$ )
    - $\sigma_{p\text{-air}}^{\text{inel}}$  – due to inelastic screening
    - directly related to  $\sigma_{p\text{-air}}^{\text{diffr}}$ , hence, also to  $K_{p\text{-air}}^{\text{inel}}$ : due to small 'inelasticity' of diffractive collisions



Presently: tension between CMS & TOTEM concerning  $\sigma_{pp}^{\text{SD}}$

	TOTEM	CMS
$M_X$ range, GeV	7 – 350	12 – 394
$\sigma_{pp}^{\text{SD}}(\Delta M_X)$ , mb	$\simeq 3.3$	$4.3 \pm 0.6$
$\frac{d\sigma_{pp}^{\text{SD}}}{dy_{\text{gap}}}$ , mb	0.42	0.62

- $\Rightarrow$  may be regarded as the characteristic uncertainty for  $\sigma_{pp}^{\text{SD}}$
- impact on  $X_{\text{max}}$ ?

Two alternative model versions (tunes): SD+ & SD-

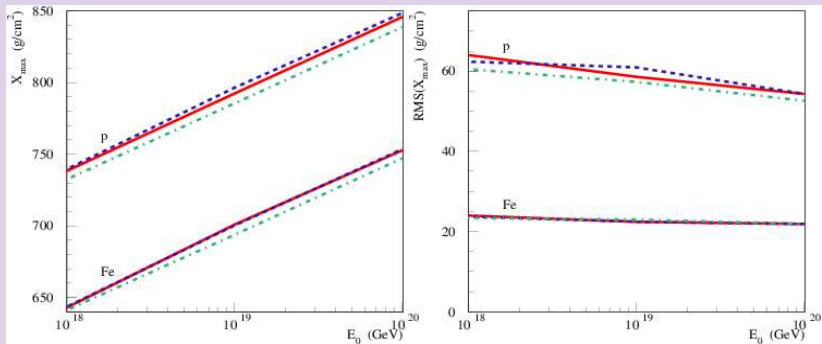
- SD+: **increased high mass diffraction (HMD)**
  - to approach CMS results
    - slightly smaller LMD – to soften disagreement with TOTEM

## Two alternative model versions (tunes): SD+ & SD-

- SD+: increased high mass diffraction (HMD)
  - to approach CMS results
    - slightly smaller LMD – to soften disagreement with TOTEM
- SD-: **smaller LMD (by 30%)**, same HMD
- similar  $\sigma_{pp}^{\text{tot/el}}$  & central particle production in both cases

# Impact of uncertainties of $\sigma_{pp}^{SD}$ on $X_{\max}$ predictions

## Impact on $X_{\max}$ & $\text{RMS}(X_{\max})$

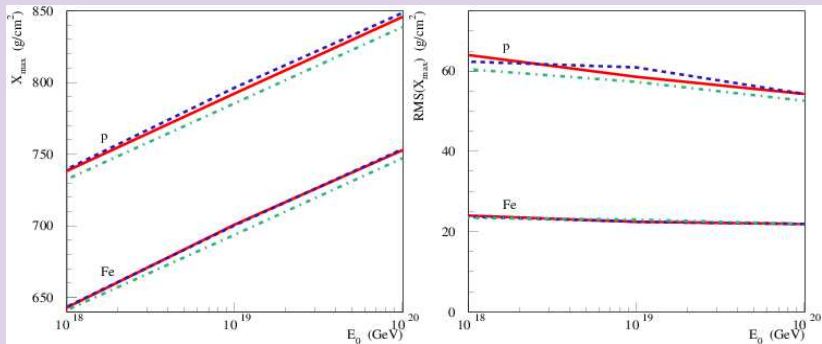


## Option SD-: smaller low mass diffraction

- $\Rightarrow$  smaller inelastic screening  $\Rightarrow$  larger  $\sigma_{p\text{-air}}^{\text{inel}}$
- smaller diffraction for proton-air  $\Rightarrow$  larger  $K_{p\text{-air}}^{\text{inel}}$
- $\Rightarrow$  **smaller  $X_{\max}$**  (all effects work in the same direction):  
 $\Delta X_{\max} \simeq -10 \text{ g/cm}^2$

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## Impact on $X_{\max}$ & $\text{RMS}(X_{\max})$

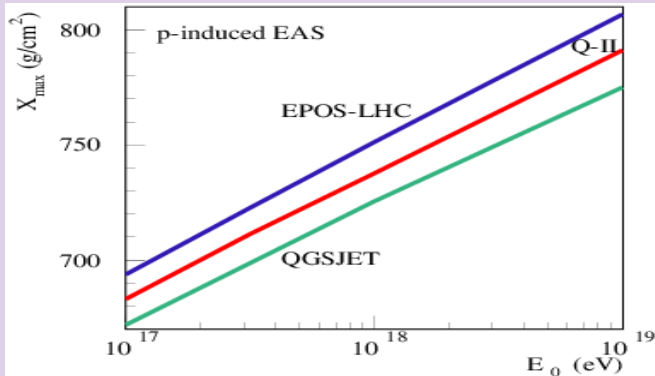


## Option SD+: larger high mass diffraction

- opposite effects
- but: **minor impact on  $X_{\max}$**  ( $\Delta X_{\max} < 5 \text{ g/cm}^2$ )
- in both cases: **minor impact on  $\text{RMS}(X_{\max})$** :  $< 3 \text{ g/cm}^2$   
(dominated by  $\sigma_{p\text{-air}}^{\text{inel}}$ )

# Other sources of model uncertainties for $X_{\max}$

Model differences for  $X_{\max}$  twice bigger (reach  $20 \text{ g/cm}^2$ )

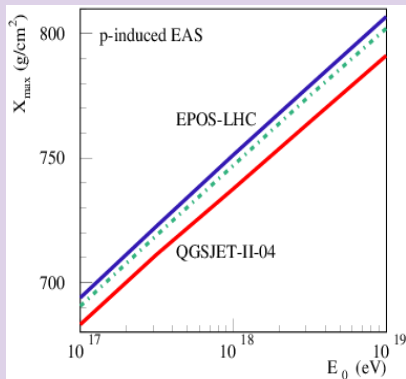


- previous analysis not general enough?
- or other interaction properties relevant?
- to answer - use “cocktail” model approach

# Other sources of model uncertainties for $X_{\max}$

Let us compare  $X_{\max}$  of EPOS-LHC & QGSJET-II-04

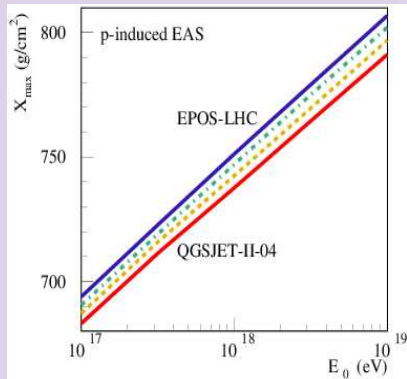
- and construct 'mixture models'
- use QGSJET-II for  $\sigma_{p\text{-air}}^{\text{inel}}$  & leading nucleon spectrum (EPOS-LHC for the rest)
- $\Delta X_{\max} \leq 5 \text{ g/cm}^2$  - in agreement with above



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- now QGSJET-II for the complete 1st interaction (EPOS-LHC for the rest)
- $\Delta X_{\max} \leq 5 \text{ g/cm}^2$
- reason: harder pion spectra in  $p\text{-air}$  in EPOS-LHC

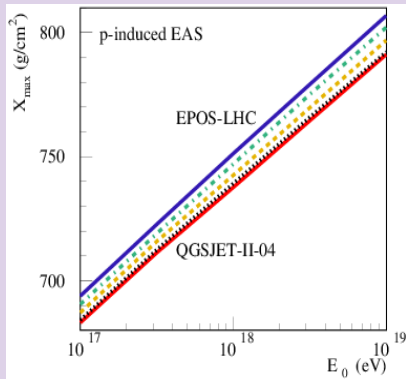




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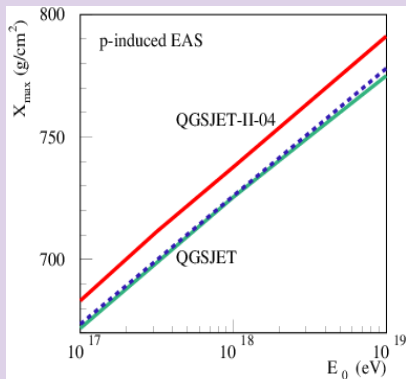
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- now QGSJET-II for the complete 1st interaction (EPOS-LHC for the rest)
- $\Delta X_{\max} \leq 5 \text{ g/cm}^2$
- remaining difference: copious  $\bar{p}p$ - &  $\bar{n}n$ -pair production in  $\pi$ - &  $K$ -air in EPOS-LHC



# Other sources of model uncertainties for $X_{\max}$

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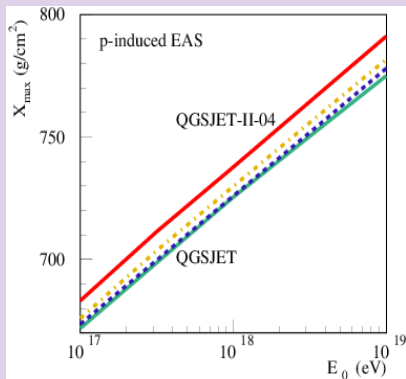
- use QGSJET-II for the complete 1st interaction (QGSJET for the rest)
- $\Delta X_{\max} \leq 3 \text{ g/cm}^2$



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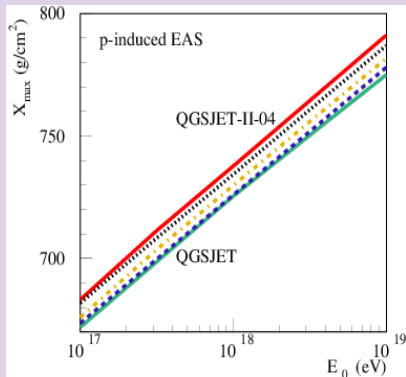
- use QGSJET-II for the complete 1st interaction (QGSJET for the rest)
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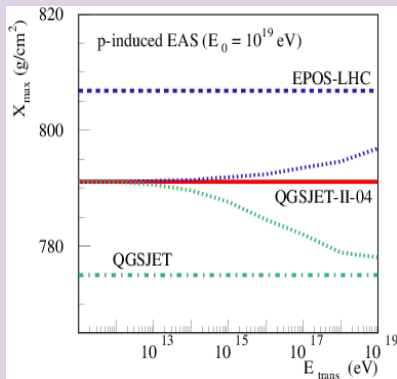
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- next: QGSJET-II for the 1st interaction & for all  $\sigma_{\pi\text{-air}}^{\text{inel}}$ ,  $\sigma_{K\text{-air}}^{\text{inel}}$
- rest: mostly due to softer pion & kaon spectra in  $\pi\text{-air}$  in QGSJET



# Other sources of model uncertainties for $X_{\max}$

Present  $X_{\max}$  uncertainties: largely due to very high energy  $\pi$  – air

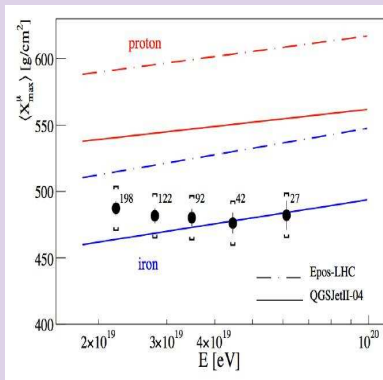
- $X_{\max}$  for  $10^{19}$  eV proton EAS using 'cocktail': QGSJET-II for  $E > E_{\text{trans}}$  and EPOS-LHC or QGSJET for  $E < E_{\text{trans}}$
- **main difference for  $E \rightarrow E_0$**  (before most of the energy goes into the e/m cascade)
- how to constrain pion-air collisions at VHE?!



# Testing models with air shower data

## PAO measurement of maximal muon production depth $X_{\max}^{\mu}$

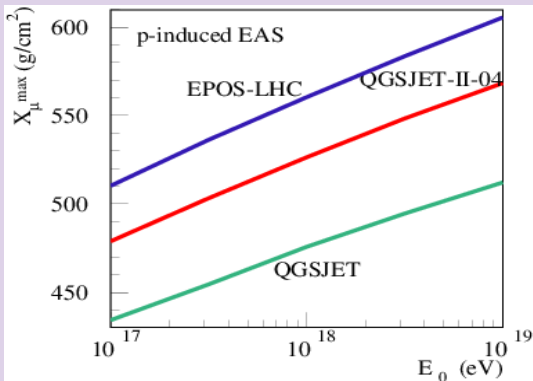
- models predict deeper  $X_{\max}^{\mu}$  than observed
  - e.g. one needs primary iron for QGSJET-II-04
  - or primary gold for EPOS-LHC...



[from M. Roth, "Composition-2015" talk]

# Testing models with air shower data

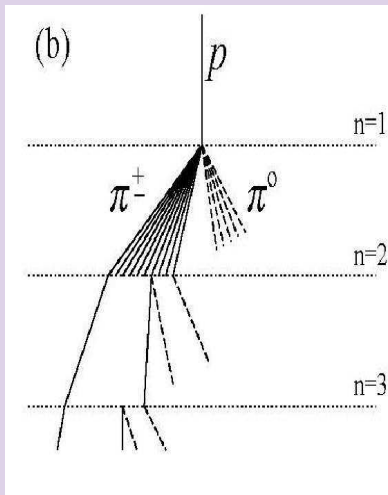
What is the physics behind the different predictions for  $X_{\mu}^{\max}$ ?



# Testing models with air shower data

## 1) Smallness of the $\pi$ – air cross section?

- NB: muons originate from a **multi-step hadron cascade**
- smaller  $\sigma_{\pi\text{-air}}^{\text{inel}}$   $\Rightarrow$  larger **distances between the cascade steps**
  - $\Rightarrow$  deeper  $X_{\text{max}}^{\mu}$
  - NB: larger diffraction in  $\pi$  – air  $\Rightarrow$  similar effect  
*[credits to T. Pierog]*

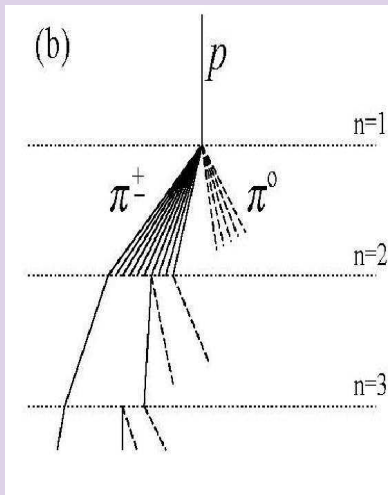




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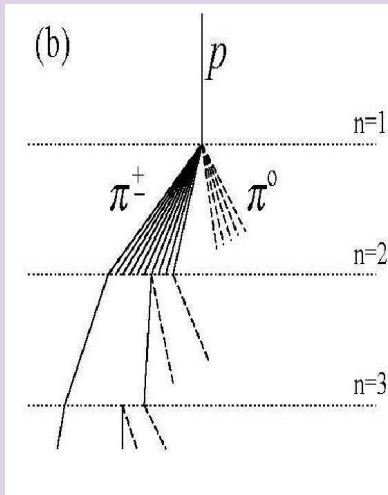
- NB: muons originate from a multi-step hadron cascade
- smaller  $\sigma_{\pi\text{-air}}^{\text{inel}}$   $\Rightarrow$  larger distances between the cascade steps
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  - NB: larger diffraction in  $\pi$  – air  $\Rightarrow$  similar effect [credits to T. Pierog]



# Testing models with air shower data

## 2) Hardness of pion spectra in $\pi$ – air?

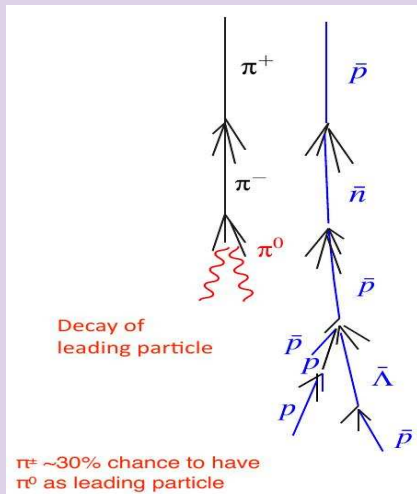
- pion decay probability:  
 $p_{\text{decay}} \propto E_{\pi}^{\text{crit}} / E_{\pi} / X$
- $X_{\text{max}}^{\mu}$ : where  $p_{\text{decay}} \sim p_{\text{inter}}$
- **harder spectra in  $\pi$  – air**  
 $\Rightarrow$  **deeper  $X_{\text{max}}^{\mu}$**  (effectively one more cascade step)



# Testing models with air shower data

## 3) Copious production of (anti-)nucleons?

- no decay for  $p$  &  $\bar{p}$  ( $n$  &  $\bar{n}$ )  
⇒ few more cascade steps
- but: impact on  $X_{\max}^{\mu}$  IFF  
 $N_{p,\bar{p},n,\bar{n}}$  comparable to  $N_{\pi}$ !  
(the case of EPOS)

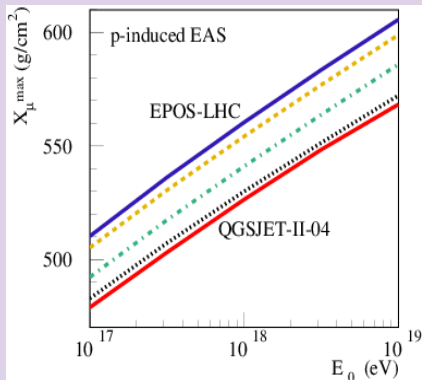


[from R. Engel, "Composition-2015" talk]

# Testing models with air shower data

Difference of  $X_{\mu}^{\max}$ : EPOS-LHC / QGSJET-II-04, using “cocktail”

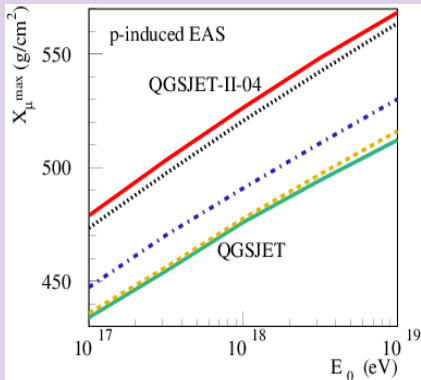
- QGSJET-II for 1st inter.;  
EPOS-LHC for the rest  
(minor effect)
- largest effect: copious  $\bar{p}p$   
&  $\bar{n}n$  production in EPOS
- remaining difference:  
higher diffraction in  $\pi$ - &  
 $K$ -air in EPOS



# Testing models with air shower data

Difference of  $X_{\mu}^{\mu}$ : QGSJET / QGSJET-II-04, using “cocktail”

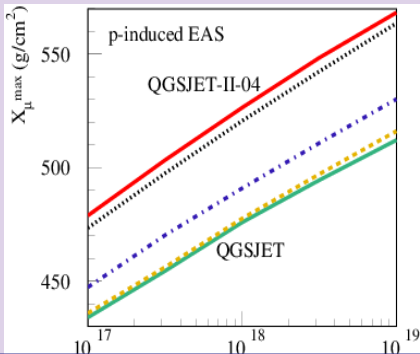
- QGSJET-II for 1st interaction, rest – QGSJET: minor effect
- QGSJET-II for 1st interaction &  $\sigma_{\pi, K}^{\text{inel}} - \text{air}$
- main effect: softer  $\pi^{\pm}$  &  $K^{\pm}$  spectra in  $\pi$ -air in QGSJET



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Model-dependence of  $X_{\max}^{\mu}$ : same features of  $\pi$ -air as for  $X_{\max}$

- $X_{\max}^{\mu}$  – even more sensitive!
- $\Rightarrow$  can be used to constrain model approaches
- e.g. PAO data disfavor copious  $\bar{p}p$  &  $\bar{n}n$  production and large diffraction of pions in EPOS-LHC

# Summary: constraints on models

From LHC data along:

- SIBYLL – disfavored
- EPOS-LHC & QGSJET-II-04 – equally good

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- correcting those will push  $X_{\max}$  higher in the atmosphere (closer to QGSJET-II-04)
- QGSJET-II-04? (to be discussed later)



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# How reliable are model predictions for KASCADE(Grande)?

Compared to PAO, one goes few decades down in energy

- $\Rightarrow$  smaller number of cascades steps
- $\Rightarrow$  **smaller sensitivity to pion-air interactions**
- in addition: pion-air collisions better constrained by fixed target data (notably from NA61)

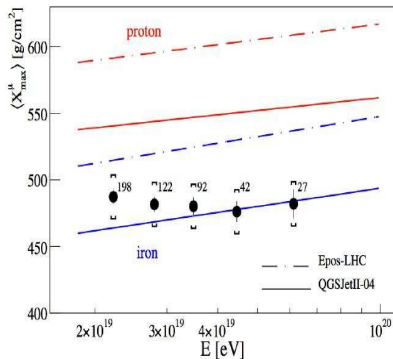
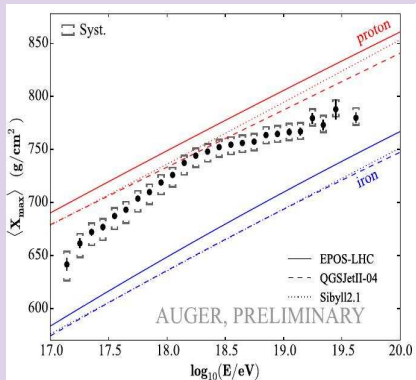
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- in addition: pion-air collisions better constrained by fixed target data (notably from NA61)
- $\Rightarrow$  **model predictions better constrained**  
(e.g. small difference between EPOS-LHC & QGSJET-II-04)

# Interpreting simultaneously PAO data on $X_{\max}$ & $X_{\max}^{\mu}$ ?

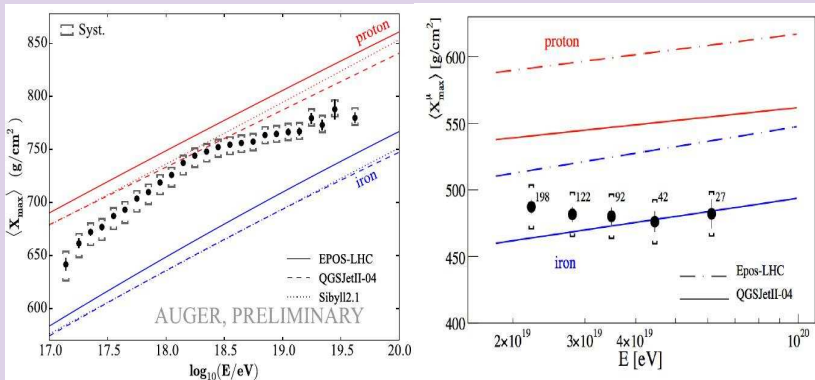
This would require a faster development of the hadronic cascade



- because: **impact on  $X_{\max}^{\mu}$  - stronger than on  $X_{\max}$**
- technically: requires higher  $\sigma_{\pi^{-}\text{air}}^{\text{inel}}$  and/or softer  $\pi^{\pm}$  spectra
  - $\Rightarrow$  towards old QGSJET

# Interpreting simultaneously PAO data on $X_{\max}$ & $X_{\max}^{\mu}$ ?

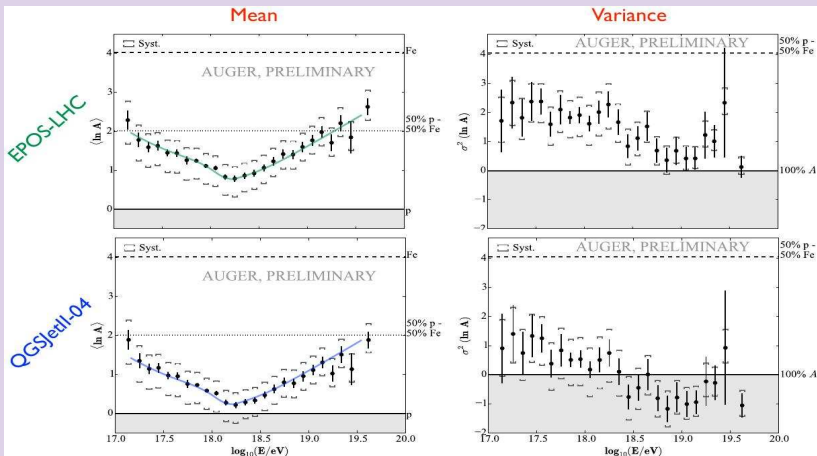
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- technically: requires higher  $\sigma_{\pi-\text{air}}^{\text{inel}}$  and/or softer  $\pi^{\pm}$  spectra
  - $\Rightarrow$  towards old QGSJET
- $\Rightarrow$  this would push us towards a light composition?!

# Conflict with $\text{RMS}(X_{\text{max}})$ ?

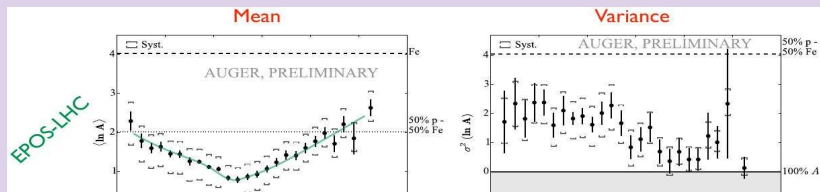
PAO analysis favors models with deeper  $X_{\text{max}}$  & smaller  $\text{RMS}(X_{\text{max}})$



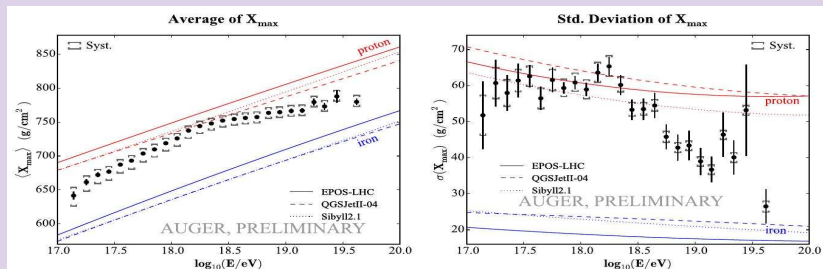
[from M. Roth, "Composition-2015" talk]

# Conflict with $\text{RMS}(X_{\text{max}})$ ?

PAO analysis favors models with deeper  $X_{\text{max}}$  & smaller  $\text{RMS}(X_{\text{max}})$



PAO data & model predictions for  $X_{\text{max}}$  &  $\text{RMS}(X_{\text{max}})$

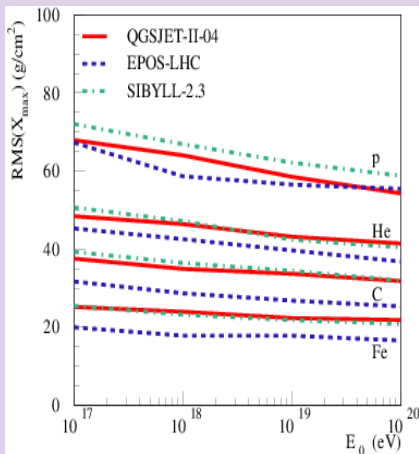


- for deeper  $X_{\text{max}}$  – see the discussion above
- what about the model differences for  $\text{RMS}(X_{\text{max}})$ ?

# Conflict with $\text{RMS}(X_{\text{max}})$ ?

NB: small model uncertainty for  $\text{RMS}(X_{\text{max}})$  based on LHC data

- tuning to LHC data on  $\sigma_{pp}^{\text{inel}} \Rightarrow$  similar  $\text{RMS}(X_{\text{max}})$  for protons



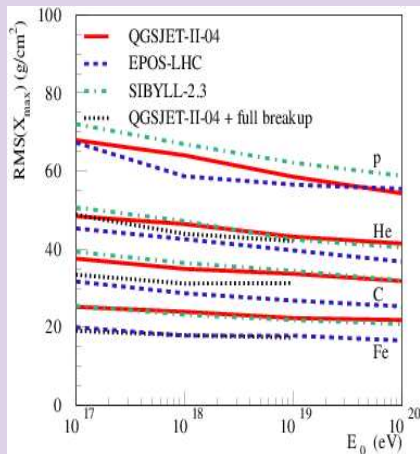
- differences for  $A$ -induced EAS: fragmentation of nuclear 'spectator' part



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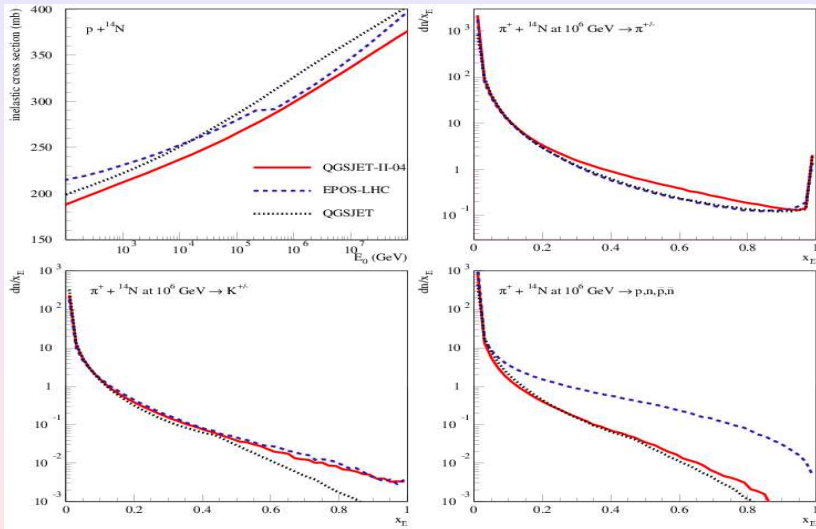
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- tuning to LHC data on  $\sigma_{pp}^{\text{inel}} \Rightarrow$  similar  $\text{RMS}(X_{\text{max}})$  for protons
- differences for  $A$ -induced EAS: fragmentation of nuclear 'spectator' part
- to explain EPOS results: QGSJET-II & **full break up of nuclear spectator part** (into separate nucleons)
  - NB: full break up – in variance with exp. data at fixed target energies
- $\Rightarrow$  **no real freedom here!**



# Extra slides

# $\sigma_{\text{inel}}$ & forward hadron spectra for pion-nitrogen collisions



# Forward $\pi^0$ spectra at $\sqrt{s} = 7$ (solid) and 2.76 (dashed) TeV compared to LHCf data

