

NEUTRINO MASSES AND MIXING:
A LITTLE HISTORY FOR A LOT OF
FUN

Concha Gonzalez-Garcia

(ICREA U. Barcelona & YITP Stony Brook)

History of the Neutrino, Paris Sept 2018



- By 2018 we have observed with high (or good) precision:
 - * Atmospheric ν_μ & $\bar{\nu}_\mu$ disappear most likely to ν_τ (**SK, MINOS, ICECUBE**)
 - * Accel. ν_μ & $\bar{\nu}_\mu$ disappear at $L \sim 300/800$ Km (**K2K, T2K, MINOS, NO ν A**)
 - * Some accelerator ν_μ appear as ν_e at $L \sim 300/800$ Km (**T2K, MINOS, NO ν A**)
 - * Solar ν_e convert to ν_μ/ν_τ (**Cl, Ga, SK, SNO, Borexino**)
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All this implies that L_α are violated

and There is Physics Beyond SM

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- The *starting* path:

Precise determination of the low energy parametrization

The New Minimal Standard Model

- Minimal Extension to allow for LFV \Rightarrow give Mass to the Neutrino

* Introduce ν_R AND impose L conservation \Rightarrow Dirac $\nu \neq \nu^c$:

$$\mathcal{L} = \mathcal{L}_{SM} - M_\nu \overline{\nu}_L \nu_R + h.c.$$

* NOT impose L conservation \Rightarrow Majorana $\nu = \nu^c$

$$\mathcal{L} = \mathcal{L}_{SM} - \frac{1}{2} M_\nu \overline{\nu}_L \nu_L^C + h.c.$$

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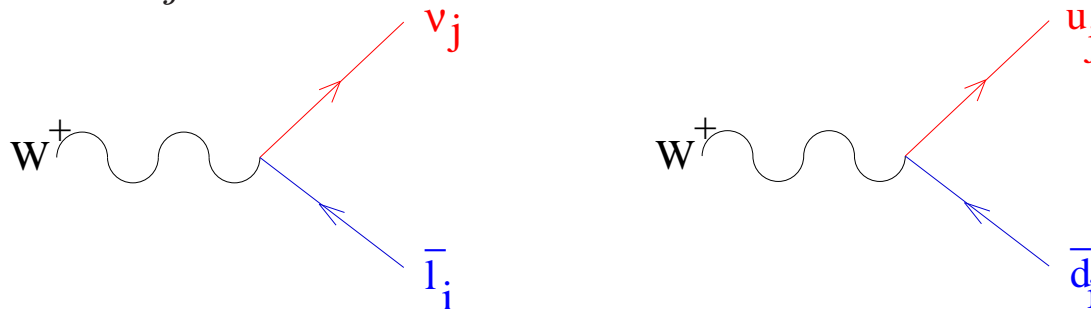
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- The charged current interactions of leptons are not diagonal (same as quarks)

$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{ij} (U_{\text{LEP}}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{\text{CKM}}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$



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- In general for $N = 3 + s$ massive neutrinos U_{LEP} is $3 \times N$ matrix

$$U_{\text{LEP}} U_{\text{LEP}}^\dagger = I_{3 \times 3} \quad \text{but in general} \quad U_{\text{LEP}}^\dagger U_{\text{LEP}} \neq I_{N \times N}$$

- U_{LEP} : $3 + 3s$ angles + $2s + 1$ Dirac phases + $s + 2$ Majorana phases

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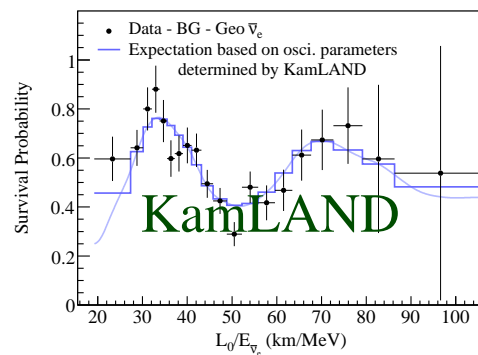
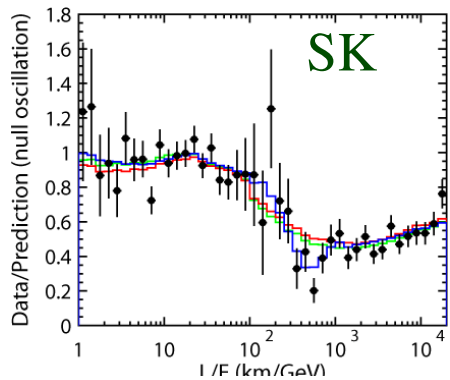
$$\frac{g}{\sqrt{2}} W_\mu^+ \sum_{ij} (U_{\text{LEP}}^{ij} \bar{\ell}^i \gamma^\mu L \nu^j + U_{\text{CKM}}^{ij} \bar{U}^i \gamma^\mu L D^j) + h.c.$$

\Rightarrow Flavour Oscillations:

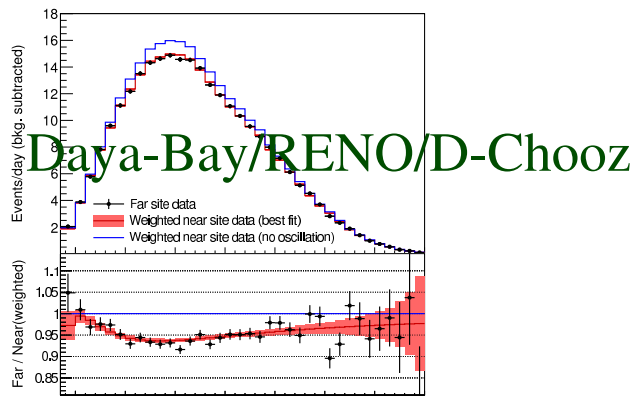
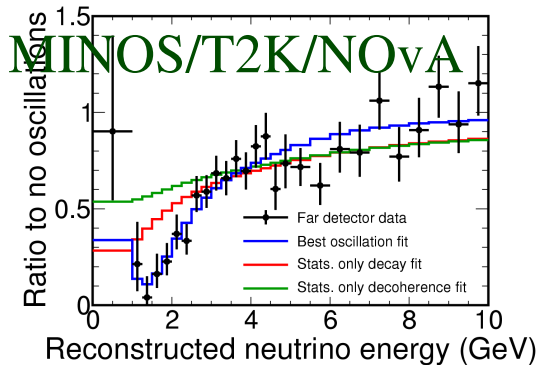
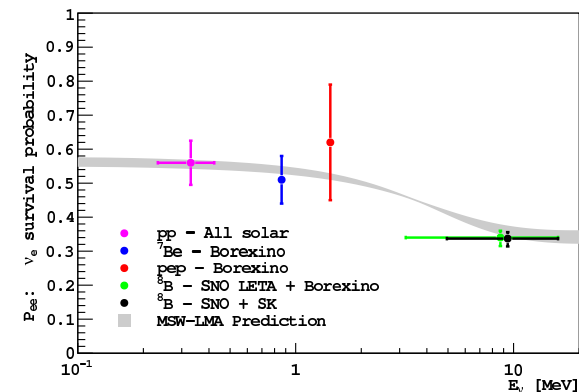
$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{j \neq i}^n \text{Re}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin^2 \left(\frac{\Delta_{ij}}{2} \right) + 2 \sum_{j \neq i} \text{Im}[U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*] \sin(\Delta_{ij})$$

$$\frac{\Delta_{ij}}{2} = \frac{(E_i - E_j)L}{2} = 1.27 \frac{(m_i^2 - m_j^2)}{\text{eV}^2} \frac{L/E}{\text{Km/GeV}}$$

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- Confirmed: Vacuum oscillation L/E pattern with 2 frequencies



MSW conversion in Sun



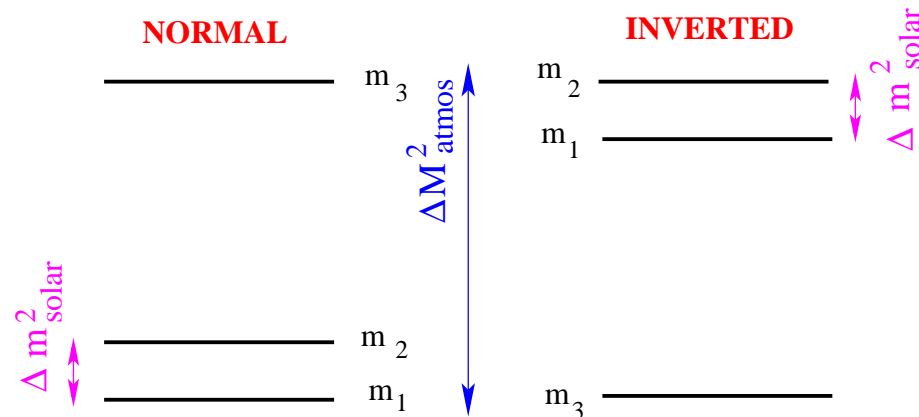
3ν Flavour Parameters

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- For for 3 ν's : 3 Mixing angles + 1 Dirac Phase + 2 Majorana Phases

$$U_{\text{LEP}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{21} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\phi_1} & 0 & 0 \\ 0 & e^{i\phi_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Two Possible Orderings



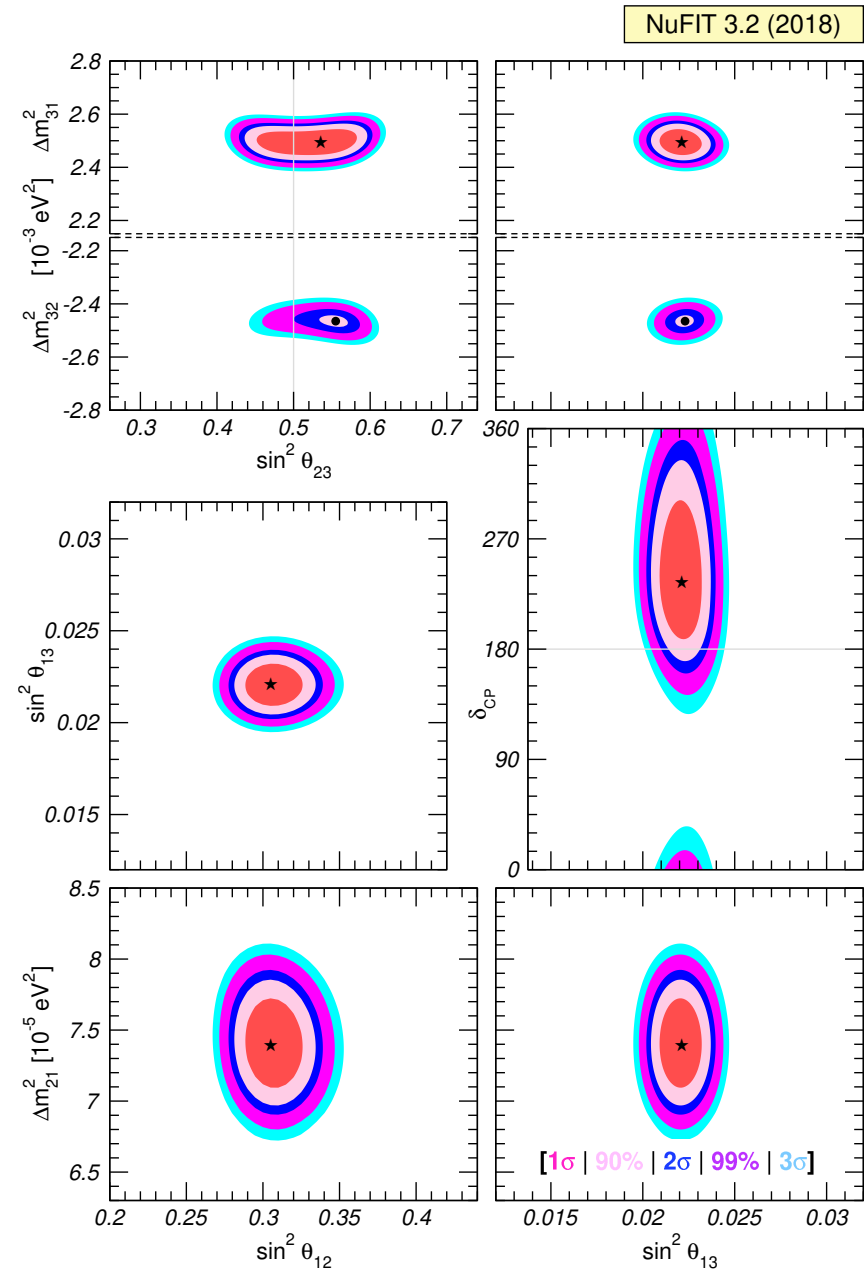
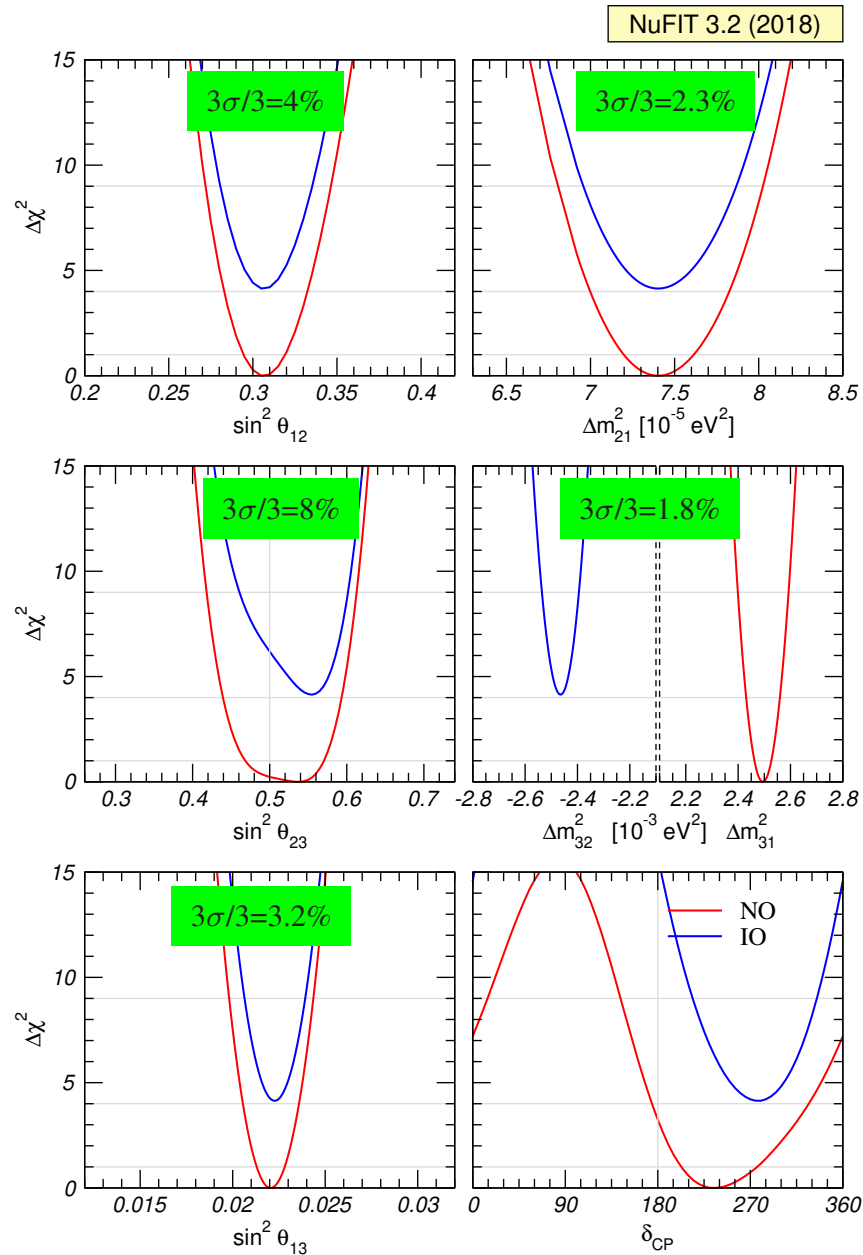
Experiment	Dominant Dependence	Important Dependence
Solar Experiments	→ θ_{12}	Δm_{21}^2 , θ_{13}
Reactor LBL (KamLAND)	→ Δm_{21}^2	θ_{12} , θ_{13}
Reactor MBL (Daya Bay, Reno, D-Chooz)	→ θ_{13}	Δm_{atm}^2
Atmospheric Experiments	→ θ_{23}	Δm_{atm}^2 , θ_{13} , δ_{CP}
Acc LBL ν_μ Disapp (Minos, T2K, NOvA)	→ Δm_{atm}^2	θ_{23}
Acc LBL ν_e App (Minos, T2K, NOvA)	→ θ_{13}	δ_{CP} , θ_{23}

3 ν Flavour Parameters: Status June 2018

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Global 6-parameter fit <http://www.nu-fit.org>

Esteban, Maltoni, Martinez-Soler, Schwetz, MCG-G ArXiv:1611:01514

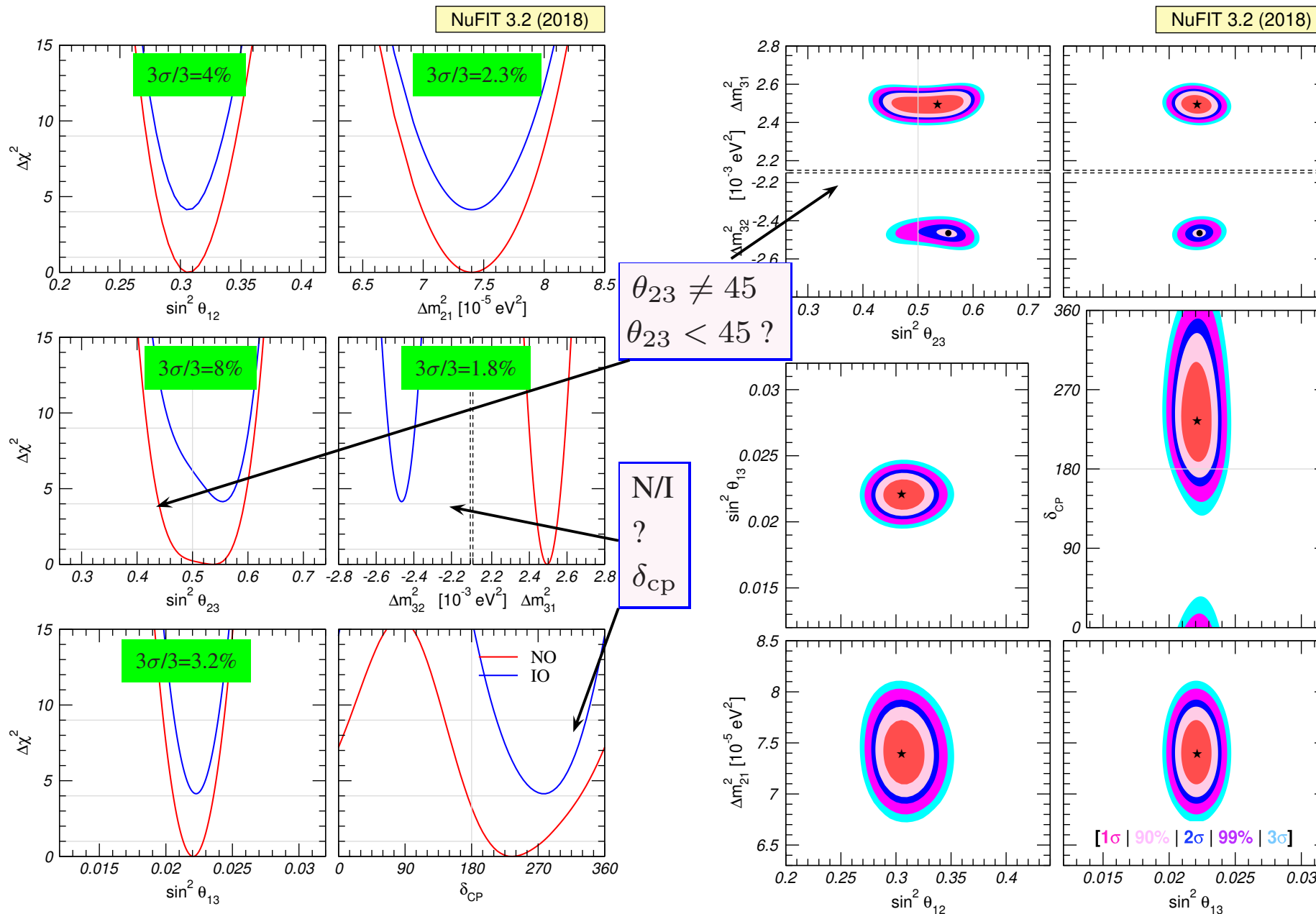


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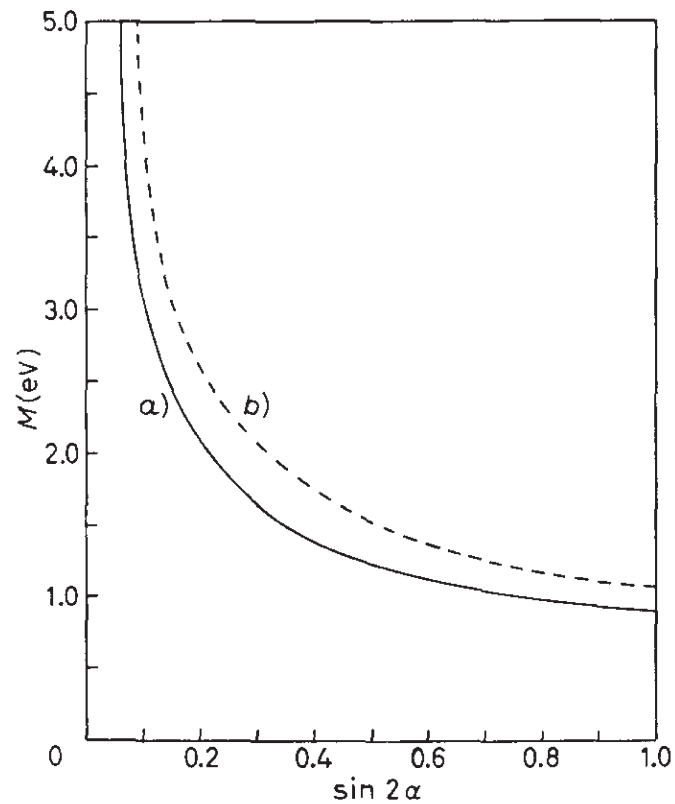
Early Neutrino Parameter Plots

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- The First?

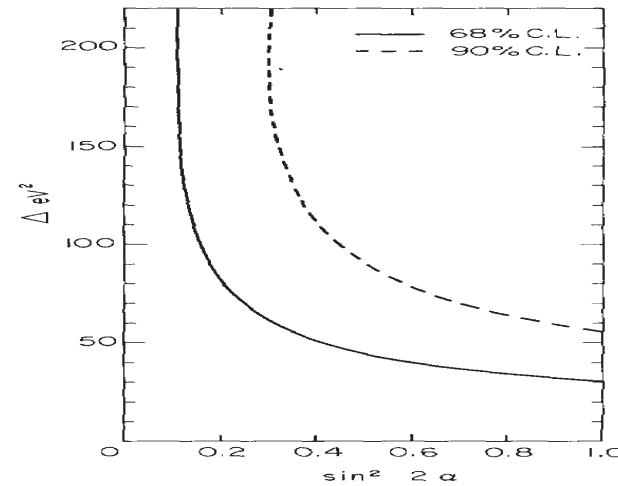
Data From Gargamelle $\nu_\mu \rightarrow \nu_e$

Belloti, Cavalli, Fiorini, Rollier, Nov. Cim. Dec 76

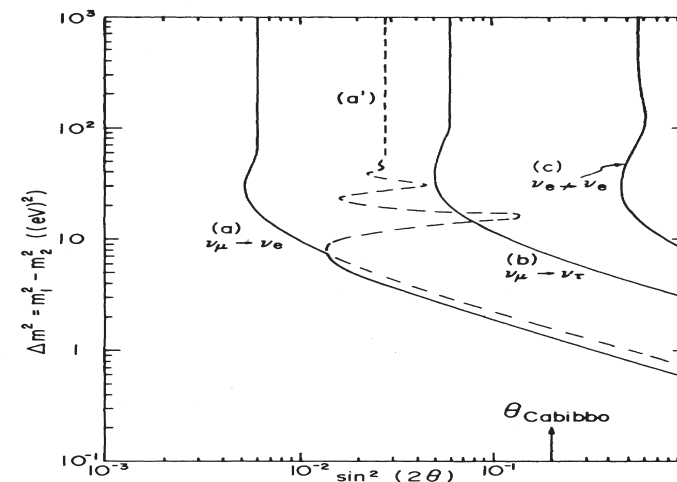


- From BEBC at CERN Deden *et al*, PLB Jan 81

$\nu_e \rightarrow \nu_e$



- FNAL Bubble Chamber Baker *et al*, PRL Nov 81



Early "Global Analysis"

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- Barger, Whisnant, Cline, Phillips, PLB Jun 80

- De Rujula, Lusignoli, Maiani, Petcov, Petronzio, NPB May 80

Table 1
Experimental limits on neutrino oscillations and neutrino flux predictions

Observables	Source refs.	$\frac{L}{E} \frac{m}{\text{MeV}}$	Present limit	Solution		
				A	B	C
$\langle P(\nu_e \rightarrow \nu_e) \rangle$	S [6]	10^{10}	$\gtrsim 1/4, \lesssim 1/2$	0.41	0.33	0.41
$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$	R [3,4]	1-3	> 0.5	0.6-1.0	0.8-1.0	0.8 mean
	R a)	5-20		0.1-0.9	0.05-0.5	0.1-0.9
$P(\nu_e \rightarrow \nu_e)$	A	0.04	$> 0.85^e$	1.0	1.0	0.9
	M [12]	0.3	1.1 ± 0.4	0.95	1.0	0.8 mean
	M b)	1-3		0.6-1.0	0.8-1.0	0.8 mean
$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$	M [12]	0.3	< 0.04	10^{-4}	10^{-3}	10^{-3}
	M b)	3		0.03	0.11	0.03
$P(\nu_\mu \rightarrow \nu_e)/P(\nu_\mu \rightarrow \nu_\mu)$	A [10,11]	0.04	$< 10^{-3}$	10^{-6}	10^{-5}	10^{-4}
	A [18] c)	1-7		0-0.2	0-0.8	0-0.2
$P(\nu_e \rightarrow \nu_\tau)$	A d)	0.04	$< 0.2^e$	10^{-3}	10^{-5}	0.1
$P(\nu_\mu \rightarrow \nu_\tau)/P(\nu_\mu \rightarrow \nu_\mu)$	A [13]	0.04	$< 2.5 \times 10^{-2}$	10^{-5}	10^{-5}	10^{-3}
$\langle P(\nu_\mu \rightarrow \nu_\mu) \rangle$	D f)	10^2-10^3	~ 0.5	0.51	0.51	0.51
$\langle P(\nu_e \rightarrow \nu_\mu) \rangle$	D g)	10^3-10^5		0.48	0.44	0.48
$\langle P(\nu_e \rightarrow \nu_e) \rangle$	D g)	10^3-10^5		0.42	0.33	0.42
$P(\nu_e \rightarrow \nu_\mu)$	D g)	$10-10^2$		0.3-0.7	0.3-0.7	0.3-0.7
$P(\nu_e \rightarrow \nu_e)$	D g)	$10-10^2$		0.2-0.6	0.2-0.6	0.2-0.6

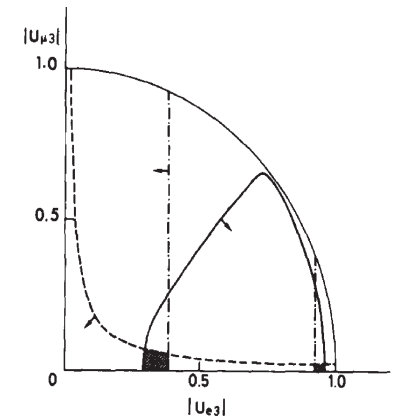
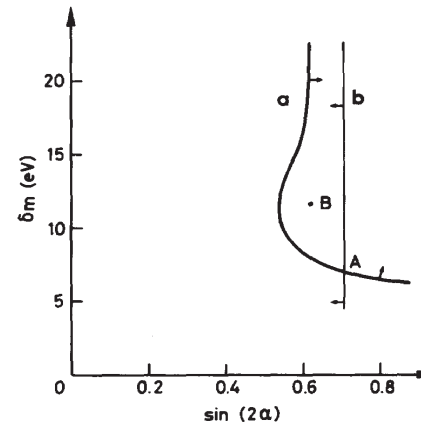
Neutrino masses and mixings: $m_e \ll m_\mu \ll m_\tau \ll m_{\nu_1} \ll m_{\nu_2} \ll m_{\nu_3}$

COMMODATES ALL KNOWN CONSTRAINTS IS

	δm_{13}^2	δm_{12}^2	θ_1	θ_2	θ_3	δ
Solution A:	1.0 eV^2	0.05 eV^2	45°	25°	30°	0°
Solution B:	0.15 eV^2	0.05 eV^2	55°	0°	45°	0°
Solution C:	10 eV^2	0.05 eV^2	45°	25°	30°	0°

KM-like mixing convention

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 \\ -s_1 c_2 & c_1 c_2 c_3 + s_2 s_3 e^{i\delta} & c_1 c_2 s_3 - s_2 c_3 e^{i\delta} \\ -s_1 s_2 & c_1 s_2 c_3 - c_2 s_3 e^{i\delta} & c_1 s_2 s_3 + c_2 c_3 e^{i\delta} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix},$$



$$U = \begin{pmatrix} 0.65 & 0.65 & -0.38 \\ [-0.71 e^{i\delta} \mp |< 0.021|] & [0.71 e^{i\delta} \mp |< 0.02|] & \mp |< 0.06| \\ [0.27 \mp e^{i\delta} |< 0.04|] & [0.27 \pm e^{i\delta} |< 0.04|] & 0.92 \end{pmatrix}, \quad (6.2)$$

with mass differences in the ranges

$$10^{-5} \text{ eV} \lesssim \sqrt{|m_1^2 - m_2^2|} \lesssim 1 \text{ eV},$$

$$\sqrt{|m_3^2 - m_1^2|} \sim 10 \text{ eV}.$$

Early Reactor $\mathcal{O}(eV)$ "hints"

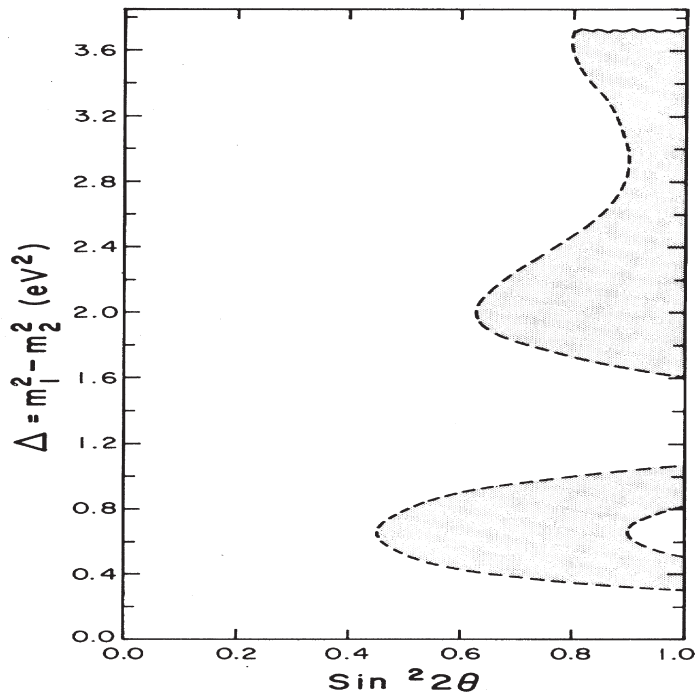
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- Data from Savannah River Plant

Nezrick and Reines, PR Feb 66 $L=6$ m

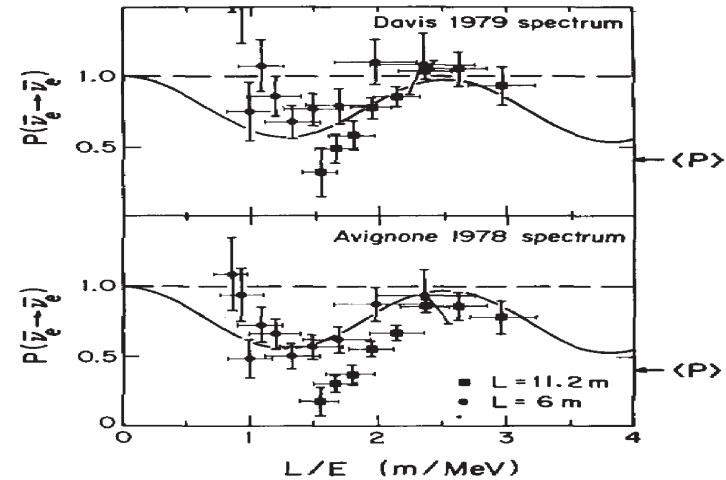
$$\frac{\bar{\sigma}_{\text{exp}}}{\bar{\sigma}_{\text{th}}} = 0.88 \pm 0.13$$

Reines, Sobel, Pasierb PRL Oct 80 $L=11.2$ m



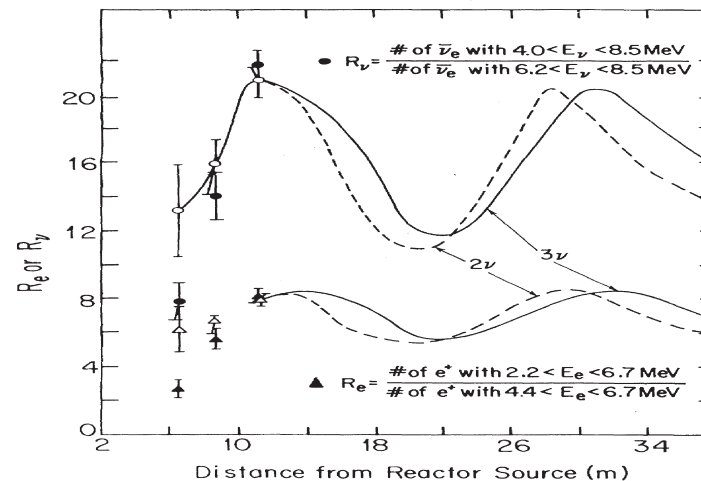
- Early Pheno Analysis

Barger, Whisnant, Cline, Phillips, PLB Jun 80



- Even Flux Independent Analysis !!!

Silverman and Soni, PRL Feb 81



- Global Analysis: State of the Art by mid 90's

PHYSICAL REVIEW D

VOLUME 49, NUMBER 7

1 APRIL 1994

**Comprehensive analysis of solar, atmospheric, accelerator, and reactor
neutrino experiments in a hierarchical three-generation scheme**

G. L. Fogli

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and Istituto Nazionale di Fisica Nucleare, Sezione di Bari, Bari, Italy*

E. Lisi

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D. Montanino

*Dipartimento di Fisica di Bari, Bari, Italy
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(Received 13 September 1993)

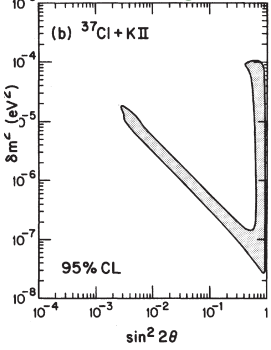
We consider the possible evidence of neutrino oscillations by analyzing simultaneously, in a well-defined hierarchical three-generation scheme, all the solar and atmospheric neutrino data (except for upward-going muons) together with the constraints imposed by accelerator and reactor neutrino experiments. The analysis includes the Earth regeneration effect on solar neutrinos and the present theoretical uncertainties on solar and atmospheric neutrino fluxes. We find solutions and combined bounds in the parameter space of the neutrino masses and mixing angles, which are compatible with the whole set of experimental data and with our hierarchical assumption. We also discuss possible refinements of the analysis and the perspectives offered by the next generation of neutrino oscillation experiments.

PACS number(s): 14.60.Pq, 14.60.Lm, 96.40.Tv, 96.60.Kx

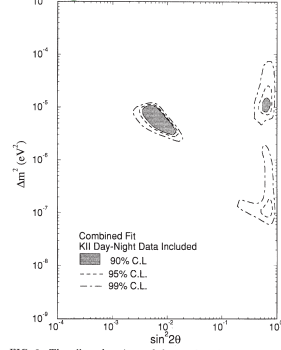
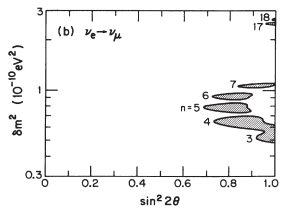
"12" Sector at a Glance

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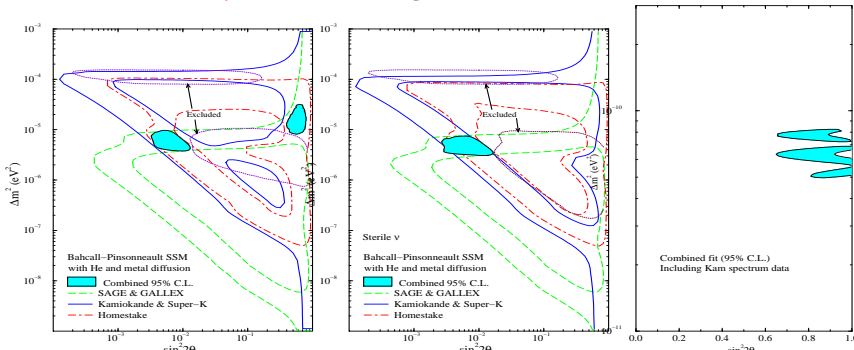
CI+KII Barger *etal* PRD 91



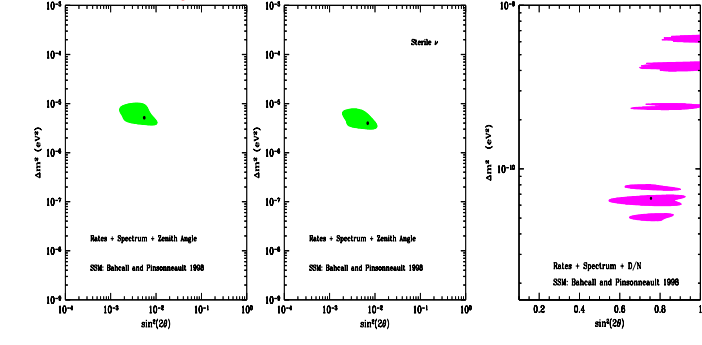
+ Ga Hata, Langacker PRD 94



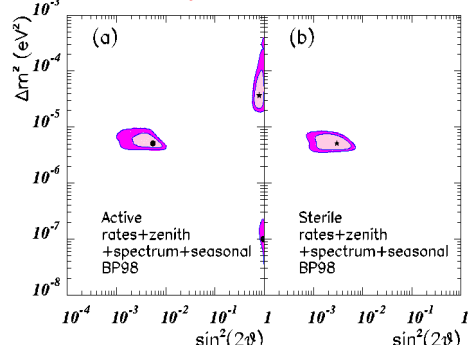
+SK 100days Hata, Langacker PRD 97



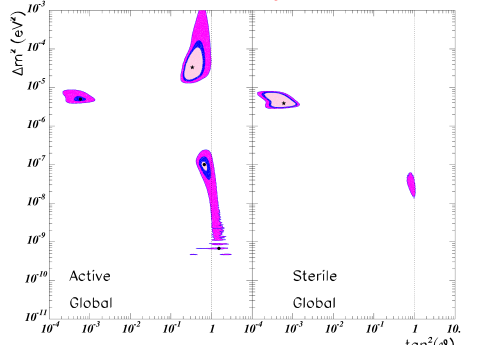
+SK 505days Bahcall, Krastev, Smirnov PRD 98



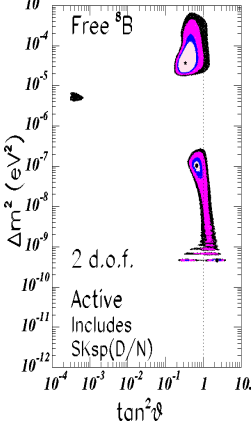
+SK 825days MCGG *etal* NPB 00



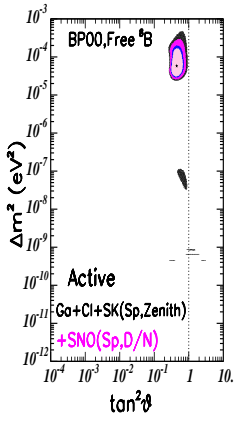
+SK 1117days MCGG *etal*



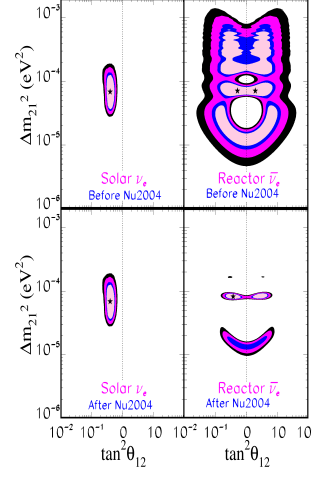
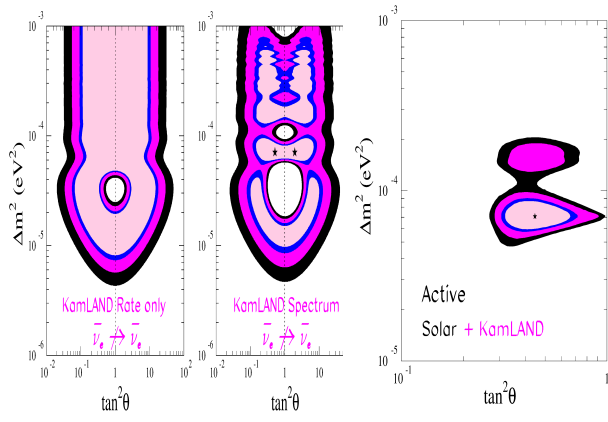
+SNO CC



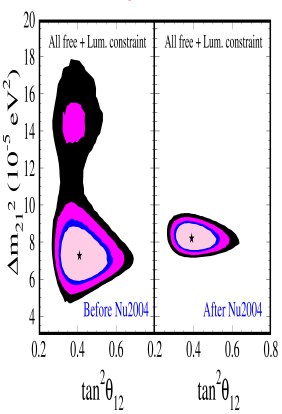
+SNO NC



+KamLAND 02



By 2004



3 ν Analysis: “12” Sector

- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{2\nu}$ obtained by solving

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix} = \left[\frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{pmatrix} \pm \sqrt{2} G_F N_e \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix}$$

$$P_{ee} \simeq \begin{cases} \text{Solar High E : } \sin^2 \theta_{12} \\ \text{Solar Low E : } (1 - \sin^2 2\theta_{12}/2) \\ \text{KLand : } \left(1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}\right) \end{cases}$$

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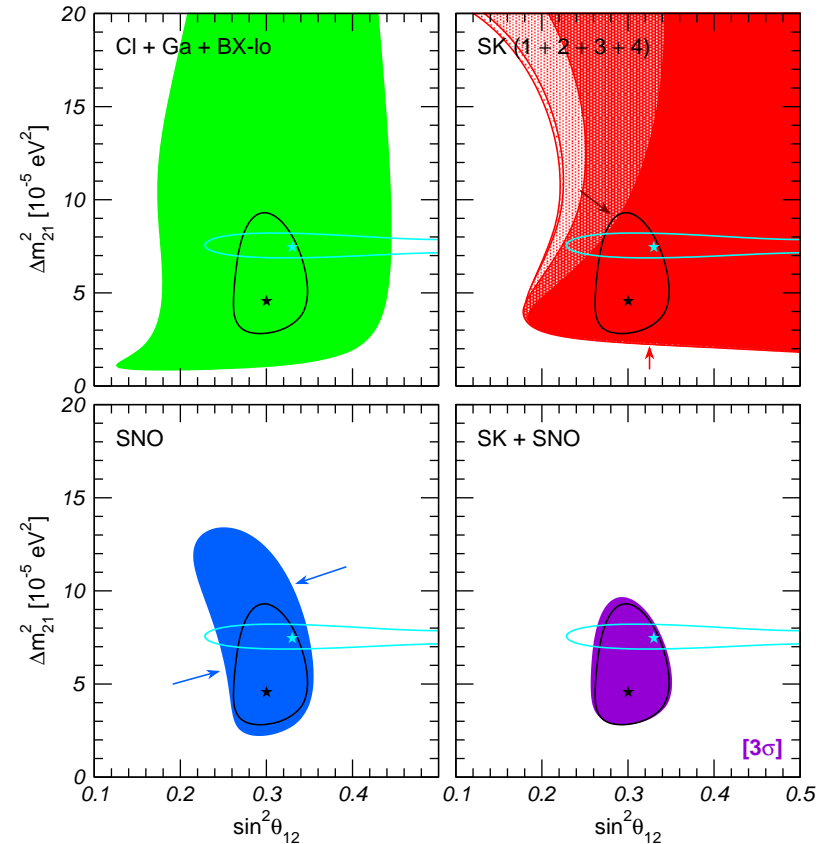
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For 2ν 's

$$P_{ee} \simeq \begin{cases} \text{Solar High E : } \sin^2 \theta_{12} \\ \text{Solar Low E : } (1 - \sin^2 2\theta_{12}/2) \\ \text{KLand : } \left(1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \right) \end{cases}$$

* Solar region determined by High E data

$$* \text{ Param's } \begin{cases} \theta_{12} \text{ SNO most sensitivity} \\ \Delta m_{21}^2 \text{ by KamLAND} \end{cases}$$



2 ν Analysis: “12” Sector

- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{2\nu}$ obtained by solving

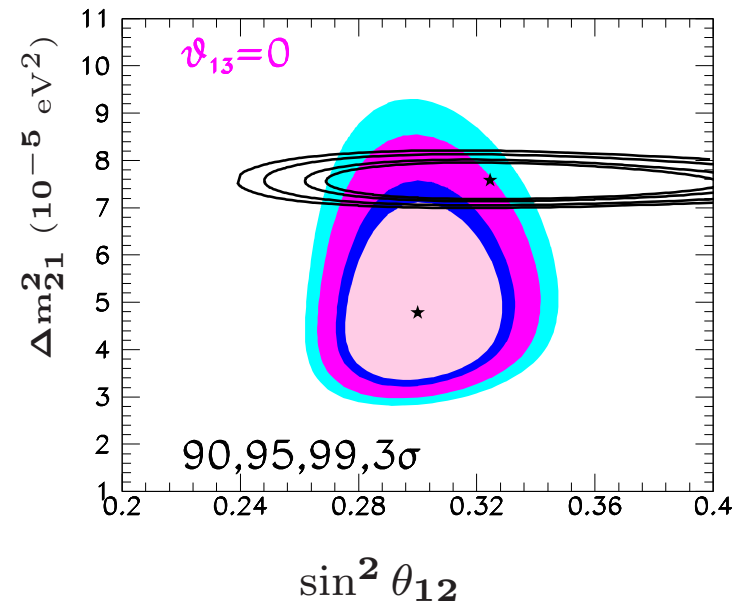
$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix} = \left[\frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{pmatrix} \pm \sqrt{2} G_F N_e \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix}$$

$$P_{ee} \simeq \begin{cases} \text{Solar High E : } \sin^2 \theta_{12} \\ \text{Solar Low E : } (1 - \sin^2 2\theta_{12}/2) \\ \text{KLand : } (1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}) \end{cases}$$

- * Solar region determined by High E data

- * Param's $\begin{cases} \theta_{12} \text{ SNO most sensitivity} \\ \Delta m_{21}^2 \text{ by KamLAND} \end{cases}$

$$\sin^2 \theta_{12} = \begin{cases} 0.3 \text{ From Solar} \\ 0.325 \text{ From KLAND} \end{cases}$$



3 ν Analysis: “12” Sector and θ_{13}

- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{3\nu} = c_{13}^4 P_{2\nu} + s_{13}^4$

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix} = \left[\frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta_{12} & \sin 2\theta_{12} \\ \sin 2\theta_{12} & \cos 2\theta_{12} \end{pmatrix} \pm \sqrt{2} G_F N_e \begin{pmatrix} c_{13}^2 & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix}$$

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\Rightarrow KamLAND region shifts left

\Rightarrow Solar slight shifts right (due to High E)

3 ν Analysis: “12” Sector and θ_{13}

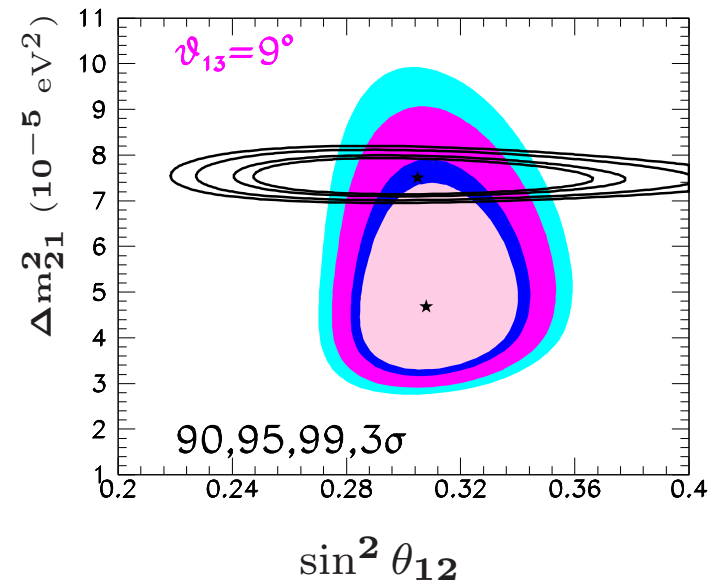
- $\Delta m_{13}^2 \gg E/L \Rightarrow P_{ee}^{3\nu} = c_{13}^4 P_{2\nu} + s_{13}^4$

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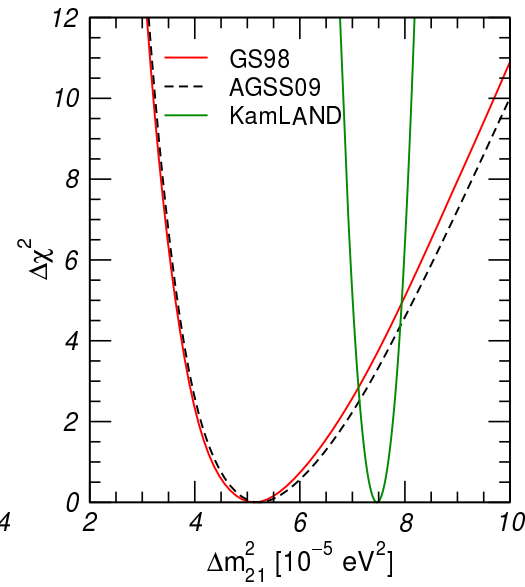
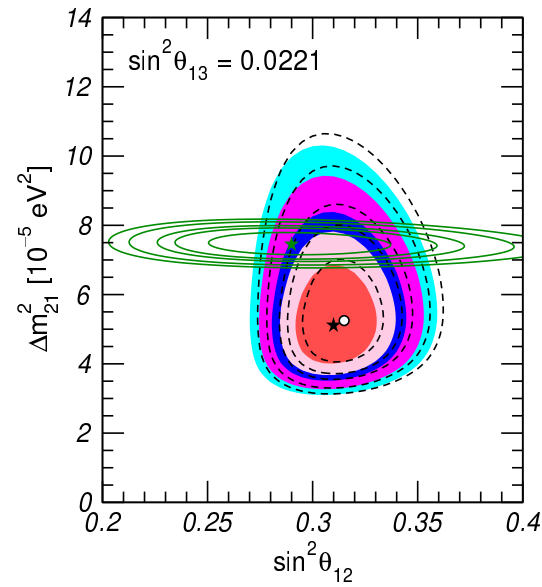
\Rightarrow Good match of best fit θ_{12}

\Rightarrow Residual tension on Δm_{21}^2

3 ν Analysis: Δm_{21}^2 KamLAND vs SOLAR

For $\theta_{13} \simeq 9^\circ$ θ_{12} OK. But residual tension on Δm_{12}^2

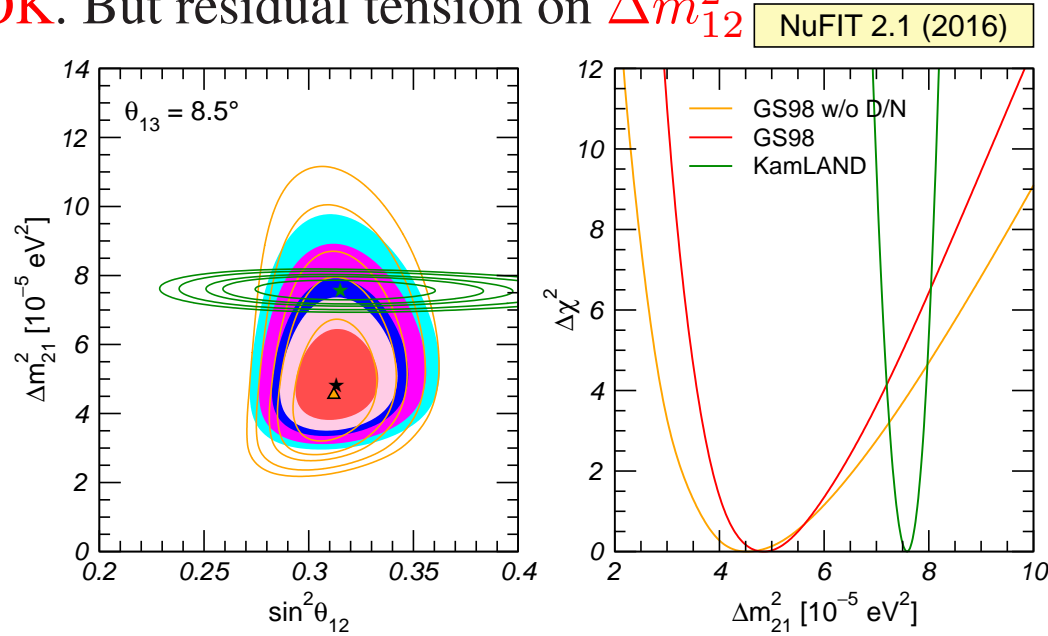
NuFIT 3.2 (2018)



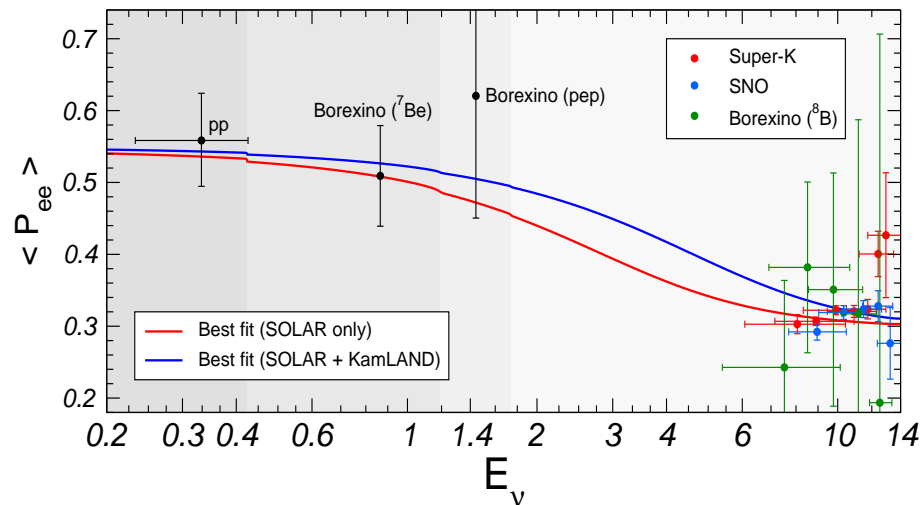
3 ν Analysis: Δm_{21}^2 KamLAND vs SOLAR

z-Garcia

For $\theta_{13} \simeq 9^\circ$ θ_{12} OK. But residual tension on Δm_{12}^2



Tension related to: a) “too large” of Day/Night at SK



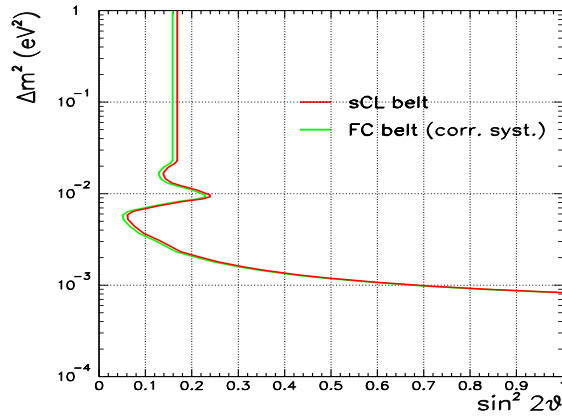
b) smaller-than-expected
low-E turn up from MSW
at best global fit

Modified matter potential? More latter ...

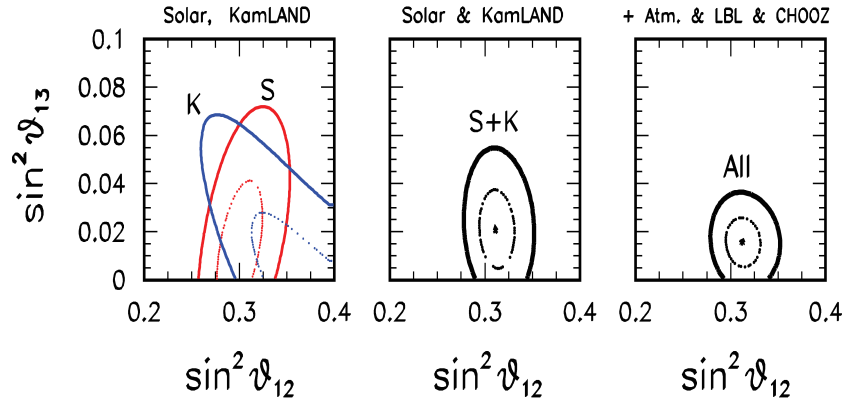
θ_{13} at a Glance

Concha Gonzalez-Garcia

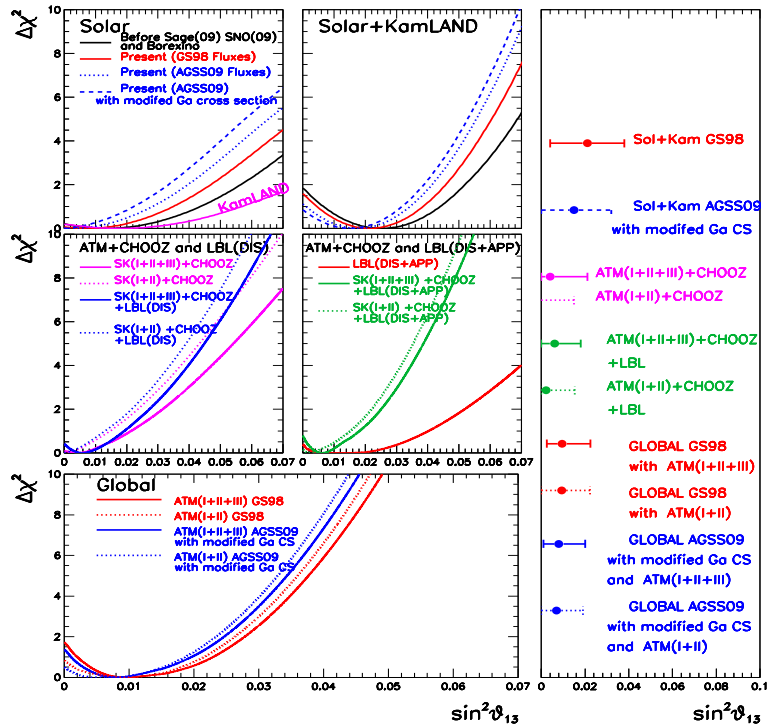
Final Chooz bounds PRD 03



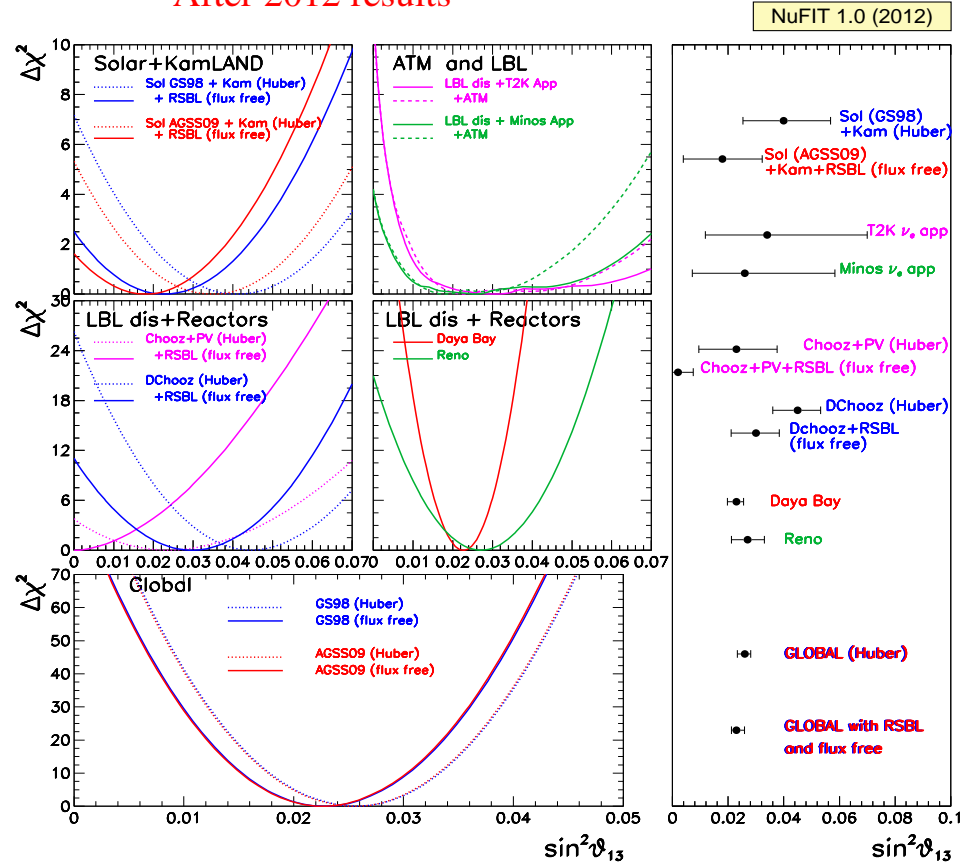
"First Hints" Fogli *et al* PRL 08



"Status Hints 2011" MCGG *et al* JHEP 11



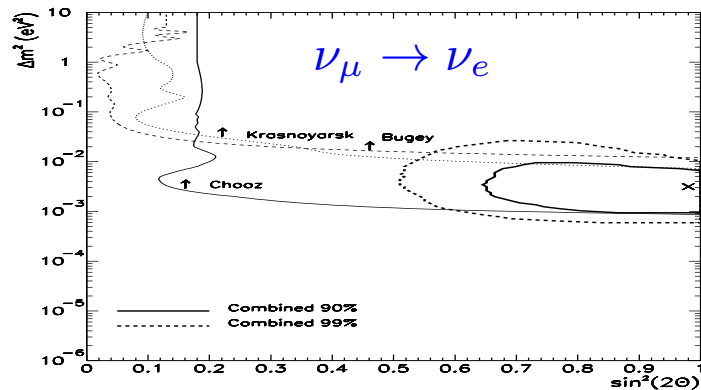
After 2012 results



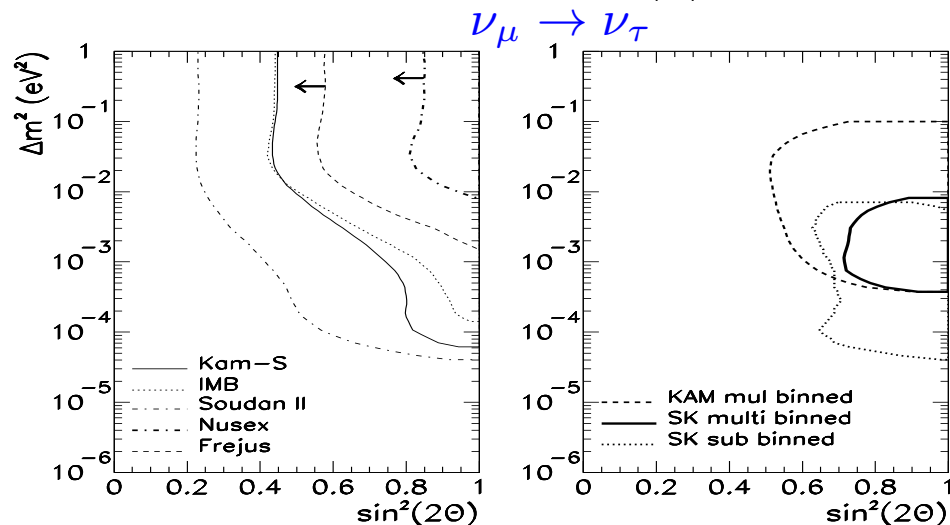
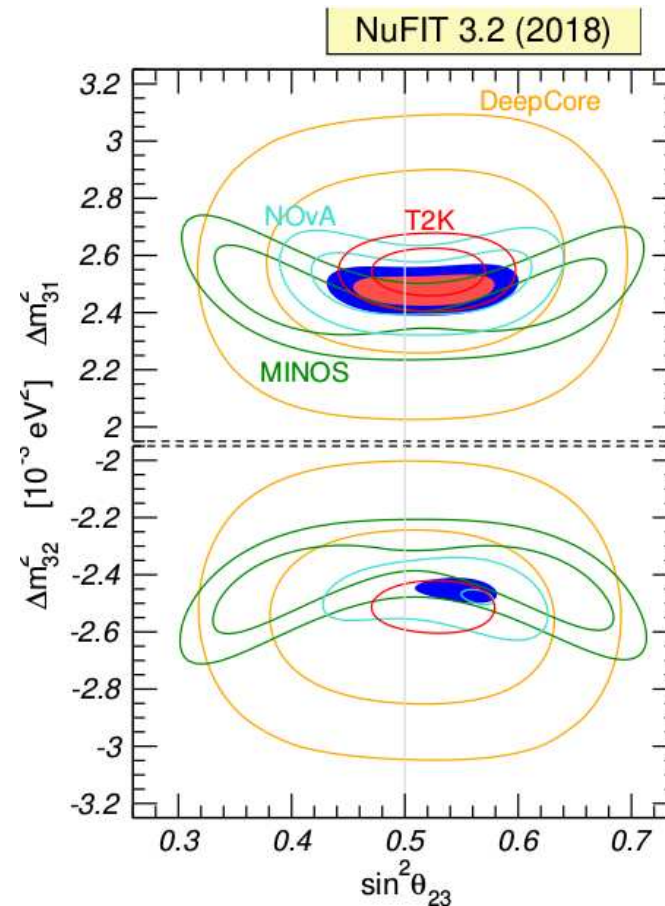
- Originally best determined in ν_μ and $\bar{\nu}_\mu$ disappearance in ATM \rightarrow LBL

$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

In 1998



In 2018



Δm_{23}^2 in Reactors

- At LBL determined in ν_μ and $\bar{\nu}_\mu$ disappearance spectrum

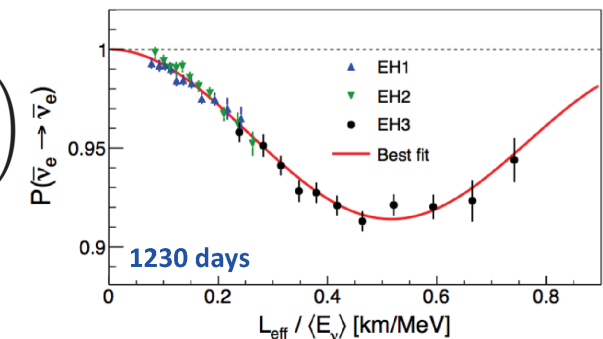
$$P_{\mu\mu} \simeq 1 - (c_{13}^4 \sin^2 2\theta_{23} + s_{23}^2 \sin^2 2\theta_{13}) \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) + \mathcal{O}(\Delta m_{21}^2)$$

- At MBL Reactors (Daya-Bay, Reno, D-Chooz) determined in $\bar{\nu}_e$ disapp spectrum

$$P_{ee} \simeq 1 - \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta m_{ee}^2 L}{4E} \right) - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

$$\Delta m_{ee}^2 \simeq |\Delta m_{32}^2| \pm c_{12}^2 \Delta m_{21}^2 \simeq |\Delta m_{32}^2| \pm 0.05 \times 10^{-3} \text{ eV}^2$$

Nunokawa, Parke, Zukanovich (2005)

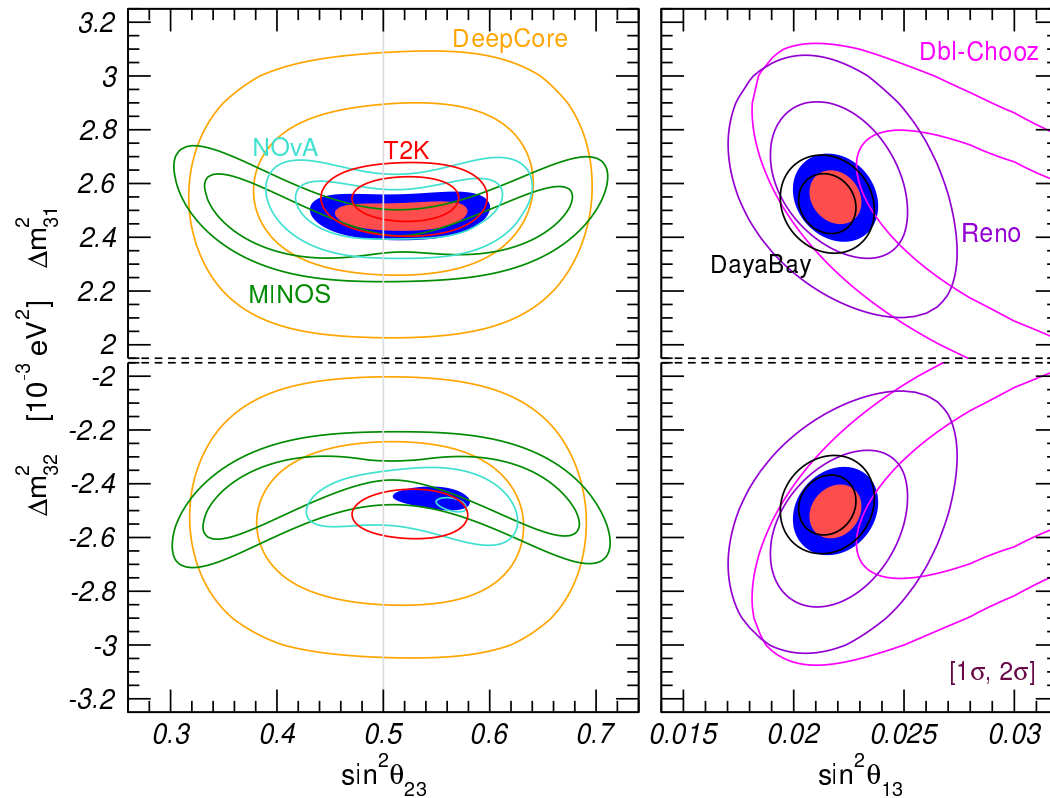


LBL & Reactors: Consistency

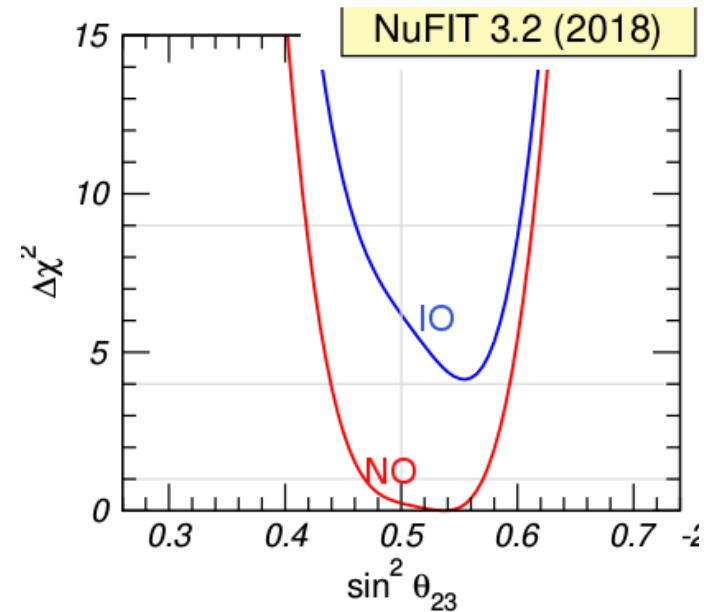
LBL ν_μ disapp

REAC ν_e disapp

NuFIT 3.2 (2018)



- Consistent values of $|\Delta m_{32}^2|$
- “Oscillating hint” for non-max θ_{23} driven by NO ν A and MINOS
- Slight fav $\theta_{23} > 45$
- Final θ_{23} range depends on how Reac data is included

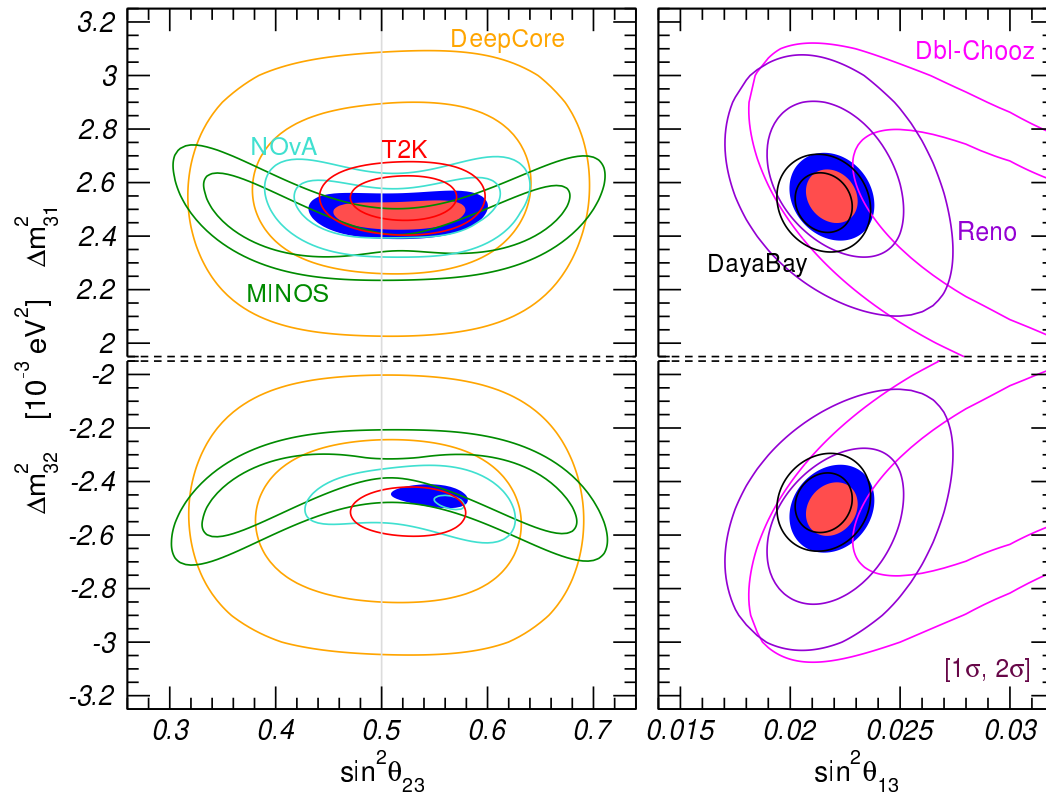


LBL & Reactors: Consistency

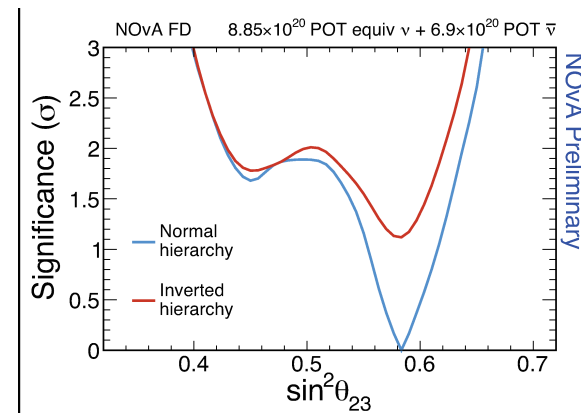
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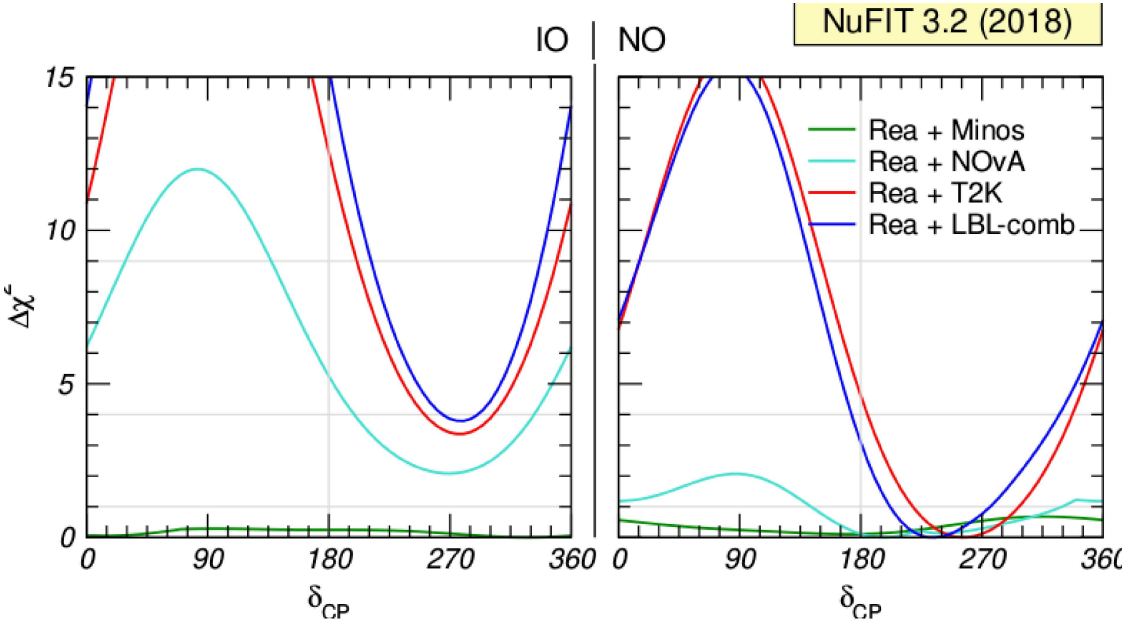
NuFIT 3.2 (2018)



- Consistent values of $|\Delta m_{32}^2|$
- “Oscillating hint” for non-max θ_{23} driven by NO ν A and MINOS
- Slight fav $\theta_{23} > 45$
- Final θ_{23} range depends on how Reac data is included
- More $\theta_{23} > 45$ with NO ν A $\bar{\nu}$?



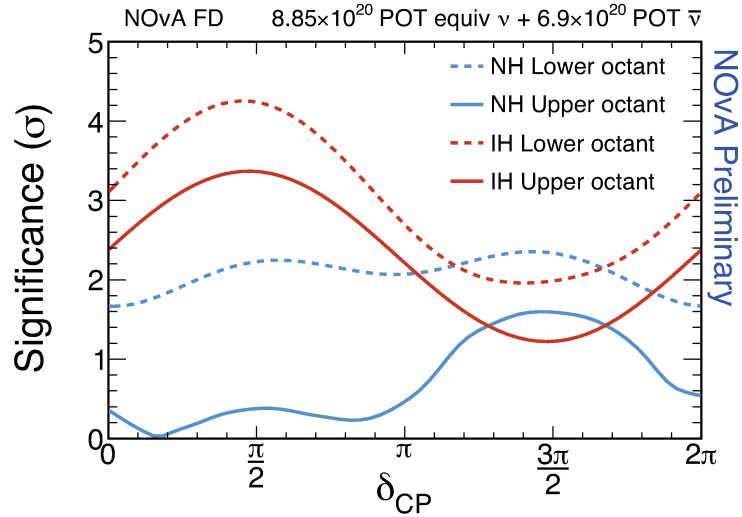
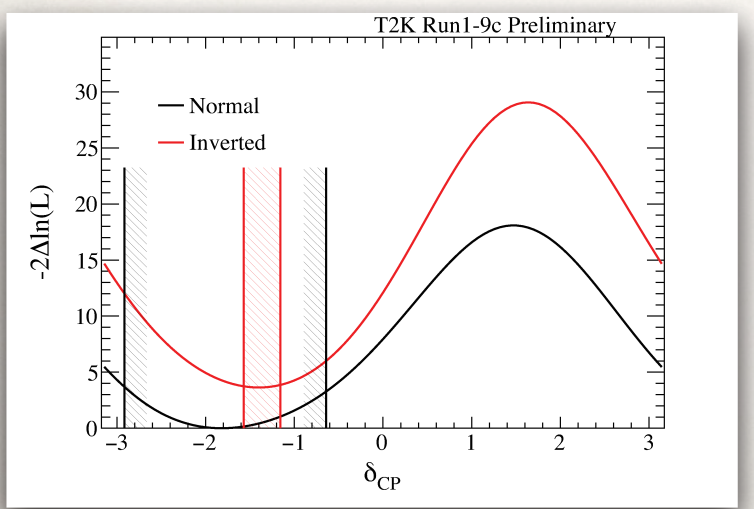
Ordering



IO disfavoured at $\sim 2\sigma$

T2K nu2018 results: consistent

NO ν A nu2018: consistent



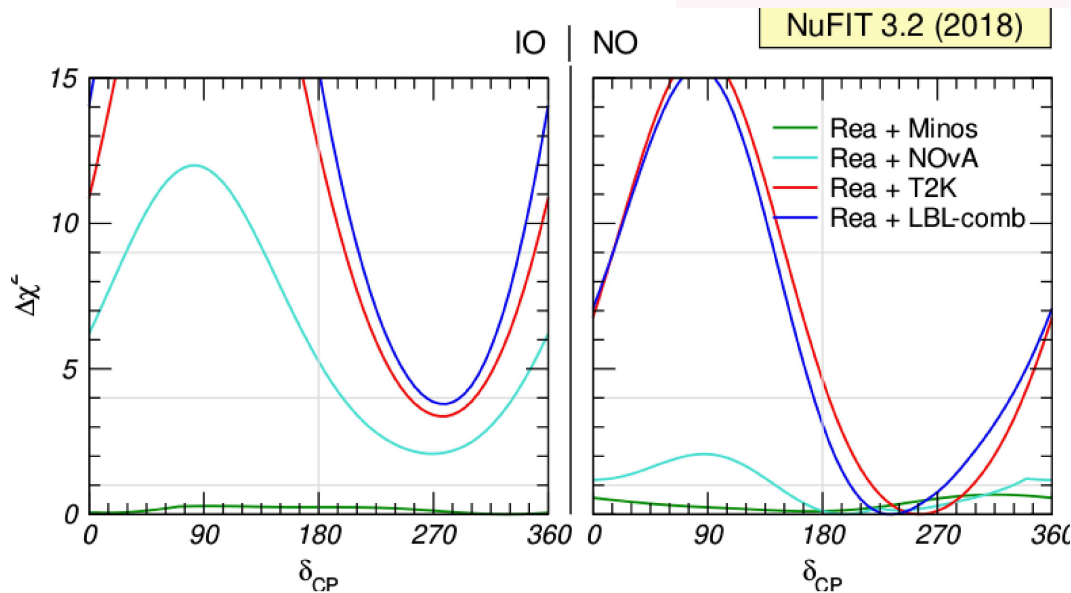
Leptonic CP Phase

Concha Gonzalez-Garcia

- Leptonic CPV Phase: Mainly from $\nu_\mu \rightarrow \nu_e$ in LBL (complicated by **matter effects**)

$$P_{\mu e} \simeq s_{23}^2 \sin^2 2\theta_{13} \left(\frac{\Delta_{31}}{B_\mp} \right)^2 \sin^2 \left(\frac{B_\mp L}{2} \right) + 8 J_{\text{LEP,CP}}^{\text{max}} \frac{\Delta_{12}}{V_E} \frac{\Delta_{31}}{B_\mp} \sin \left(\frac{V_E L}{2} \right) \sin \left(\frac{B_\mp L}{2} \right) \cos \left(\frac{\Delta_{31} L}{2} \pm \delta_{CP} \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{2E} \quad B_\pm = \Delta_{31} \pm V_E \quad J_{\text{LEP,CP}}^{\text{max}} = \frac{1}{8} c_{13} \sin^2 2\theta_{13} \sin^2 2\theta_{23} \sin^2 2\theta_{12} = (3.32 \pm 0.07) \times 10^{-2}$$



- Best fit $\delta_{CP} \sim 270^\circ$
- CP conserv at 2σ (NO), 3σ (IO)
- Mainly Driven by “fluctuation” in T2K

Sample	Predicted Rates				Observed Rates
	$\delta_{cp}=-\pi/2$	$\delta_{cp}=0$	$\delta_{cp}=\pi/2$	$\delta_{cp}=\pi$	
CCQE 1-Ring e-like FHC	73.5	61.5	49.9	62.0	74
CC1 π 1-Ring e-like FHC	6.92	6.01	4.87	5.78	15
CCQE 1-Ring e-like RHC	7.93	9.04	10.04	8.93	7

- NO ν A 2017 ν data consistent

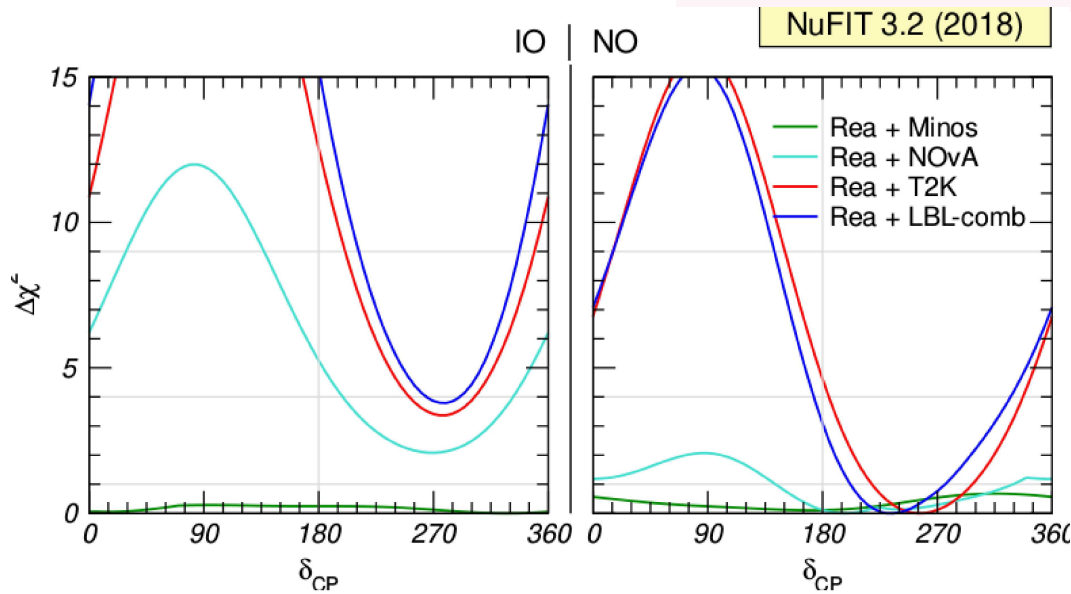
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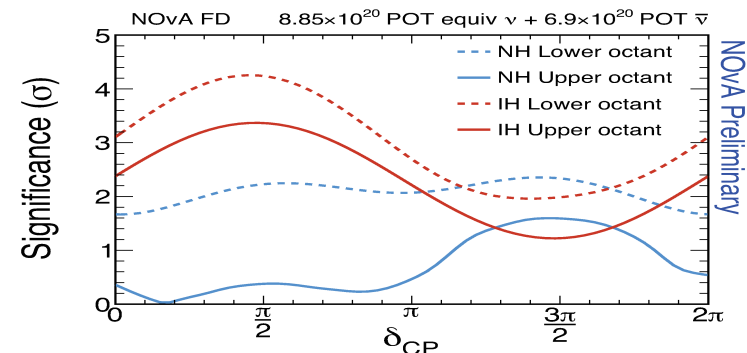
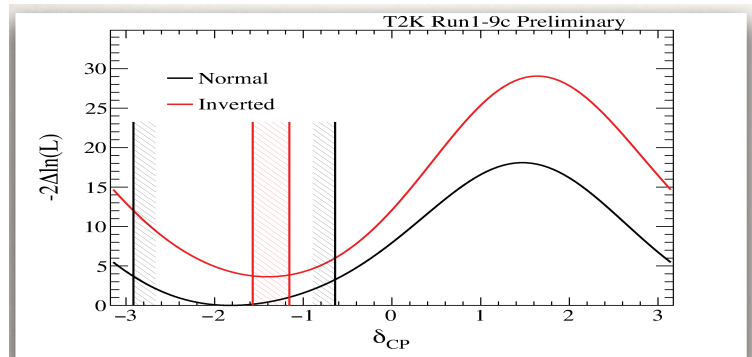
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- NO ν A 2017 ν data consistent

But NO ν A nu2018 results??

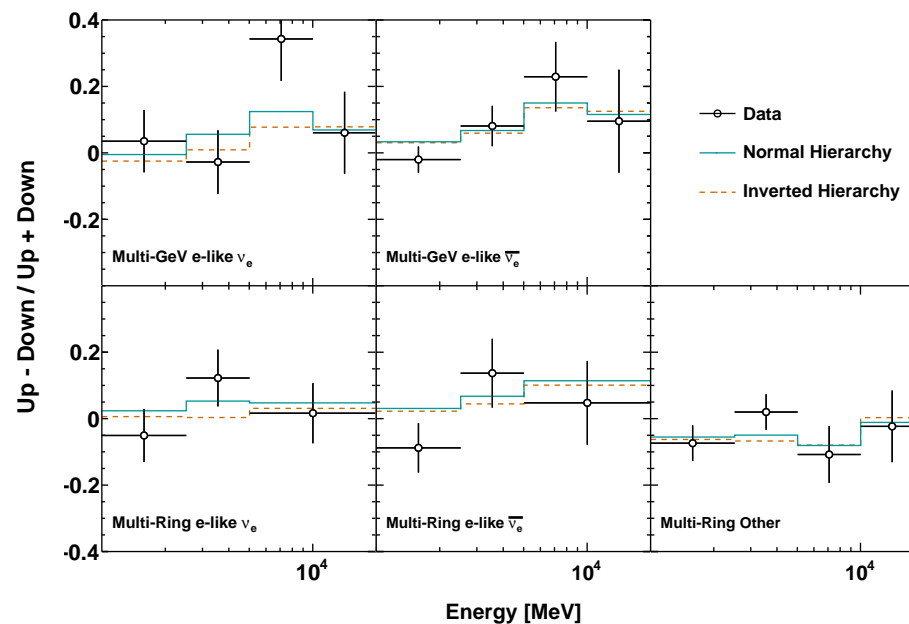
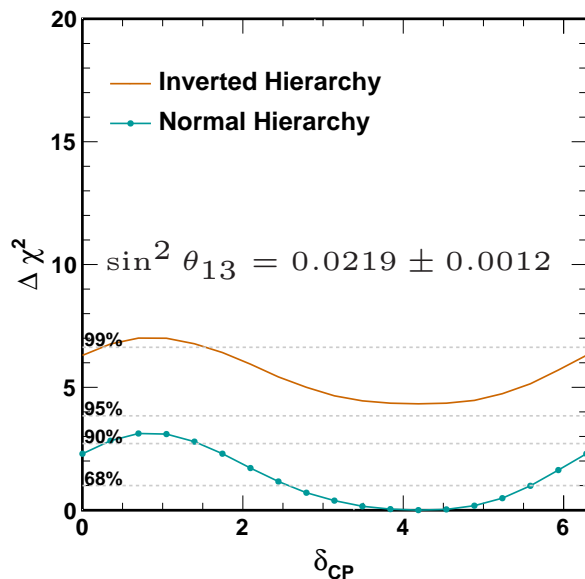
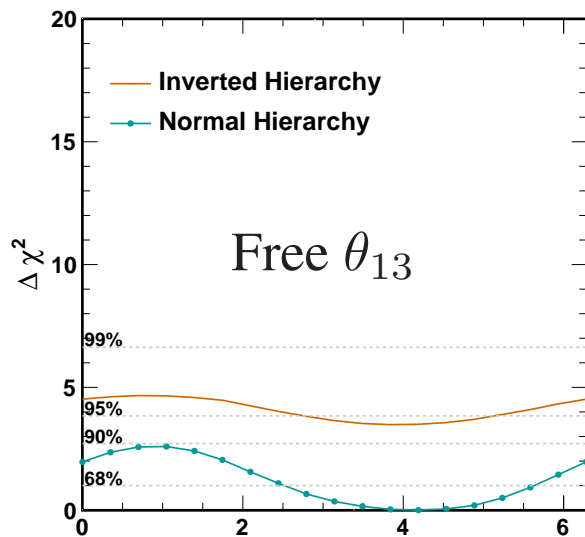
T2K nu2018 results: consistent



θ_{23} , CP & Ordering: Effect in SK ATM

Latest SK analysis (PRD 2018) yields:

From SK paper: “Small excesses seen between a few and ten GeV in the Multi-GeV e-like ν_e and the Multi-Ring e-like ν_e and $\bar{\nu}_e$ samples drive these preferences”.

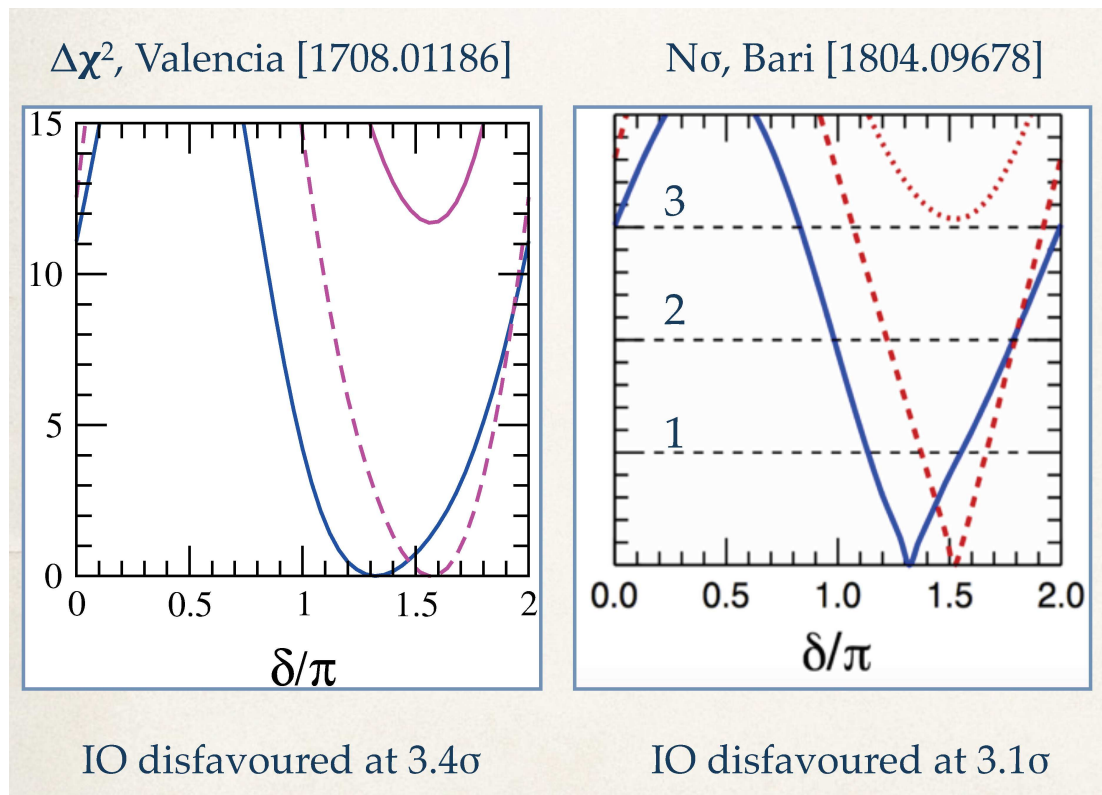
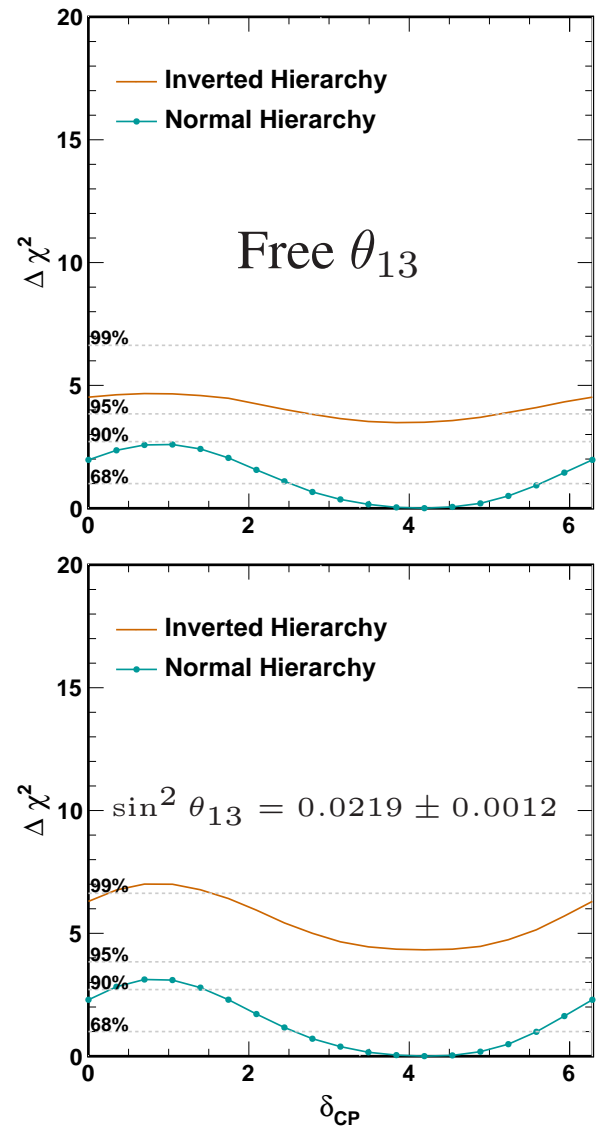


- No pheno group reproduces SK analysis
- Only possibility is combine with SK χ^2 tables

θ_{23} , CP & Ordering: Effect in SK ATM

Latest SK analysis (PRD 2018) yields:

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- Combining with SK χ^2 tables



Alternative Oscillation Mechanisms

- Oscillations are due to:

- Misalignment between CC-int and propagation states: **Mixing** \Rightarrow **Amplitude**

- Difference phases of propagation states \Rightarrow **Wavelength**.

For Δm^2 -OSC $\lambda = \frac{4\pi E}{\Delta m^2}$

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- ν masses are not the only mechanism for oscillations

Violation of Equivalence Principle (VEP): Gasperini 88, Halprin, Leung 01

Non universal coupling of neutrinos $\gamma_1 \neq \gamma_2$ to gravitational potential ϕ

$$\lambda = \frac{\pi}{E|\phi|\delta\gamma}$$

Violation of Lorentz Invariance (VLI): Coleman, Glashow 97

Non universal asymptotic velocity of neutrinos $c_1 \neq c_2 \Rightarrow E_i = \frac{m_i^2}{2p} + c_i p$

$$\lambda = \frac{2\pi}{E\Delta c}$$

Interactions with space-time torsion: Sabbata, Gasperini 81

Non universal couplings of neutrinos $k_1 \neq k_2$ to torsion strength Q

$$\lambda = \frac{2\pi}{Q\Delta k}$$

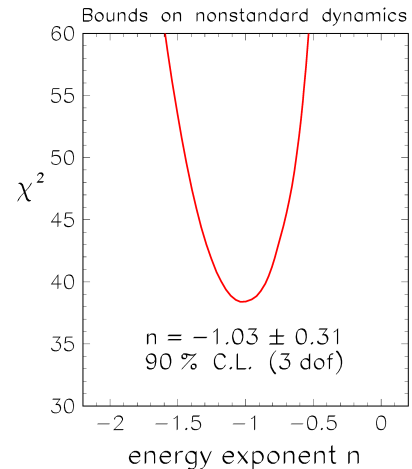
Violation of Lorentz Invariance (VLI) Colladay, Kostelecky 97; Coleman, Glashow 99

due to CPT violating terms: $\bar{\nu}_L^\alpha b_\mu^{\alpha\beta} \gamma_\mu \nu_L^\beta \Rightarrow E_i = \frac{m_i^2}{2p} \pm b_i$

$$\lambda = \pm \frac{2\pi}{\Delta b}$$

Alternative Mechanisms vs ATM ν 's

- With early SK ATM's they could be rule out as dominant



Fogli, Lisi and Marrone hep-ph/0105139

Different L/E dependence:

$$P_{\mu\tau} = \alpha \sin^2(\beta L E^n)$$

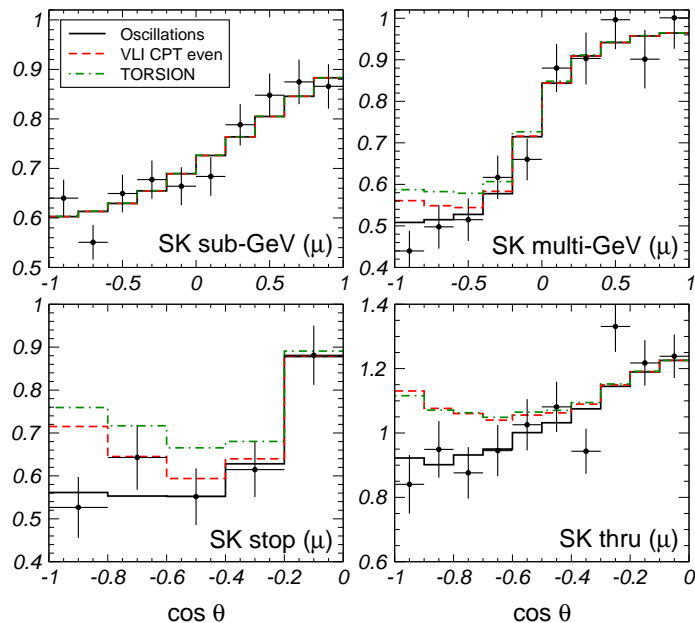
$n = -1$ oscillations

$n = 1$ Viol Equiv. Principle

$n = 1$ Viol Lorentz invariance

Fit : $n = -1.03 \pm 0.31$ 90%CL

- And soon after severely constrained (MCG-G, M. Maltoni PRD 04,07)



At 90% CL:

$$\frac{|\Delta c|}{c} \leq 1.2 \times 10^{-24}$$

$$|\phi \Delta \gamma| \leq 5.9 \times 10^{-25}$$

$$|Q \Delta k| \leq 4.8 \times 10^{-23} \text{ GeV}$$

$$|\Delta b| \leq 3.0 \times 10^{-23} \text{ GeV}$$

Non Standard ν Interactions

- Including non-standard neutrino NC interactions with fermion f

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{fP} (\bar{\nu}_\alpha \gamma^\mu L \nu_\beta) (\bar{f} \gamma_\mu P f), \quad P = L, R$$

- In flavour basis $\vec{\nu} = (\nu_e, \nu_\mu, \nu_\tau)^T$ the neutrino evolution eq.:

$$i \frac{d}{dx} \vec{\nu} = H^\nu \vec{\nu} \quad \text{with} \quad H^\nu = H_{\text{vac}} + H_{\text{mat}} \quad \text{and} \quad H^{\bar{\nu}} = (H_{\text{vac}} - H_{\text{mat}})^*$$

$$H_{\text{mat}} = \sqrt{2}G_F N_e(r) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \sqrt{2}G_F N_e(r) \begin{pmatrix} \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

$$\varepsilon_{\alpha\beta}(r) \equiv \sum_{f=ued} \frac{N_f(r)}{N_e(r)} \varepsilon_{\alpha\beta}^{fV} \Rightarrow 3\nu \text{ evolution depends on } 6 \text{ (vac)} + 8 \text{ per } f \text{ (mat)}$$

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\Rightarrow Parameters degeneracies (some well-known but being rediscovered lately ...)

In particular CPT \Rightarrow invariance under simultaneously:

$$\begin{aligned} \theta_{12} &\leftrightarrow \frac{\pi}{2} - \theta_{12}, & (\varepsilon_{ee} - \varepsilon_{\mu\mu}) &\rightarrow -(\varepsilon_{ee} - \varepsilon_{\mu\mu}) - 2, \\ \Delta m_{31}^2 &\rightarrow -\Delta m_{32}^2, & (\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}) &\rightarrow -(\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}), \\ \delta &\rightarrow \pi - \delta, & \varepsilon_{\alpha\beta} &\rightarrow -\varepsilon_{\alpha\beta}^* \quad (\alpha \neq \beta), \end{aligned}$$

NSI: Bounds/Degeneracies from/in Oscillation data

rcia

M.C G-G, M.Maltoni JHEP 1307.3092

Param.	best-fit	90% CL	
		LMA	LMA-D
$\varepsilon_{ee}^u - \varepsilon_{\mu\mu}^u$	+0.298	[+0.00, +0.51]	\oplus [-1.19, -0.81]
$\varepsilon_{\tau\tau}^u - \varepsilon_{\mu\mu}^u$	+0.001	[-0.01, +0.03]	[-0.03, +0.03]
$\varepsilon_{e\mu}^u$	-0.021	[-0.09, +0.04]	[-0.09, +0.10]
$\varepsilon_{e\tau}^u$	+0.021	[-0.14, +0.14]	[-0.15, +0.14]
$\varepsilon_{\mu\tau}^u$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]

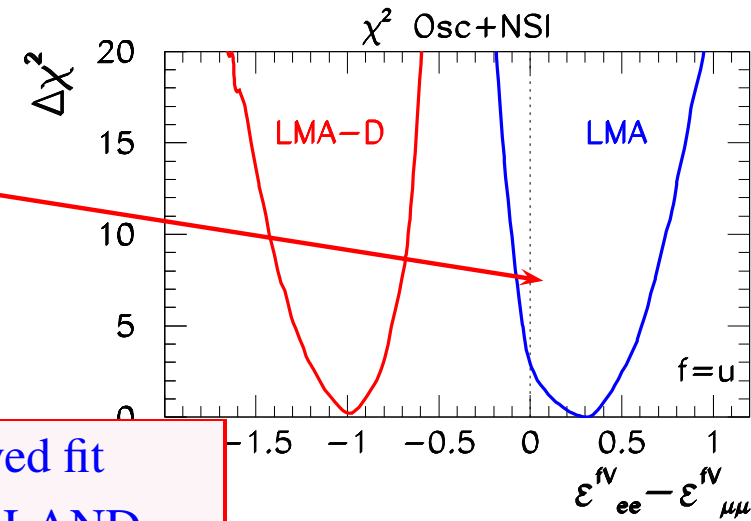
- Bounds $\mathcal{O}(1 - 10\%)$
- Except $\varepsilon_{ee}^{q,V} - \varepsilon_{\mu\mu}^{q,V}$

NSI: Bounds/Degeneracies from/in Oscillation data

rcia

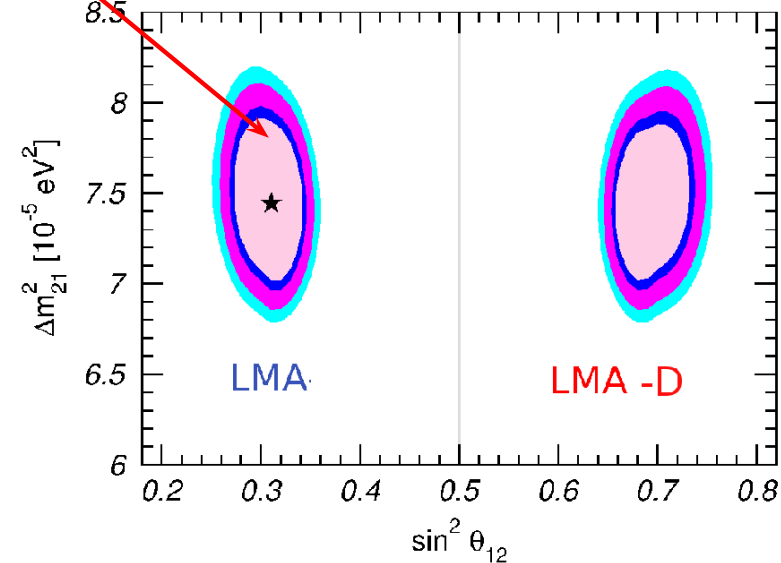
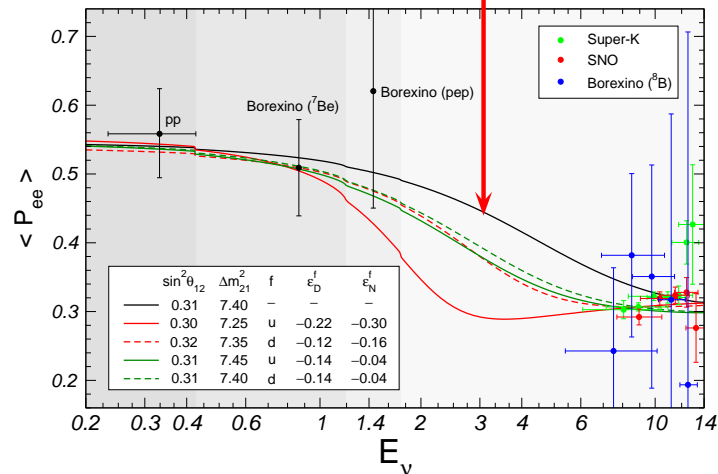
M.C G-G, M.Maltoni JHEP 1307.3092

Param.	best-fit	90% CL	
		LMA	LMA-D
$\epsilon_{ee}^u - \epsilon_{\mu\mu}^u$	+0.298	[+0.00, +0.51]	\oplus [-1.19, -0.81]
$\epsilon_{\tau\tau}^u - \epsilon_{\mu\mu}^u$	+0.001	[-0.01, +0.03]	[-0.03, +0.03]
$\epsilon_{e\mu}^u$	-0.021	[-0.09, +0.04]	[-0.09, +0.10]
$\epsilon_{e\tau}^u$	+0.021	[-0.14, +0.14]	[-0.15, +0.14]
$\epsilon_{\mu\tau}^u$	-0.001	[-0.01, +0.01]	[-0.01, +0.01]



- Bounds $\mathcal{O}(1 - 10\%)$
- Except $\epsilon_{ee}^{q,V} - \epsilon_{\mu\mu}^{q,V}$

LMA: Improved fit to Solar+KamLAND

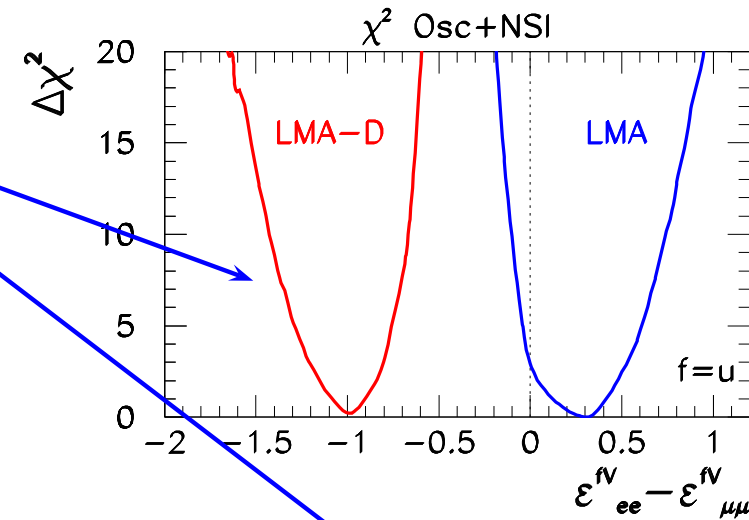


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Degenerate solution LMA-D ($\theta_{12} > 45^\circ$)

Miranda, Tortola, Valle, hep-ph/0406280

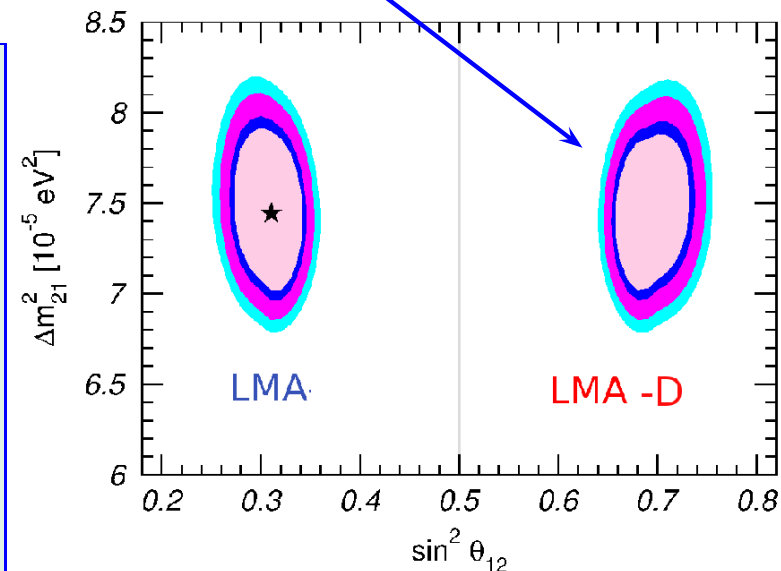
Cannot be resolved with osc-experiments

Requires NC scattering experiments

Coloma et al 1701.04828

Requires NSI $\sim G_F$ (light mediators?)

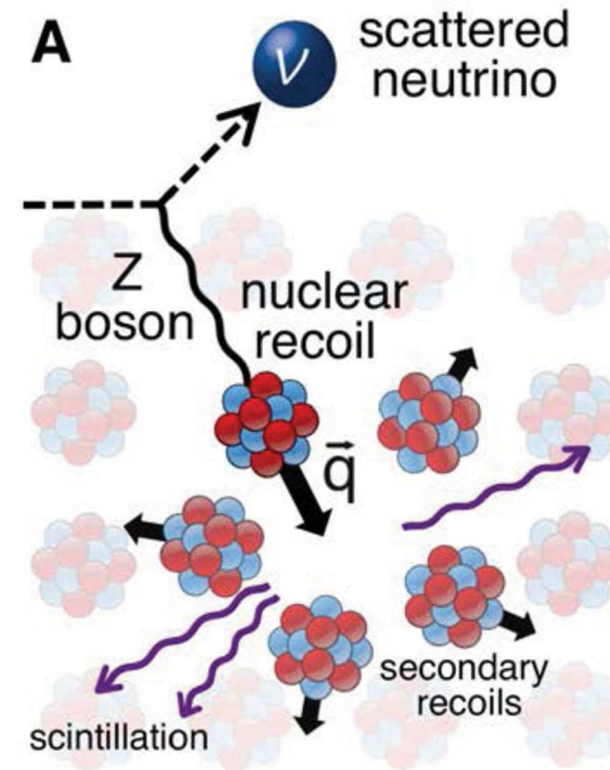
Farzan 1505.06906, and Shoemaker 1512.09147



COHERENT EXPERIMENT

Science 2017 [ArXiv:1708.01294]

- observation of coherent neutrino-nucleus scattering at 6.7σ at CsI[Na] detector
- neutrinos from stopped pion source at Oak Ridge NL
- 142 events observed, in agreement with Standard Model



NSI: Combination with COHERENT data

Coloma, MCGG, Maltoni, Schwetz ArXiv:1708.02899

- COHERENT has detected for first time Coherent νN scattering **1708.01294**:
 142($1 \pm 0.28(\text{sys})$) observed events over a steady bck of 405
 136(SM) + 6($1 \pm 0.25(\text{sys})$ beam-on bck) expected

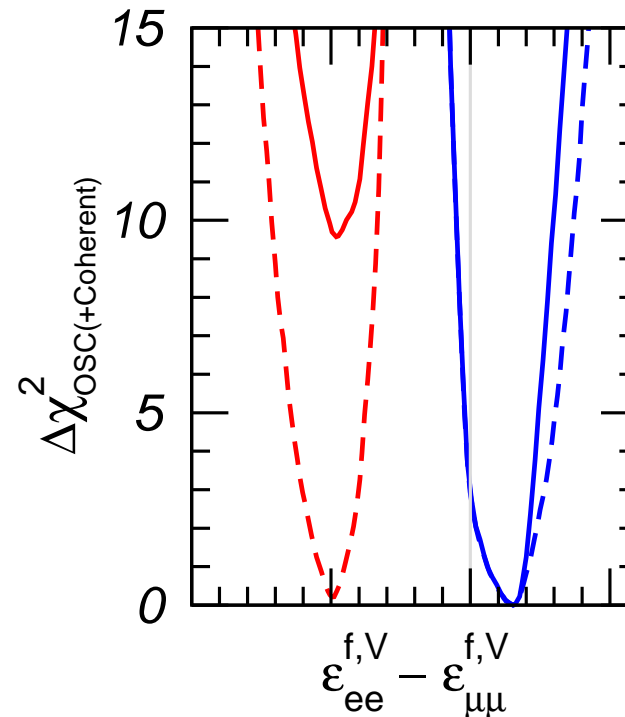
- In presence of NSI: $N_{\text{NSI}}(\varepsilon) = \gamma [f_{\nu_e} Q_{we}^2(\varepsilon) + (f_{\nu_\mu} + f_{\bar{\nu}_\mu}) Q_{w\mu}^2(\varepsilon)]$

$$Q_{w\alpha}^2 \propto [Z(g_p^V + 2\varepsilon_{\alpha\alpha}^{u,V} + \varepsilon_{\alpha\alpha}^{d,V}) + N(g_n^V + \varepsilon_{\alpha\alpha}^{u,V} + 2\varepsilon_{\alpha\alpha}^{d,V})]^2 + \sum_{\beta \neq \alpha} [Z(2\varepsilon_{\alpha\beta}^{u,V} + \varepsilon_{\alpha\beta}^{d,V}) + N(\varepsilon_{\alpha\beta}^{u,V} + 2\varepsilon_{\alpha\beta}^{d,V})]^2$$

- OSCILLATION + COHERENT \Rightarrow **LMA-D excluded at more than 3.1 σ**
for NSI with f=up or f=down

All NSI's constrained

	$f = u$	$f = d$
$\varepsilon_{ee}^{f,V}$	[0.028, 0.60]	[0.030, 0.55]
$\varepsilon_{\mu\mu}^{f,V}$	[-0.088, 0.37]	[-0.075, 0.33]
$\varepsilon_{\tau\tau}^{f,V}$	[-0.090, 0.38]	[-0.075, 0.33]
$\varepsilon_{e\mu}^{f,V}$	[-0.073, 0.044]	[-0.07, 0.04]
$\varepsilon_{e\tau}^{f,V}$	[-0.15, 0.13]	[-0.13, 0.12]
$\varepsilon_{\mu\tau}^{f,V}$	[-0.01, 0.009]	[-0.009, 0.008]



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Coloma, MCGG, Maltoni, Schwetz ArXiv:1708.02899

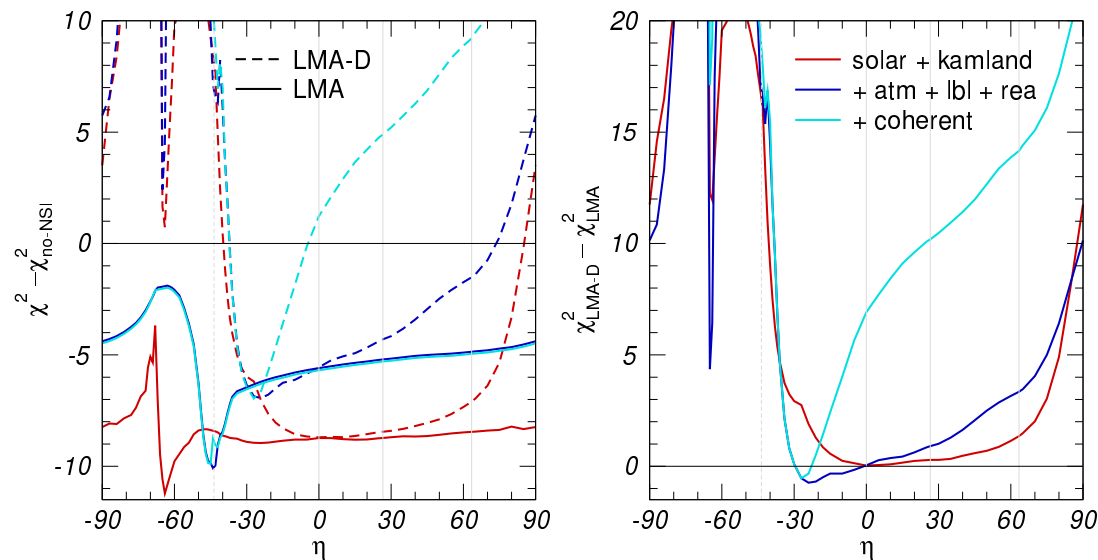
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- OSCILLATION + COHERENT:

Update with more general NSI's: $\varepsilon_{\alpha\beta}^u \propto (2 \cos \eta - \sin \eta) \varepsilon_{\alpha\beta}^\eta$ $\varepsilon_{\alpha\beta}^d \propto (2 \sin \eta - \cos \eta) \varepsilon_{\alpha\beta}^\eta$

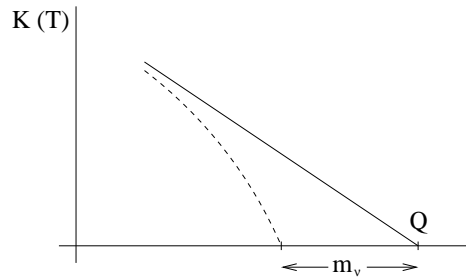


LMA-D Still allowed at 3σ for
 $-40^\circ \lesssim \eta \lesssim 15^\circ$

Esteban etal, ArXiv:1805.04530

Neutrino Mass Scale

Single β decay : Pure kinematics, Dirac or Majorana ν 's, only model independent

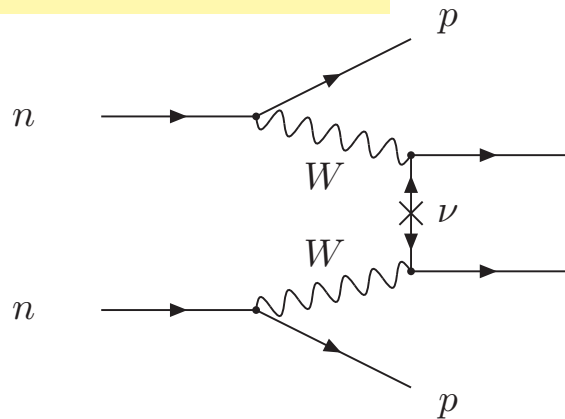


$$m_{\nu_e}^2 = \sum m_j^2 |U_{ej}|^2 = \begin{cases} \text{NO} : m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 + \Delta m_{31}^2 s_{13}^2 \\ \text{IO} : m_\ell^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 - \Delta m_{31}^2 c_{13}^2 \end{cases}$$

Present bound: $m_{\nu_e} \leq 2.0$ eV (95% CL Mainz&Troisk exp)

Katrin (20XX???) Sensitivity to $m_{\nu_e} \sim 0.2$ eV

ν -less Double- β decay: \Leftrightarrow Majorana ν 's



If m_ν only source of ΔL $T_{1/2}^{0\nu} = \frac{m_e}{G_{0\nu} M_{\text{nucl}}^2 m_{ee}^2}$

$$m_{ee} = \left| \sum U_{ej}^2 m_j \right|$$

$$= \left| c_{13}^2 c_{12}^2 m_1 e^{i\eta_1} + c_{13}^2 s_{12}^2 m_2 e^{i\eta_2} + s_{13}^2 m_3 e^{-i\delta_{CP}} \right|$$

$$= f(m_\ell, \text{order, maj phases})$$

Present Bounds: $m_{ee} < 0.06 - 0.76$ eV

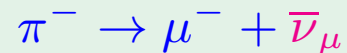
COSMO for Dirac or Majorana m_ν affect growth of structures

$$\sum m_i = \begin{cases} \text{NO} : \sqrt{m_\ell^2} + \sqrt{\Delta m_{21}^2 + m_\ell^2} + \sqrt{\Delta m_{31}^2 + m_\ell^2} \\ \text{IO} : \sqrt{m_\ell^2} + \sqrt{-\Delta m_{31}^2 - \Delta m_{21}^2 - m_\ell^2} + \sqrt{-\Delta m_{31}^2 - m_\ell^2} \end{cases}$$

Neutrino Mass Scale: Other Channels

Muon neutrino mass

- From the two body decay at rest



- Energy momentum conservation:

$$m_\pi = \sqrt{p_\mu^2 + m_\mu^2} + \sqrt{p_\mu^2 + m_\nu^2}$$

$$m_\nu^2 = m_\pi^2 + m_\mu^2 - 2 + m_\mu \sqrt{p^2 + m_\pi^2}$$

- Measurement of p_μ plus the precise knowledge of m_π and $m_\mu \Rightarrow m_\nu$
- The present experimental result bound:

$$m_{\nu_\mu}^{eff} \equiv \sqrt{\sum m_j^2 |U_{\mu j}|^2} < 190 \text{ KeV}$$

Tau neutrino mass

- The τ is much heavier $m_\tau = 1.776 \text{ GeV}$

\Rightarrow Large phase space \Rightarrow difficult precision for m_ν

- The best precision is obtained from **hadronic final states**

$$\tau \rightarrow n\pi + \nu_\tau \quad \text{with } n \geq 3$$

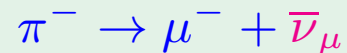
- Lep I experiments obtain:

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\Rightarrow Mixing angles U_{ej} are **not negligible**

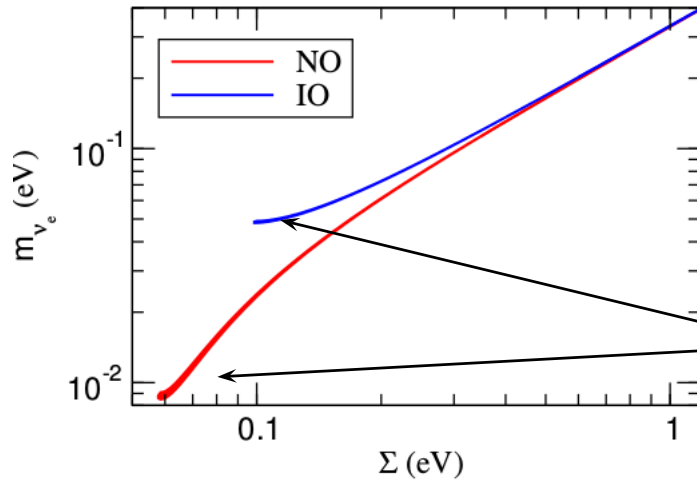
Best kinematic limit on Neutrino Mass Scale comes from Tritium Beta Decay

Purely kinematics \Rightarrow Only model independent probe ν -mass scale

Neutrino Mass Scale: The Cosmo-Lab Connection

Global oscillation analysis \Rightarrow Correlations m_{ν_e} , m_{ee} and $\sum m_\nu$ (Fogli *et al* (04))

NuFIT 3.2 (2018)

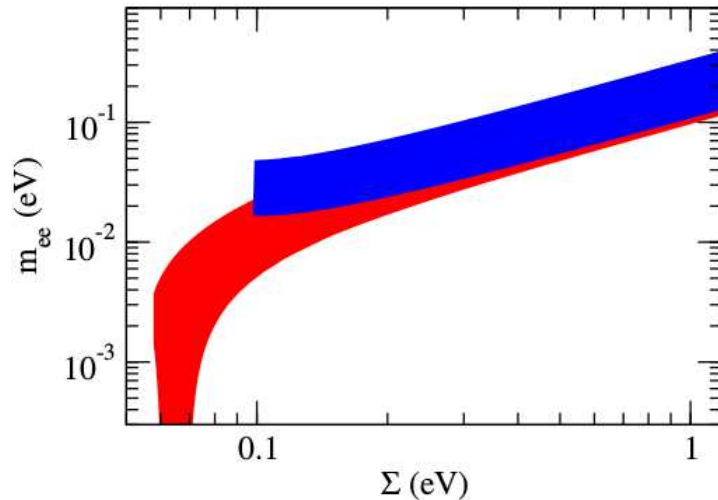


Lower bound on $\sum m_i$ depends on ordering

Precision determination/bound of $\sum m_i$ can give information on ordering ?

Hannestad, Schwetz 1606.04691, Simpson *et al* 1703.03425, Capozzi *et al* 1703.04471 ...

Or much ado about nothing?



Other inputs for mass ordering?

ν -oscillations: Δm^2_{ij}

cosmology: Σm_i

$0\nu\beta\beta$: $m_{\beta\beta}$

Case A

(m_1, m_2, m_3)

Case B

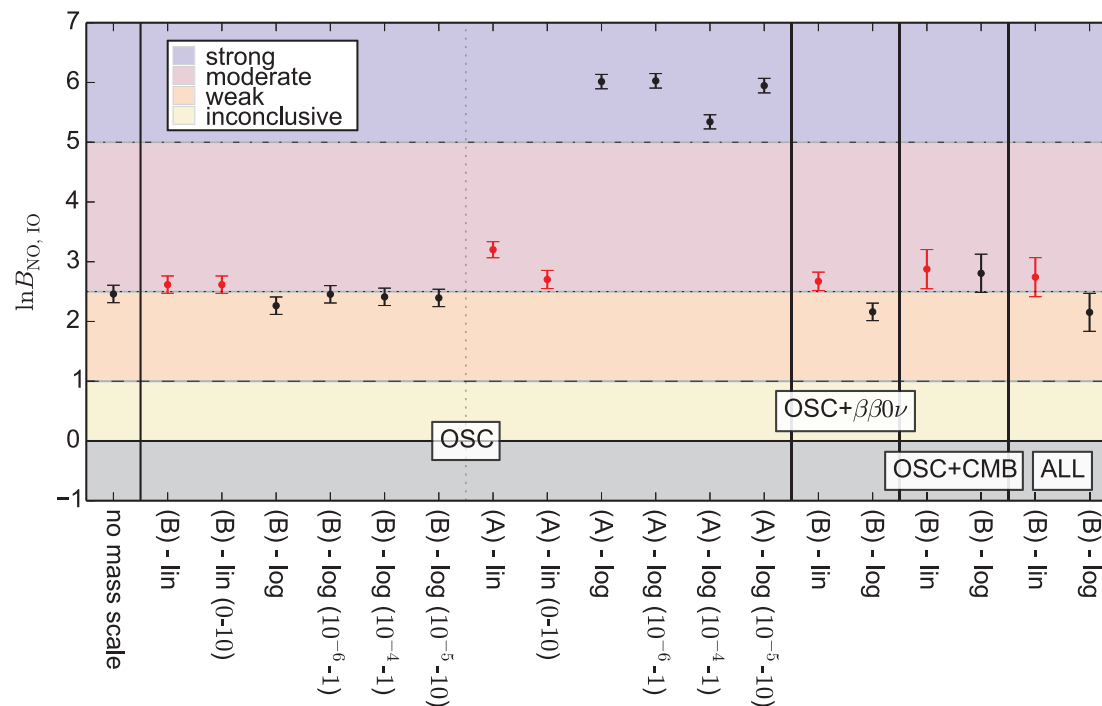
$(m_0, \Delta m^2_{21}, \Delta m^2_{31})$

- m_j : linear / log prior
- Δm^2_{ij} : always linear

⇒ case A: choice of priors is very relevant

⇒ preference for NO driven by oscillation data

⇒ cosmology can not contribute to determine MO yet



Gariazzo et al, JCAP03 (2018) 11

Using global fit-2017, wo SK I-IV, old LBL

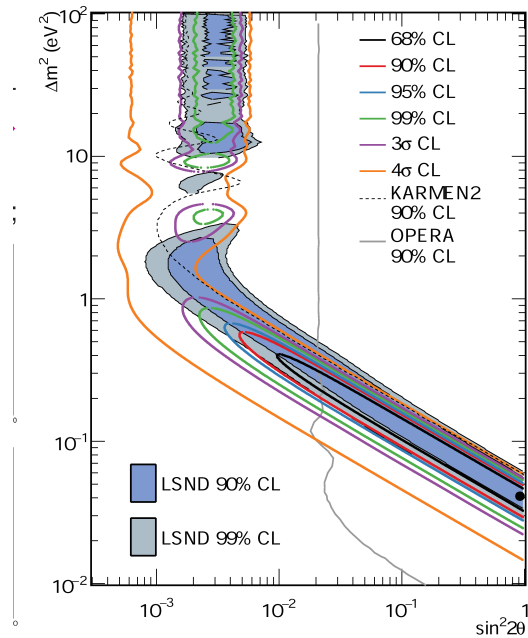
Beyond 3ν's: Light Sterile Neutrinos

a Gonzalez-Garcia

- Several Observations which can be Interpreted as Oscillations with $\Delta m^2 \sim \text{eV}^2$

LSND, MiniBoone

$$\nu_\mu \rightarrow \nu_e \text{ and } \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$



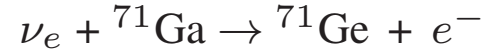
From LSND mid 90's
to MiniBoone 1805.12028

Gallium Anomaly

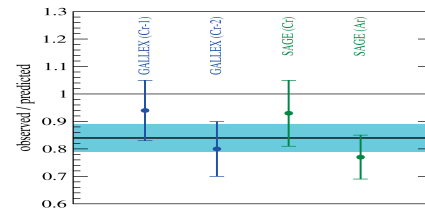
Acero, Giunti, Laveder, 0711.4222
Giunti, Laveder, 1006.3244

Radioactive Sources (^{51}Cr , ^{37}Ar)

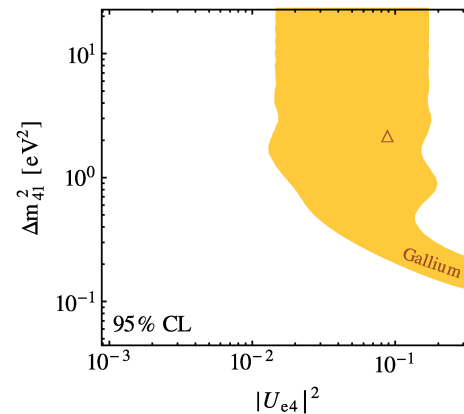
in calibration of Ga Solar Exp;



Give a rate lower than expected



Explained as ν_e disappearance

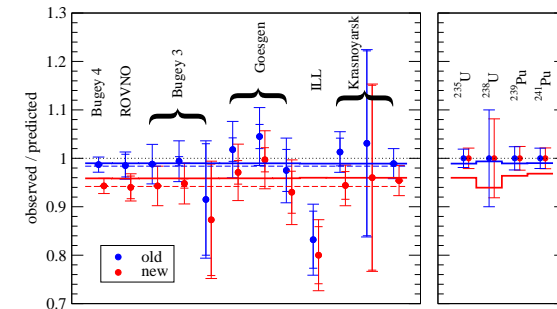


Reactor Anomaly

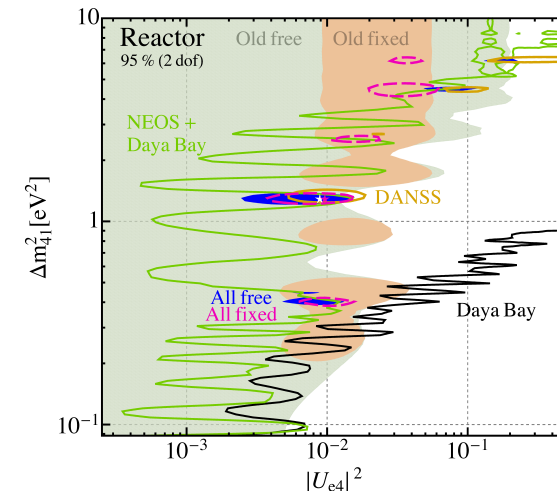
Huber, 1106.0687
Mention *etal*, 1101.2755

New reactor flux calculation

⇒ Deficit in data at $L \lesssim 100$ m



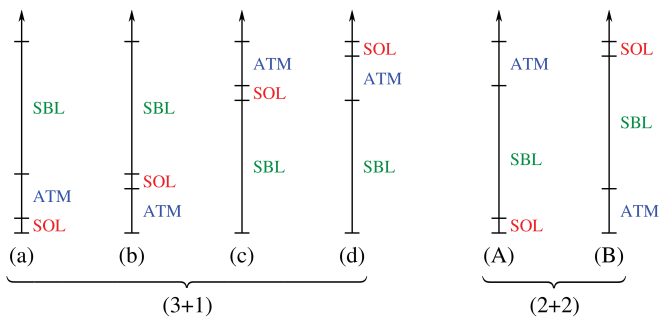
Explained as ν_e disappearance



Denler *etal*, 1803.10661

Light Sterile Neutrinos

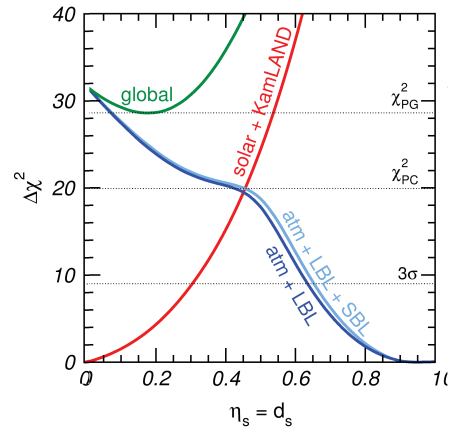
- These explanations require $\mathcal{O}(\text{eV})$ mass ν_s



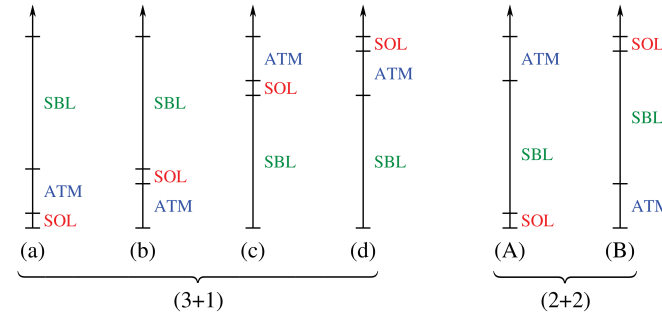
Light Sterile Neutrinos

Concha Gonzalez-Garcia

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- 2+2:



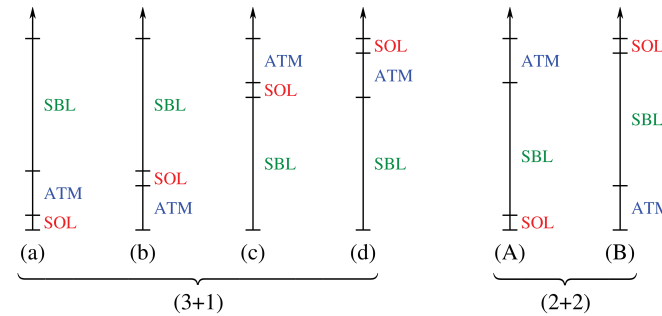
Ruled out by solar and atm data ($\gtrsim 5\sigma$)

Maltoni *etal* NPB 02

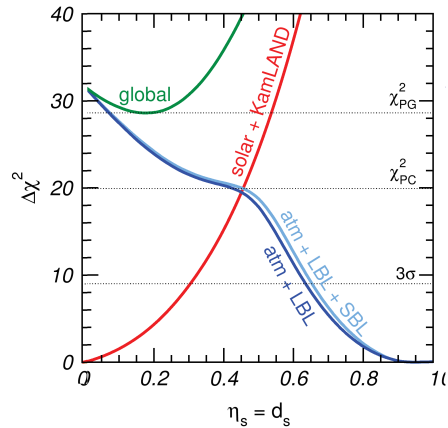
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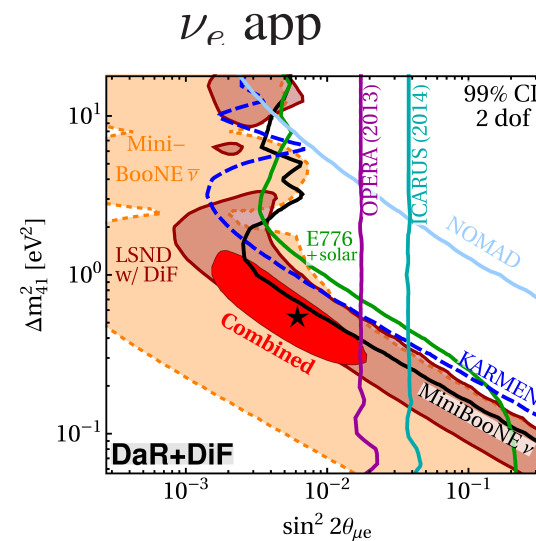
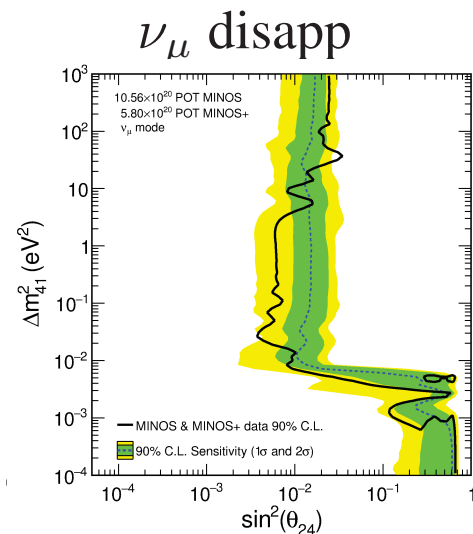
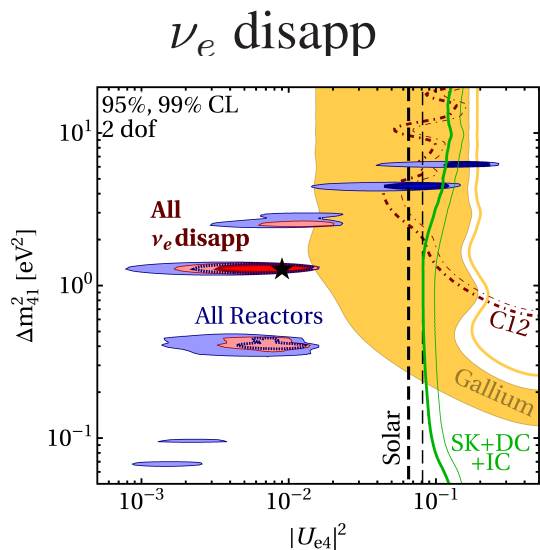


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Maltoni *etal* NPB 02

- 3+1: Generically appearance $P_{e\mu} \sim |U_{ei}^* U_{\mu i}|$

$\begin{cases} |U_{ei}| \text{ constrained by } P_{ee} \text{ disapp data} \\ |U_{\mu i}| \text{ constrained by } P_{\mu\mu} \text{ disapp data} \end{cases}$



III. Sterile neutrino models and ν_μ disappearance

(3+1): robustness of the result

- The tension cannot be eliminated by discarding any *individual* experiment.

Analysis	$\chi^2_{\min, \text{global}}$	$\chi^2_{\min, \text{app}}$	$\Delta\chi^2_{\text{app}}$	$\chi^2_{\min, \text{disapp}}$	$\Delta\chi^2_{\text{disapp}}$	$\chi^2_{\text{PG}}/\text{dof}$	PG
Global	1120.9	79.1	11.9	1012.2	17.7	29.6/2	3.7×10^{-7}
Removing anomalous data sets							
w/o LSND	1099.2	86.8	12.8	1012.2	0.1	12.9/2	1.6×10^{-3}
w/o MiniBooNE	1012.2	40.7	8.3	947.2	16.1	24.4/2	5.2×10^{-6}
w/o reactors	925.1	79.1	12.2	833.8	8.1	20.3/2	3.8×10^{-5}
w/o gallium	1116.0	79.1	13.8	1003.1	20.1	33.9/2	4.4×10^{-8}
Removing constraints							
w/o IceCube	920.8	79.1	11.9	812.4	17.5	29.4/2	4.2×10^{-7}
w/o MINOS(+)	1052.1	79.1	15.6	948.6	8.94	24.5/2	4.7×10^{-6}
w/o MB disapp	1054.9	79.1	14.7	947.2	13.9	28.7/2	6.0×10^{-7}
w/o CDHS	1104.8	79.1	11.9	997.5	16.3	28.2/2	7.5×10^{-7}
Removing classes of data							
$\bar{\nu}_e$ -dis vs app	628.6	79.1	0.8	542.9	5.8	6.6/2	3.6×10^{-2}
$\bar{\nu}_\mu$ -dis vs app	564.7	79.1	12.0	468.9	4.7	16.7/2	2.3×10^{-4}
$\bar{\nu}_\mu$ -dis+solar vs app	884.4	79.1	13.9	781.7	9.7	23.6/2	7.4×10^{-6}

Conclusions at ICHEP02

- Big experimental effort: flavor conversion proved
Solar ν 's : Verification of Flavour Conversion ν_e to ν_μ or ν_τ at 5σ
Atmospheric ν_μ 's disappear ($> 15\sigma$) most likely to ν_τ
- Most likely explanation is neutrino oscillation and soon this will be tested with “man-made” neutrino beams from reactor and accelerators
- ν masses imply physics beyond the standard model
- Further advance requires more and more precise data

Conclusions at ICHEP12

- First ICHEP with the three leptonic mixing angles determined (at $\pm 3\sigma/6$)

$$\Delta m_{21}^2 = 7.5 \times 10^{-5} \text{ eV}^2 \text{ (2.4\%)} \quad \Delta m_{31}^2 = 2.45 \times 10^{-3} \text{ eV}^2 \text{ (2.8\%)}$$

$$|\Delta m_{32}^2| = 2.43 \times 10^{-3} \text{ eV}^2 \text{ (2.8\%)}$$

$$\sin^2 \theta_{12} = 0.3 \text{ (4\%)} \quad \sin^2 \theta_{23} = 0.42 \text{ (11\%)} \quad \sin^2 \theta_{13} = 0.023 \text{ (10\%)}$$

- Still ignore or not significantly seen
Majorana or Dirac?
Absolute ν mass
CP violation in leptons? \Rightarrow New experiments beyond approved
Normal or Inverted Ordering? needed to answer these questions
 θ_{23} Octant

ν masses are BSM physics effects to be put together with *all other NP effects*:
from charged LFV, Collider signals, Cosmo-astroparticle. . . to establish
the Next Standard Model